

The Combinatorics of Alternating Sign Matrices

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Plan

Tuesday

- Introduce & enumerate
 - Alternating sign matrices (ASMs)
 - Alternating sign triangles (ASTs)
 - Descending plane partitions (DPPs)
 - Totally symmetric self-complementary plane partitions (TSSCPPs)
 - Double-staircase semistandard Young tableaux

Today

- Discuss refined enumeration of ASMs with
 - Fixed values of statistics
 - Invariance under symmetry operations
- Sketch proofs for enumerations of
 - Unrestricted ASMs
 - Odd-order diagonally & antidiagonally symmetric ASMs

The story so far . . .

Introduced 4 combinatorial objects:

- $n \times n$ alternating sign matrices (ASMs)

e.g. There are 7 3×3 ASMs:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \\ \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

- Order n alternating sign triangles (ASTs)

e.g. There are 7 order 3 ASTs:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ & 1 & 0 & 0 & \\ & & 1 & & \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 & 1 & 0 \\ & 1 & 0 & 0 & \\ & & 1 & & \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ & 1 & 0 & 0 & \\ & & 1 & & \end{pmatrix}, \\ \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ & 0 & 0 & 1 & \\ & & 1 & & \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ & 0 & 0 & 1 & \\ & & 1 & & \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ & 0 & 0 & 1 & \\ & & 1 & & \end{pmatrix}, \\ \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ & 1 & -1 & 1 & \\ & & 1 & & \end{pmatrix}$$

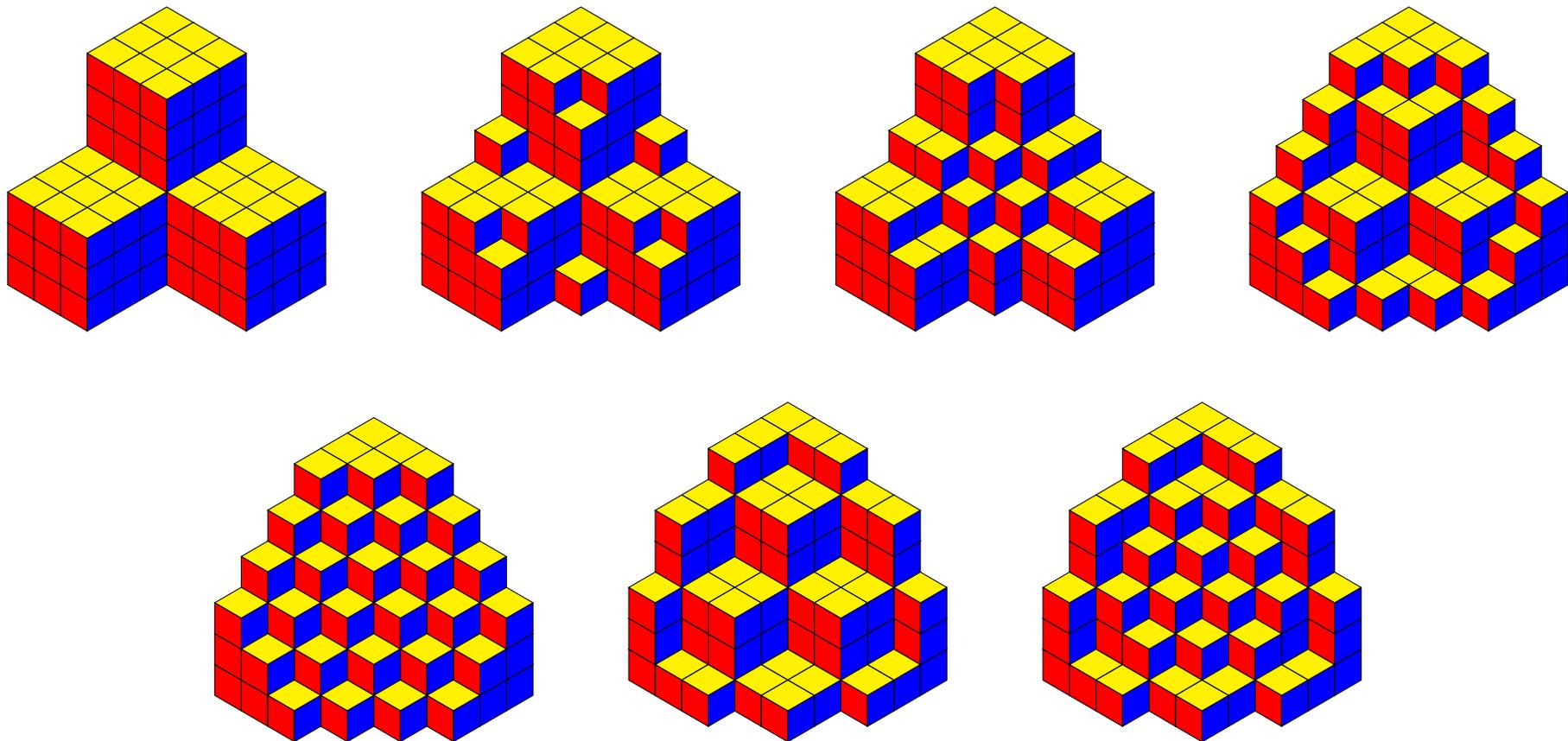
- **Order n descending plane partitions (DPPs)**

e.g. There are 7 order 3 DPPs:

$$\emptyset, 2, 3, 31, 32, 33, \begin{matrix} 33 \\ 2 \end{matrix}$$

- **Totally symmetric self-complementary plane partitions in a $2n \times 2n \times 2n$ box**

e.g. There are 7 TSSCPP in a $6 \times 6 \times 6$ box:



- Also considered
$$\frac{\text{SSYT}((n-1, n-1, \dots, 2, 2, 1, 1), 2n)}{\text{SSYT}((2n-2, 2n-4, \dots, 6, 4, 2), n)}$$

$$= \text{SSYT}((n-1, n-1, \dots, 2, 2, 1, 1), 2n) / 3^{n(n-1)/2}$$

where $\text{SSYT}(\lambda, k) := \left(\begin{array}{l} \# \text{ of semistandard Young tableaux of} \\ \text{shape } \lambda \text{ with entries from } \{1, 2, \dots, k\} \end{array} \right)$

- $(n-1, n-1, \dots, 2, 2, 1, 1)$ & $(2n-2, 2n-4, \dots, 6, 4, 2)$ are conjugate partitions of double-staircase shape.

- e.g. for $n = 3$:

$$\frac{\text{SSYT}((2, 2, 1, 1), 6)}{\text{SSYT}((4, 2), 3)} = \text{SSYT} \left(\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \square & \\ \hline \square & \\ \hline \end{array}, 6 \right) / \text{SSYT} \left(\begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & & \\ \hline \square & & & \\ \hline \square & & & \\ \hline \end{array}, 3 \right) = 189/3^3 = 189/27 = 7$$

Main result

- The following are all equal
 - # of $n \times n$ ASMs
 - # of order n ASTs
 - # of order n DPPs
 - # of TSSCPPs in $2n \times 2n \times 2n$ box
 - $\text{SSYT}((n-1, n-1, \dots, 2, 2, 1, 1), 2n) / 3^{n(n-1)/2}$
 - $\prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$
- Several of the equalities were conjectured long before being proved.
- No bijective proofs currently known for equality involving any of the pairs of combinatorial objects.

Refined Enumeration

- Many further results and conjectures are known for #'s of ASMs, ASTs, DPPs or TSSCPPs with fixed values of statistics and/or invariance under symmetry operations.

- An example involving statistics is equality of all the following:

$$- \frac{(n+k-1)!(2n-k-2)!}{k!(n-k-1)!(2n-2)!} \prod_{i=0}^{n-2} \frac{(3i+1)!}{(n+i-1)!}$$

- # of $n \times n$ ASMs with the 1 of the first row in column $k+1$
- # of order n ASTs with (# of 1's on left boundary) + (# of 0's on right boundary in columns with sum 1) = $k+1$
- # of order n DPPs with k parts equal to n
- # of TSSCPPs in $2n \times 2n \times 2n$ box with k "maximal" entries in "fundamental region"

(Mills, Robbins, Rumsey 1982; Zeilberger 1996; Razumov, Stroganov, Zinn-Justin 2007; Fischer)

- Again, several of the equalities were conjectured long before being proved.
- Again, no bijective proofs currently known.

- An example involving symmetry operations is equality of all the following:

- $\prod_{i=1}^n \frac{(6i-2)!}{(2n+2i)!}$

- # of $(2n+1) \times (2n+1)$ vertically symmetric ASMs

- # of $2n \times 2n$ diagonally symmetric ASMs with only 0's on diagonal

- # of order $2n+1$ vertically symmetric ASTs

- # of order $2n+1$ DPPs whose associated rhombus tiling is invariant under reflection in a line bisecting two sides of hexagon

- # of TSSCPPs in $(4n+2) \times (4n+2) \times (4n+2)$ box invariant under certain composition of local involutions

(Mills, Robbins, Rumsey 1987; Kuperberg 2002; Ishikawa 2006; RB, Fischer)

- Again, several of the equalities were conjectured long before being proved.
- Again, no bijective proofs currently known.

“Classical” ASM Statistics

For an $n \times n$ ASM A

- Bulk statistics:

Minus(A) := # of -1 's in A

$$\begin{aligned} \text{Inv}(A) &:= \sum_{\substack{1 \leq i < i' \leq n \\ 1 \leq j' \leq j \leq n}} A_{ij} A_{i'j'} = \sum_{i,j=1}^n (\sum_{j'=1}^j A_{ij'}) (\sum_{i'=1}^{i-1} A_{i'j}) \\ &= \# \text{ of “inversions” in } A \end{aligned}$$

- Boundary statistics:

TOP(A) := column of the 1 in top row of A

RIGHT(A) := row of the 1 in right-most column of A

BOTTOM(A) := column of the 1 in bottom row of A

LEFT(A) := row of the 1 in left-most column of A

• e.g. $A = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$

\Rightarrow Minus(A) = 3, Inv(A) = 5,

TOP(A) = 4, RIGHT(A) = 5, BOTTOM(A) = 4, LEFT(A) = 3

- Enumerative results for $n \times n$ ASMs with fixed values of classical statistics:
 - **Minus**: *Le Gac 2011*
 - **Inv**: *RB 2008*
 - **TOP**: *Zeilberger 1996*
 - **TOP & BOTTOM**: *Colomo, Pronko 2005; Stroganov 2006*
 - **TOP & LEFT**: *Stroganov 2006*
 - **Minus, Inv & TOP**: *RB, Di Francesco, Zinn–Justin 2012*
 - **Minus, Inv, TOP & BOTTOM**: *RB, Di Francesco, Zinn–Justin 2013*
 - **TOP, BOTTOM, LEFT & RIGHT**: *Ayyer, Romik 2013*
 - **Minus, Inv, TOP, BOTTOM, LEFT & RIGHT**: *RB 2013*
- In some, but not all cases, statistics with same enumerative properties as classical ASM statistics are known for ASTs, DPPs or TSSCPPs.
- This already seen for TOP, for which statistics are known for ASTs, DPPs & TSSCPPs.
- For each of ASTs & DPPs (but not TSSCPPs) two statistics are known which have the same *joint* enumerative properties as Minus & Inv for ASMs.
(*RB, Di Francesco, Zinn-Justin 2012; Ayyer, RB, Fischer 2016*)
- Another important example of refined enumeration is $\#$ of $n \times n$ ASMs whose associated “fully packed loop configuration” has a fixed “link pattern”.
(*Razumov, Stroganov 2004; Cantini, Sportiello 2011*)

ASM Symmetry Classes

- Symmetry group of square is dihedral group $D_4 = \{\mathcal{I}, \mathcal{V}, \mathcal{H}, \mathcal{D}, \mathcal{A}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}$, with \mathcal{I} = identity,
 $\mathcal{V}, \mathcal{H}, \mathcal{D}, \mathcal{A}$ = reflection in vertical, horizontal, diagonal, antidiagonal axes,
 $\mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}$ = counterclockwise quarter-turn, half-turn, three-quarter turn rotation.
- D_4 has 10 subgroups: $\{\mathcal{I}\}, \{\mathcal{I}, \mathcal{V}\} \approx \{\mathcal{I}, \mathcal{H}\}, \{\mathcal{I}, \mathcal{V}, \mathcal{H}, \mathcal{R}_{\pi}\}, \{\mathcal{I}, \mathcal{R}_{\pi}\}, \{\mathcal{I}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}, \{\mathcal{I}, \mathcal{D}, \mathcal{A}, \mathcal{R}_{\pi}\}$ $\{\mathcal{I}, \mathcal{D}\} \approx \{\mathcal{I}, \mathcal{A}\}$ & D_4 (where \approx is conjugacy).
- D_4 has natural action on set of $n \times n$ ASMs.
- Consider # of $n \times n$ ASMs invariant under action of a subgroup of D_4 .
Gives 8 symmetry classes:
 1. **Unrestricted $\{\mathcal{I}\}$** : product formula known (*Kuperberg 1996, Zeilberger 1996*)
 2. **Vertically symmetric $\{\mathcal{I}, \mathcal{V}\}$** : n even empty;
 n odd product formula known (*Kuperberg 2002*)
 3. **Vertically & horizontally symmetric $\{\mathcal{I}, \mathcal{V}, \mathcal{H}, \mathcal{R}_{\pi}\}$** : n even empty;
 n odd product formula known (*Okada 2006*)
 4. **Half-turn symmetric $\{\mathcal{I}, \mathcal{R}_{\pi}\}$** : n even product formula known (*Kuperberg 2002*);
 n odd product formula known (*Razumov, Stroganov 2006*)

5. Quarter-turn symmetric $\{\mathcal{I}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}$:
 - $n \equiv 0 \pmod{4}$ product formula known (*Kuperberg 2002*);
 - $n \equiv 1, 3 \pmod{4}$ product formula known (*Razumov, Stroganov 2006*);
 - $n \equiv 2 \pmod{4}$ empty
6. Diagonally & antidiagonally symmetric $\{\mathcal{I}, \mathcal{D}, \mathcal{A}, \mathcal{R}_{\pi}\}$:
 - n odd product formula known (*RB, Fischer, Konvalinka 2017*); (More details soon!)
 - no formula currently known for n even
7. Diagonally symmetric $\{\mathcal{I}, \mathcal{D}\}$: no formula currently known
8. Totally symmetric D_4 : n even empty;
no formula currently known for n odd

- In some cases, symmetry operations with same enumerative properties as ASM symmetry operations are known for ASTs, DPPs or TSSCPPs.
- This already seen for vertical reflection, for which operations are known for ASTs, DPPs & TSSCPPs.
- In some cases, results or conjectures involving invariance under symmetry operations *and* fixed values of statistics are known.

Cyclic Sieving & Homomesy for ASMs

- The set $\{n \times n \text{ ASMs}\}$, cyclic group $\{\mathcal{I}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}$ & function $f_n(q) = \prod_{i=0}^{n-1} \frac{[3i+1]_q!}{[n+i]_q!}$ exhibit the *cyclic sieving phenomenon*. (Stanton)
- i.e. $f_n(1) = (\# \text{ } n \times n \text{ ASMs})$, $f_n(-1) = (\# \text{ half-turn symmetric } n \times n \text{ ASMs})$
& $f_n(i) = (\# \text{ quarter-turn symmetric } n \times n \text{ ASMs})$
- This is an unusual cyclic sieving example since $\{\mathcal{I}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}$ acts on $n \times n$ ASMs, but $f_n(q)$ is not a generating function for $n \times n$ ASMs with any currently-known statistic. Instead, it is only known that $f_n(q)$ is a generating function for order n DPPs,

$$f_n(q) = \sum_{\text{order } n \text{ DPPs } \pi} q^{\sum_{ij} \pi_{ij}}$$
 (& no order 4 cyclic action on DPPs is currently known).
- The set $\{n \times n \text{ ASMs}\}$, group G & statistic $2\text{Inv} + \text{Minus}$ exhibit *homomesy* with value $n(n-1)/2$, for $G = \{\mathcal{I}, \mathcal{V}\}$, $\{\mathcal{I}, \mathcal{H}\}$, $\{\mathcal{I}, \mathcal{R}_{\pi/2}, \mathcal{R}_{\pi}, \mathcal{R}_{3\pi/2}\}$ or D_4 . (RB, Roby)
- i.e. average of $2\text{Inv} + \text{Minus}$ over any orbit of G on $\{n \times n \text{ ASMs}\}$ is $n(n-1)/2$.
- Certain cyclic sieving phenomena & homomesies also observed for ASTs, DPPs & TSSCPPs.

Sketch of Proof of ASM Enumeration Formula

ASM: square matrix in which

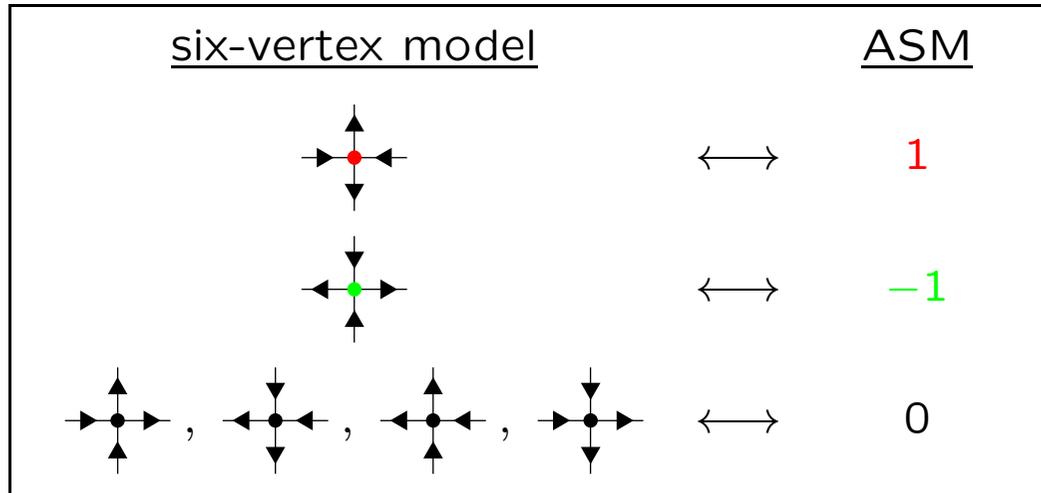
- each entry is 0, 1 or -1
- each row & column contains at least one 1
- along each row & column, the nonzero entries alternate in sign, starting & ending with a 1

$$(\# \text{ of } n \times n \text{ ASMs}) = \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$$

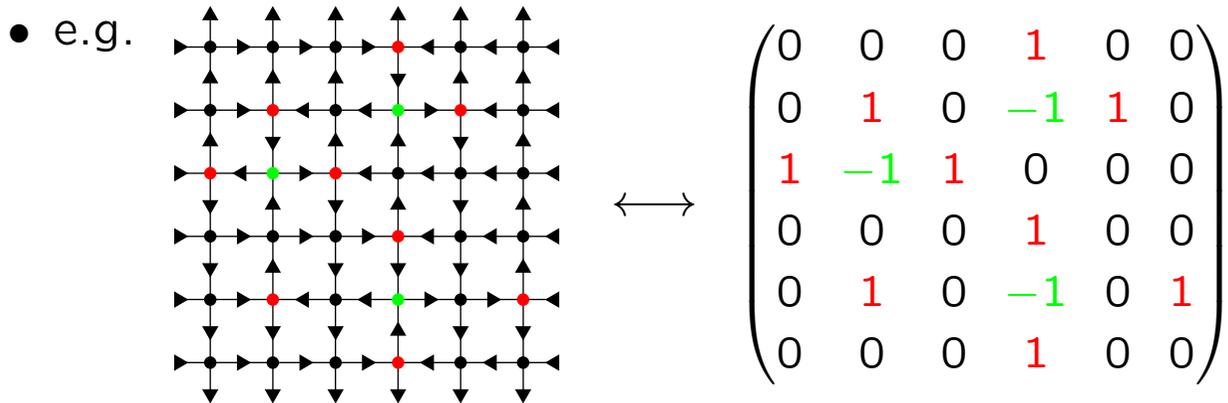
Proof method (*Kuperberg 1996*)

- (1) Apply bijection between $n \times n$ ASMs & configurations of statistical mechanical *six-vertex model* on $n \times n$ square with *domain-wall boundary conditions*.
- (2) Introduce parameter-dependent weights & consider weighted sum over all configurations of model, i.e., generating function or *partition function*.
- (3) Use *Yang–Baxter equation* & other properties to obtain *Izergin–Korepin formula* for partition function as $n \times n$ determinant.
- (4) Evaluate determinant at certain values of parameters for which all weights are 1.

Six-Vertex Model Configuration – ASM Bijection



- Gives bijection between $6VDW(n)$ & $\{n \times n \text{ ASMs}\}$.
(Elkies, Kuperberg, Larsen, Propp 1992)



Vertex Weights

- $\sigma(x) := x - x^{-1}$

- $u =$ local parameter • $q =$ global parameter

- $W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \leftarrow \\ \uparrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \rightarrow \bullet \leftarrow \\ \downarrow \end{array}, u\right) = \frac{\sigma(q^2 u)}{\sigma(q^4)}$

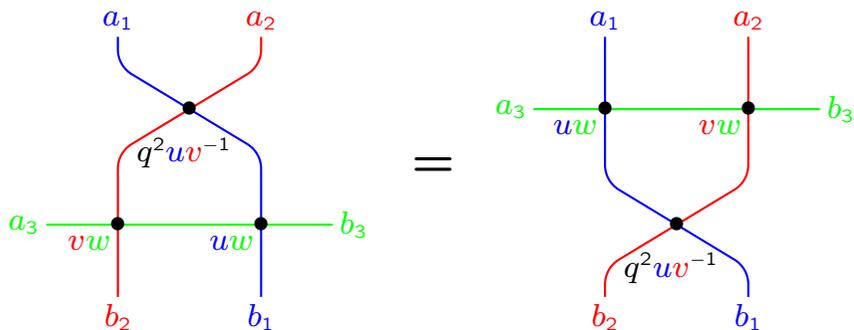
- $W\left(\begin{array}{c} \uparrow \\ \leftarrow \bullet \rightarrow \\ \uparrow \end{array}, u\right) = W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \leftarrow \\ \downarrow \end{array}, u\right) = \frac{\sigma(q^2 u^{-1})}{\sigma(q^4)}$

- $W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \leftarrow \\ \downarrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \rightarrow \bullet \leftarrow \\ \uparrow \end{array}, u\right) = 1$

- At $u = 1$ & $q = e^{i\pi/6}$: $W(c, 1)|_{q=e^{i\pi/6}} = 1$ for all c

- At $u = q^{\pm 2}$: $W\left(a \begin{array}{c} d \\ \bullet \\ b \end{array} c, q^{-2}\right) = \delta_{ab} \delta_{cd}$, $W\left(a \begin{array}{c} d \\ \bullet \\ b \end{array} c, q^2\right) = \delta_{ad} \delta_{bc}$

- Yang–Baxter Equation:

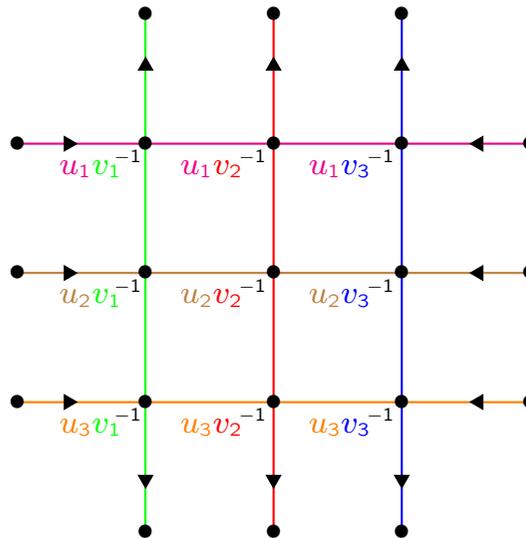


Partition (Generating) Function

- $$Z(u_1, \dots, u_n, v_1, \dots, v_n) := \sum_{C \in 6VDW(n)} \prod_{i,j=1}^n W(C_{ij}, u_i v_j^{-1})$$

[where C_{ij} = local configuration at vertex in row i & column j of grid]

- e.g. $Z(u_1, u_2, u_3, v_1, v_2, v_3) =$



- Therefore $Z(\underbrace{1, \dots, 1}_{2n}) \Big|_{q=e^{i\pi/6}} = |6VDW(n)| = (\# \text{ of } n \times n \text{ ASMs})$

Izergin–Korepin Formula

$$Z(u_1, \dots, u_n, v_1, \dots, v_n)$$

$$= \frac{\prod_{i,j=1}^n \sigma(q^2 u_i v_j^{-1}) \sigma(q^2 u_i^{-1} v_j)}{\sigma(q^4)^{n(n-1)} \prod_{1 \leq i < j \leq n} \sigma(u_i u_j^{-1}) \sigma(v_i^{-1} v_j)} \det_{1 \leq i, j \leq n} \left(\frac{1}{\sigma(q^2 u_i v_j^{-1}) \sigma(q^2 u_i^{-1} v_j)} \right)$$

(Izergin 1987)

Proof outline:

- Show that a function $X(u_1, \dots, u_n, v_1, \dots, v_n)$ which satisfies the following properties is uniquely determined:
 - (1) $X(u_1, v_1) = 1$
 - (2) $X(u_1, \dots, u_n, v_1, \dots, v_n)$ a Laurent polynomial in u_1 of lower degree $\geq -n+1$, upper degree $\leq n-1$
 - (3) $X(u_1, \dots, u_n, v_1, \dots, v_n)|_{u_1 v_1^{-1} = q^{\pm 2}} = \frac{\prod_{i=2}^n \sigma(q^{\pm 2} u_1 v_i^{-1}) \sigma(q^{\pm 2} u_i v_1^{-1})}{\sigma(q^4)^{2n-2}} X(u_2, \dots, u_n, v_2, \dots, v_n)$
 - (4) $X(u_1, \dots, u_n, v_1, \dots, v_n)$ symmetric in v_1, \dots, v_n
- Show that LHS & RHS of Izergin–Korepin formula both satisfy all of these properties.

Examples of parts of proof:

- Reduction of $Z(u_1, u_2, u_3, v_1, v_2, v_3)$ at $u_1 v_1^{-1} = q^{-2}$:

$$Z(u_1, u_2, u_3, v_1, v_2, v_3) \Big|_{u_1 v_1^{-1} = q^{-2}}$$

$$= \begin{array}{ccc} \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \end{array} & = & \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \end{array} \\ \\ = & & \frac{\sigma(q^{-2}u_1v_2^{-1})\sigma(q^{-2}u_1v_3^{-1})\sigma(q^{-2}u_2v_1^{-1})\sigma(q^{-2}u_3v_1^{-1})}{\sigma(q^4)^4} Z(u_2, u_3, v_2, v_3) \quad \square \end{array}$$

- Symmetry of $Z(u_1, u_2, u_3, v_1, v_2, v_3)$ in v_2 & v_3 :

$$W(\begin{array}{c} \uparrow \\ \leftarrow \rightarrow \\ \downarrow \end{array}, q^2 v_2^{-1} v_3) Z(u_1, u_2, u_3, v_1, v_2, v_3)$$

$$= \begin{array}{ccc} \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \end{array} & \stackrel{\text{YBE}}{=} & \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \leftarrow \quad \leftarrow \quad \leftarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \quad \bullet \quad \bullet \end{array} \\ \\ = & & W(\begin{array}{c} \uparrow \\ \leftarrow \rightarrow \\ \downarrow \end{array}, q^2 v_2^{-1} v_3) Z(u_1, u_2, u_3, v_1, v_3, v_2) \quad \square \end{array}$$

Partition Function at $q = e^{i\pi/6}$

$$Z(u_1, \dots, u_n, v_1, \dots, v_n) \Big|_{q=e^{i\pi/6}} = 3^{-n(n-1)/2} \left(\prod_{i=1}^n u_i v_i \right)^{-n+1} s_{(n-1, n-1, \dots, 2, 2, 1, 1)}(u_1^2, \dots, u_n^2, v_1^2, \dots, v_n^2)$$

(Okada 2006)

Proof outline:

- Substitute $q = e^{i\pi/6}$ into determinantal expression for partition function.
- Apply identity which converts certain $n \times n$ determinant to $2n \times 2n$ determinant.
(Okada 1998)
- Use $s_\lambda(x_1, \dots, x_k) = \frac{\det \left(x_i^{\lambda_j + k - j} \right)}{\prod_{1 \leq i < j \leq k} (x_i - x_j)}$.

Final Step

- Set $u_1 = \dots = u_n = v_1 = \dots = v_n = 1$ & recall $Z(\underbrace{1, \dots, 1}_{2n}) \Big|_{q=e^{i\pi/6}} = (\# \text{ of } n \times n \text{ ASMs})$.
- Obtain $(\# \text{ of } n \times n \text{ ASMs}) = 3^{-n(n-1)/2} \text{SSYT}((n-1, n-1, \dots, 2, 2, 1, 1), 2n) = \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$
as required.

Diagonally and Antidiagonally Symmetric Alternating Sign Matrices (DASASMs)

DASASM: ASM which is invariant under

- reflection in the **diagonal**
- reflection in the **antidiagonal**
- i.e. invariant under action of subgroup $\{\mathcal{I}, \mathcal{D}, \mathcal{A}, \mathcal{R}_\pi\}$ of D_4

e.g.

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 1 & 0 & 0 \\ 1 & -1 & 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 1 & -1 & 1 & 0 & 0 \\ 0 & 1 & -1 & 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

- Observations:**
- any DASASM uniquely determined by its entries in a triangle bounded by diagonal and antidiagonal
 - central entry of an odd-order DASASM is ± 1

Number D_n of $(2n-1) \times (2n-1)$ DASASMs

& numbers D_n^\pm of $(2n-1) \times (2n-1)$ DASASMs with central entry ± 1

n=1

(1)

$$\Rightarrow D_1 = 1$$

$$\& \frac{D_1^-}{D_1^+} = \frac{0}{1}$$

n=2

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\Rightarrow D_2 = 3$$

$$\& \frac{D_2^-}{D_2^+} = \frac{1}{2}$$

General Case

$$\# \text{ of } (2n-1) \times (2n-1) \text{ DASASMs: } D_n = \prod_{i=0}^{n-1} \frac{(3i)!}{(n+i-1)!} = 1, 3, 15, 126, 1782, \dots$$

- Recursion: $\binom{2n-1}{n} D_{n+1} = \binom{3n}{n} D_n$
- $\prod_{i=0}^{n-1} \frac{(3i)!}{(n+i-1)!} = 3^{-(n-1)(n-2)/2} \text{SSYT}((n-1, n-2, n-2, \dots, 2, 2, 1, 1), 2n-1)$
- Conjecture: *Robbins 1985*
- Proof: *RB, Fischer, Konvalinka 2017 (Adv. Math. 315, 324–365)*

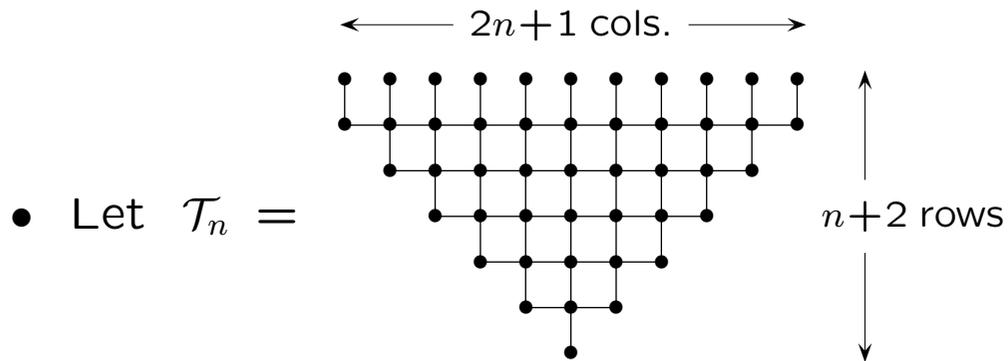
$$\# \text{'s of } (2n-1) \times (2n-1) \text{ DASASMs with central entry } \pm 1: \frac{D_n^-}{D_n^+} = \frac{n-1}{n}$$

- Conjecture: *Stroganov 2008*
- Proof: *RB, Fischer, Konvalinka 2017*

Sketch of Proof of Odd-Order DASASM Formula

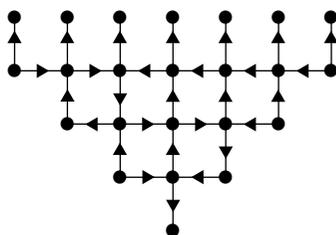
- (1) Obtain bijection between $(2n + 1) \times (2n + 1)$ DASASMs & configurations of six-vertex model on certain triangle.
- (2) Introduce bulk weights, *boundary weights* & associated partition function.
- (3) Use Yang–Baxter equation, *reflection equation* & other properties to prove formula for partition function involving *sum* of two $(n + 1) \times (n + 1)$ determinants.
- (4) Evaluate determinantal formula at values of parameters for which all weights are 1.

Configurations of Six-Vertex Model on Triangle

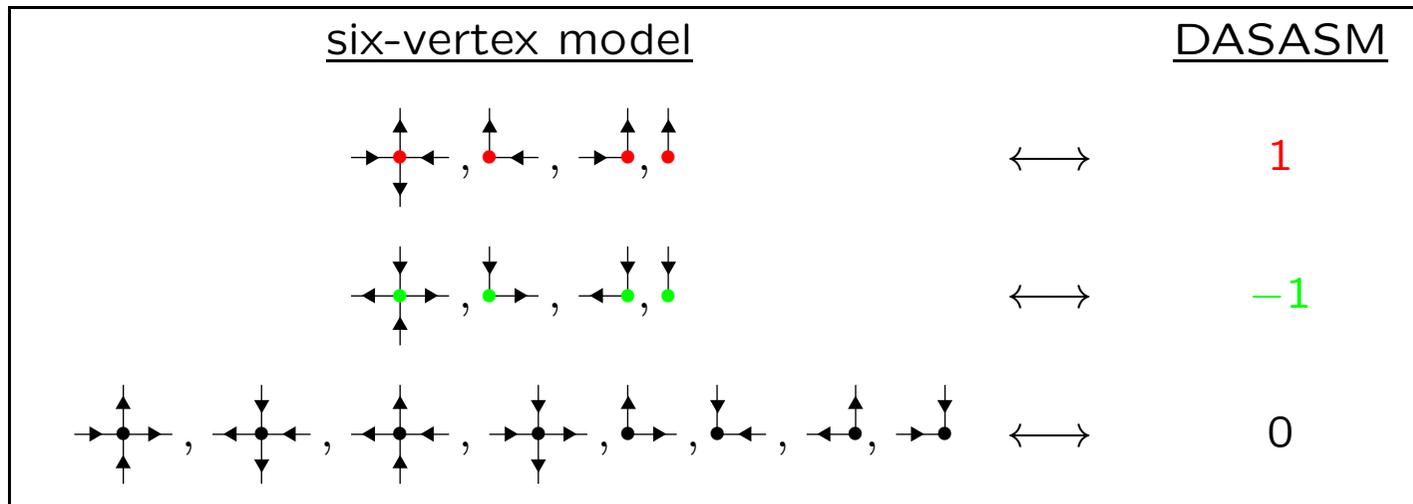


$$6VT(n) = \left\{ \begin{array}{l} \text{orientations of} \\ \text{edges of } \mathcal{T}_n \end{array} \left| \begin{array}{l} \bullet \text{ 2 in \& 2 out arrows at each degree 4 vertex} \\ \quad (\Rightarrow 6 \text{ cases}) \\ \bullet \text{ no restriction at degree 2 vertices } (\Rightarrow 4 \text{ cases}) \\ \bullet \text{ all arrows up on top edges} \\ \bullet \text{ no restriction on single bottom edge } (\Rightarrow 2 \text{ cases}) \end{array} \right. \right\}$$

• e.g. $6VT(1) = \left\{ \begin{array}{l} \text{Diagram 1}, \text{Diagram 2}, \text{Diagram 3} \end{array} \right\}$

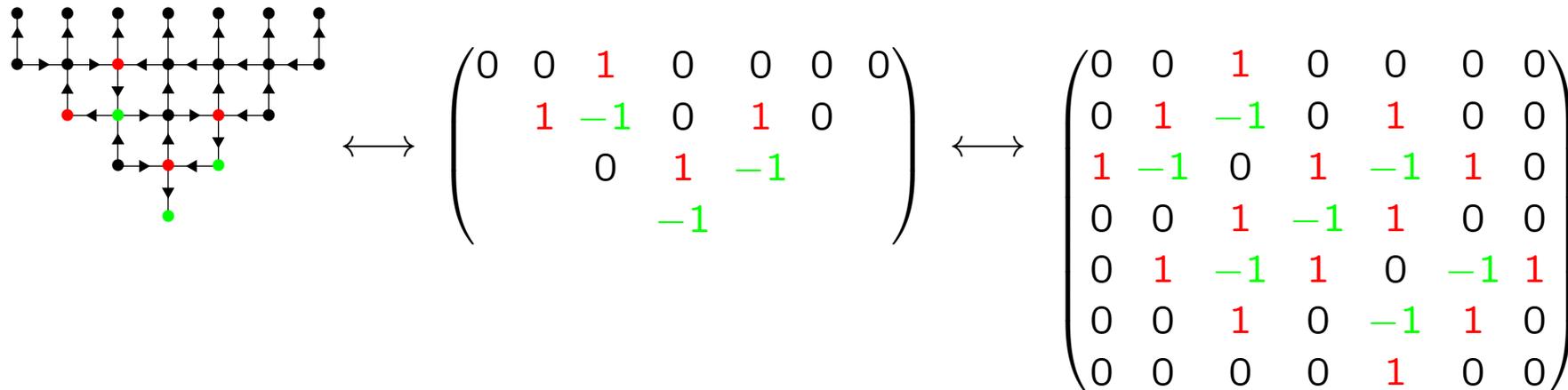
• e.g.  $\in 6VT(3)$

Six-Vertex Model Configuration – DASASM Bijection



- Also use reflections in diagonal and antidiagonal
- Gives bijection between $6VT(n)$ & $\{(2n+1) \times (2n+1) \text{ DASASMs}\}$

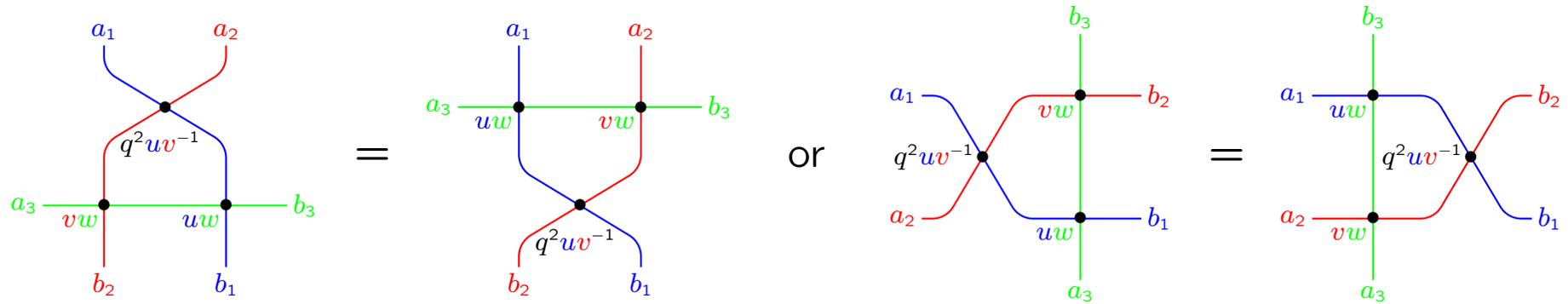
• e.g.



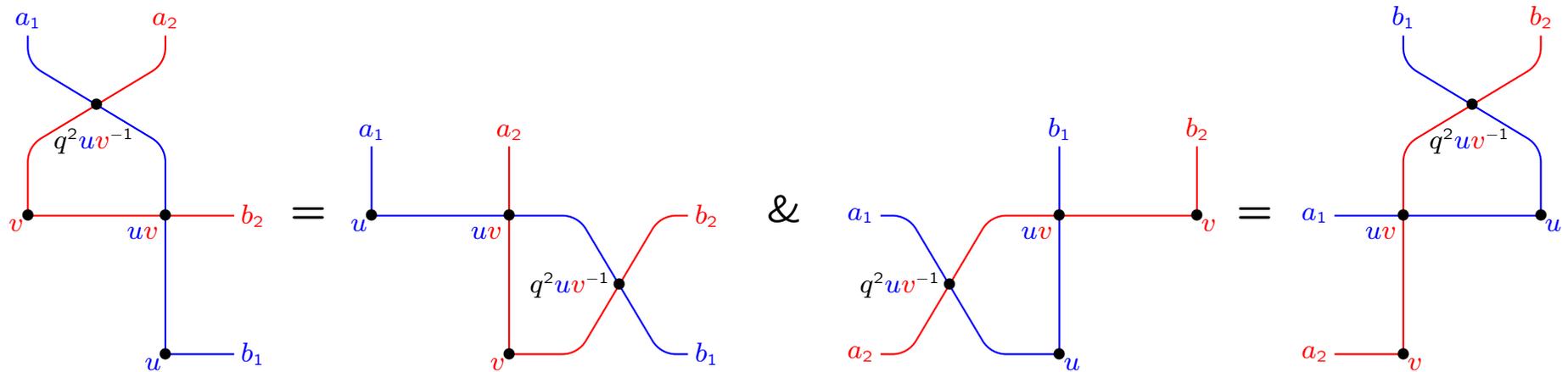
Vertex Weights

- $\sigma(x) := x - x^{-1}$
- $u =$ local parameter • $q =$ global parameter
- Bulk weights: $W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \rightarrow \\ \uparrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \leftarrow \bullet \leftarrow \\ \downarrow \end{array}, u\right) = \frac{\sigma(q^2 u)}{\sigma(q^4)}$
 $W\left(\begin{array}{c} \uparrow \\ \leftarrow \bullet \leftarrow \\ \uparrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \rightarrow \bullet \rightarrow \\ \downarrow \end{array}, u\right) = \frac{\sigma(q^2 u^{-1})}{\sigma(q^4)}$
 $W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \leftarrow \\ \uparrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \leftarrow \bullet \rightarrow \\ \downarrow \end{array}, u\right) = 1$
- Left boundary weights: $W\left(\begin{array}{c} \uparrow \\ \bullet \rightarrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \bullet \leftarrow \end{array}, u\right) = \frac{\sigma(q u)}{\sigma(q)}$
 $W\left(\begin{array}{c} \uparrow \\ \bullet \leftarrow \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \bullet \rightarrow \end{array}, u\right) = 1$
- Right boundary weights: $W\left(\begin{array}{c} \uparrow \\ \leftarrow \bullet \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \rightarrow \bullet \end{array}, u\right) = \frac{\sigma(q u^{-1})}{\sigma(q)}$
 $W\left(\begin{array}{c} \uparrow \\ \rightarrow \bullet \end{array}, u\right) = W\left(\begin{array}{c} \downarrow \\ \leftarrow \bullet \end{array}, u\right) = 1$
- Weights at $u = 1$ & $q = e^{i\pi/6}$: $W(c, 1)|_{q=e^{i\pi/6}} = 1$ for all c

- Yang–Baxter equation



- Left & right reflection equations

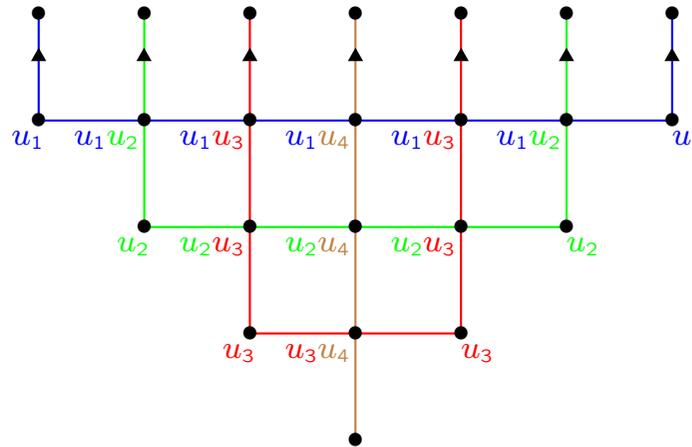


Odd-Order DASASM Partition Function

- $Z(u_1, \dots, u_{n+1})$

$$:= \sum_{C \in 6VT(n)} \prod_{i=1}^n W(C_{ii}, u_i) \left(\prod_{j=i+1}^{2n+1-i} W(C_{ij}, u_i u_{\min(j, 2n+2-j)}) \right) W(C_{i, 2n+1-i}, u_i)$$

[where C_{ij} = local configuration at vertex in row i & column j of \mathcal{T}_n]



- e.g. $Z(u_1, u_2, u_3, u_4) =$

- Therefore $Z(\underbrace{1, \dots, 1}_{n+1}) \Big|_{q=e^{i\pi/6}} = |6VT(n)| = (\# \text{ of } (2n+1) \times (2n+1) \text{ DASASMs})$

Sum of Determinants Formula for Partition Function

$$\begin{aligned}
 & Z(u_1, \dots, u_{n+1}) \\
 &= \frac{\sigma(q^2)^n}{\sigma(q)^{2n} \sigma(q^4)^{n^2}} \prod_{i=1}^n \frac{\sigma(u_i) \sigma(qu_i) \sigma(qu_i^{-1}) \sigma(q^2 u_i u_{n+1}) \sigma(q^2 u_i^{-1} u_{n+1}^{-1})}{\sigma(u_i u_{n+1}^{-1})} \prod_{1 \leq i < j \leq n} \left(\frac{\sigma(q^2 u_i u_j) \sigma(q^2 u_i^{-1} u_j^{-1})}{\sigma(u_i u_j^{-1})} \right)^2 \\
 &\quad \times \left(\det_{1 \leq i, j \leq n+1} \left(\begin{cases} \frac{q^2 + q^{-2} + u_i^2 + u_j^{-2}}{\sigma(q^2 u_i u_j) \sigma(q^2 u_i^{-1} u_j^{-1})}, & i \leq n \\ \frac{u_{n+1}^{-1} - 1}{u_j^2 - 1}, & i = n + 1 \end{cases} \right) + \det_{1 \leq i, j \leq n+1} \left(\begin{cases} \frac{q^2 + q^{-2} + u_i^{-2} + u_j^2}{\sigma(q^2 u_i u_j) \sigma(q^2 u_i^{-1} u_j^{-1})}, & i \leq n \\ \frac{u_{n+1}^{-1} - 1}{u_j^{-2} - 1}, & i = n + 1 \end{cases} \right) \right)
 \end{aligned}$$

Proof outline:

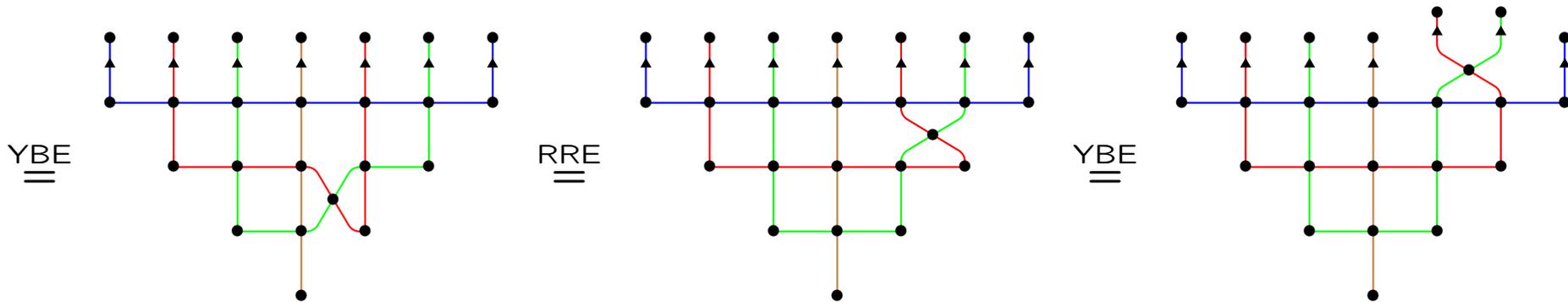
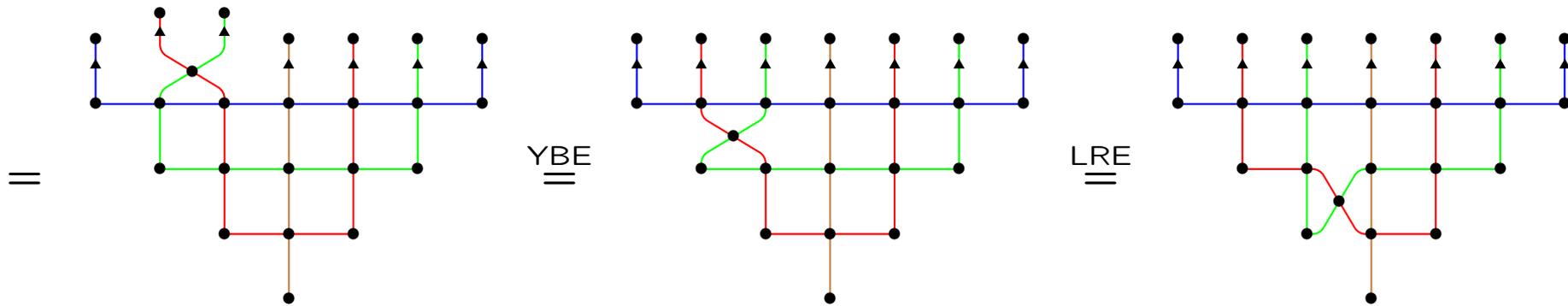
- Show that a function $X(u_1, \dots, u_{n+1})$ which satisfies the following properties is uniquely determined:
 - (1) $X(u_1) = 1$
 - (2) $X(u_1, \dots, u_{n+1})$ a Laurent polynomial in u_{n+1} of lower degree $\geq -n$, upper degree $\leq n$
 - (3) $X(u_1, \dots, u_{n+1})|_{u_1 u_{n+1} = q^2} = \frac{\sigma(qu_1) (\sigma(qu_1^{-1}) + \sigma(q)) \prod_{i=2}^n \sigma(q^2 u_1 u_i) \sigma(q^2 u_i u_{n+1})}{\sigma(q)^2 \sigma(q^4)^{2n-2}} X(u_2, \dots, u_n, u_1)$
 - (4) $X(u_1, \dots, u_{n+1})$ symmetric in u_1, \dots, u_n
 - (5) $X(u_1^{-1}, \dots, u_{n+1}^{-1}) = X(u_1, \dots, u_{n+1})$
 - (6) $X(u_1, \dots, u_{n+1})$ even in u_i , for $i = 1, \dots, n$
- Show that LHS & RHS of required formula both satisfy all of these properties.

Part of proof:

Use Yang–Baxter and reflection equations (YBE, LRE, RRE) to show that $Z(u_1, \dots, u_{n+1})$ is symmetric in u_i and u_{i+1} , $i = 1, \dots, n-1$.

e.g. $n = 3$ & $i = 2$:

$$W(\begin{array}{c} \uparrow \\ \leftarrow \rightarrow \\ \downarrow \end{array}, q^2 u_2^{-1} u_3) Z(u_1, u_2, u_3, u_4)$$



$$= W(\begin{array}{c} \uparrow \\ \leftarrow \rightarrow \\ \downarrow \end{array}, q^2 u_2^{-1} u_3) Z(u_1, u_3, u_2, u_4)$$

□

Partition Function at $q = e^{i\pi/6}$

$$Z(u_1, \dots, u_{n+1}) \Big|_{q=e^{i\pi/6}} = 3^{-n(n-1)/2} \left(\frac{u_{n+1}^n}{u_{n+1}+1} s_{(n,n-1,n-1,\dots,2,2,1,1)}(u_1^2, u_1^{-2}, \dots, u_n^2, u_n^{-2}, u_{n+1}^{-2}) \right. \\ \left. + \frac{u_{n+1}^{-n}}{u_{n+1}^{-1}+1} s_{(n,n-1,n-1,\dots,2,2,1,1)}(u_1^2, u_1^{-2}, \dots, u_n^2, u_n^{-2}, u_{n+1}^2) \right)$$

Proof outline:

- Substitute $q = e^{i\pi/6}$ into determinantal expression for partition function.
- Apply identity which converts certain $(n+1) \times (n+1)$ determinant to $(2n+2) \times (2n+2)$ determinant. (*Okada 1998*)
- Use $s_\lambda(x_1, \dots, x_k) = \frac{\det_{1 \leq i, j \leq k} (x_i^{\lambda_j + k - j})}{\prod_{1 \leq i < j \leq k} (x_i - x_j)}$.

Final Step

- Set $u_1 = \dots = u_{n+1} = 1$ & recall $Z(\underbrace{1, \dots, 1}_{n+1}) \Big|_{q=e^{i\pi/6}} = (\# \text{ of } (2n+1) \times (2n+1) \text{ DASASMs})$.
- Obtain $((2n+1) \times (2n+1) \text{ DASASMs}) = 3^{-n(n-1)/2} \text{SSYT}((n, n-1, n-1, \dots, 2, 2, 1, 1), 2n+1)$

$$= \prod_{i=0}^n \frac{(3i)!}{(n+i)!} \text{ as required.}$$

of Odd-Order DASASMs with Fixed Central Entry

$$\frac{\# \text{ of } (2n+1) \times (2n+1) \text{ DASASMs with central entry } -1}{\# \text{ of } (2n+1) \times (2n+1) \text{ DASASMs with central entry } 1} = \frac{n}{n+1}$$

Proof outline:

- Introduce partition functions $Z_{\pm}(u_1, \dots, u_{n+1})$ for $(2n+1) \times (2n+1)$ DASASMs with central entry ± 1 .
- Show that $Z_{\pm}(u_1, \dots, u_{n+1}) = \frac{1}{2} (Z(u_1, \dots, u_n, u_{n+1}) \pm (-1)^n Z(u_1, \dots, u_n, -u_{n+1}))$.
- Use previous results for $Z(u_1, \dots, u_{n+1})$.

Features of Odd-Order DASASM Generating Function

- Only a *single* set u_1, \dots, u_{n+1} of parameters used.
- Last parameter u_{n+1} plays *special* role.
- Yang–Baxter *and* reflection equation needed (with certain boundary weights not previously used for ASM enumeration).
- Partition function formula involves *sum* of two determinantal terms.

Final Messages

- ASMs, ASTs, DPPs & TSSCPPs are intriguing combinatorial objects.
- Many results have been proved.
- Many aspects are still not properly understood.