

## COMMUTATIVITY OF RINGS WITH POWERS COMMUTING ON SUBSETS

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Throughout this paper  $R$  will represent a ring with an identity element  $1$ , and the commutator ideal of  $R$  will be denoted by  $D(R)$ . As usual, for  $x, y \in R$ , we shall write  $[x, y] = xy - yx$ .

In [5], the author and H. Tominaga proved the following: If, for each  $x, y \in R$ , there exist relatively prime positive integers  $m, n$  such that  $[x^m, y^m] = 0 = [x^n, y^n]$ , then  $R$  is commutative. In [1], H. E. Bell, M. Janjić and E. Psomopoulos established some related commutativity theorems with the commutativity of powers assumed only for elements of some proper subset of  $R$ .

The purpose of this paper is to generalize the results of [1]. For example, Theorem 4.3 contains the following: Let  $A$  be a proper left ideal of  $R$ . Suppose that, for each  $x, y, z \in R \setminus A$ , there exist relatively prime positive integers  $m, n$  such that  $[s^k, t^k] = 0$  for all  $s, t \in \{x, y, z\}$  and  $k \in \{m, n\}$ . Then  $R$  is commutative.

**1. Preliminaries.** A ring  $R$  with  $1$  is called a *unitary ring*, a subring of  $R$  containing  $1$  of  $R$  is called a *unitary subring* of  $R$ , and a ring homomorphic image of a unitary subring of  $R$  is called a *unitary factorsubring* of  $R$ .

If  $R$  contains the minimum nonzero ideal  $I$ , we shall call  $I$  the *heart* of  $R$ . For  $X \subseteq R$ , we shall denote by  $\langle X \rangle$  the subring of  $R$  generated by  $X$ .

The next theorem improves [6, Satz] and plays a central role in this paper.

**Theorem 1.1.** *Let  $R$  be a unitary ring. If  $R$  is not commutative, then there exists a unitary factorsubring of  $R$  which is of type (i), (ii), (iii), (iv), or (v):*

$$(i) \begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & \text{GF}(p) \end{pmatrix}, \text{ where } p \text{ is a prime number.}$$

- (ii)  $M_\sigma(K) = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \sigma(\alpha) \end{pmatrix} \mid \alpha, \beta \in K \right\}$ , where  $K$  is a finite field with a nontrivial automorphism  $\sigma$ .
- (iii) A noncommutative division ring.
- (iv) A domain generated by 1 and a simple radical subring.
- (v) A finite ring  $S = \langle 1, x, y \rangle$  such that  $D(S)$  is the heart of  $S$  and  $\langle x, y \rangle$  is nilpotent.

*Proof.* [6, Satz] states that there exists a unitary factorsubring of  $R$  which is of type (i), (ii), (iii), (iv), (v) or (vi), where

- (vi) A ring  $S = \langle 1, x, y \rangle$  such that  $D(S)$  is the heart of  $S$ ,  $\langle x, y \rangle D(S) = D(S) \langle x, y \rangle = 0$  and the set of all nilpotent elements of  $\langle x, y \rangle$  is a commutative ideal of  $S$ .

However, by [4, Proposition 2], a ring of type (vi) does not exist.

**Remark 1.2.** Let  $R$  be a ring of type (v), i.e.,  $R = \langle 1, x, y \rangle$  is a finite ring such that  $D(R)$  is the heart of  $R$  and  $T = \langle x, y \rangle$  is nilpotent. We shall show that

- (a) the characteristic of  $R$  is a power of a prime number, and  
 (b)  $TD(R) = D(R)T = 0$ .

Since  $R$  has the heart,  $R$  is directly indecomposable, which implies (a). Since  $T$  is a nilpotent ideal of  $R$ ,  $TD(R)$  is an ideal properly contained in  $D(R)$ . Hence we have  $TD(R) = 0$ ; similarly  $D(R)T = 0$ .

**2.  $P$ -subset.** We shall denote by  $\mathbf{W}$  the set of all words in  $X, Y$ , namely products of factors each of which is  $X$  or  $Y$  (together with 1). A subset  $A$  of  $R$  is called a  $P$ -subset of  $R$  if, for each  $x, y \in A$ , there exist  $w_1, \dots, w_r \in \mathbf{W}$  and positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that either  $w_i(x, y)[x^{n_i}, y^{n_i}] = 0$  ( $i = 1, \dots, r$ ) or  $w_i(x, y)\{(xy)^{n_i} - (yx)^{n_i}\} = 0$  ( $i = 1, \dots, r$ ). We assume that an empty set is a  $P$ -subset.

Our first result is the following

**Theorem 2.1.** *Let  $R$  be a unitary ring. If  $R$  is a union of two  $P$ -subsets, then  $R$  is commutative.*

In preparation for the proof, we need some lemmas.

**Lemma 2.2.** Let  $R = \begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & \text{GF}(p) \end{pmatrix}$ , where  $p$  is a prime number. If  $\alpha \neq \beta \in \text{GF}(p)$ , then neither

$$\left\{ \begin{pmatrix} 1 & \alpha \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & \beta \\ 0 & 0 \end{pmatrix} \right\} \text{ nor } \left\{ \begin{pmatrix} 1 & \alpha \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$$

are  $P$ -subset.

*Proof.* This is obvious.

**Lemma 2.3.** Let  $R = M_\sigma(K)$ , where  $K$  is a finite field with a nontrivial automorphism  $\sigma$ . Put  $x = \begin{pmatrix} \alpha & \beta \\ 0 & \sigma(\alpha) \end{pmatrix}$  and  $y = \begin{pmatrix} \alpha & \gamma \\ 0 & \sigma(\alpha) \end{pmatrix}$ , where  $\alpha, \beta, \gamma \in K$  such that  $\sigma(\alpha) \neq \alpha$  and  $\beta \neq \gamma$ . Then  $\{x, y\}$  is not a  $P$ -subset.

*Proof.* We can calculate

$$\begin{aligned} [x^n, y^n] &= (\sigma(\alpha^n) - \alpha^n) \frac{\beta - \gamma}{\sigma(\alpha) - \alpha} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \\ (xy)^n - (yx)^n &= \begin{cases} (\sigma(\alpha^{2n}) - \alpha^{2n}) \frac{(\sigma(\alpha) - \alpha)(\beta - \gamma)}{\sigma(\alpha^2) - \alpha^2} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} & \text{if } \sigma(\alpha^2) \neq \alpha^2 \\ n\alpha^{2(n-1)}(\sigma(\alpha) - \alpha)(\beta - \gamma) \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} & \text{if } \sigma(\alpha^2) = \alpha^2. \end{cases} \end{aligned}$$

Since  $x$  and  $y$  are invertible, we get the assertion.

As usual, an element  $x$  in  $R$  is said to be regular if  $x$  is not a divisor of zero.

**Lemma 2.4.** Let  $x$  and  $y$  be regular elements in  $R$ . If  $\{x, y\}$  is a  $P$ -subset of  $R$ , then there exists a positive integer  $n$  such that  $[x^n, y^n] = 0$ .

*Proof.* There exist positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that either (1)  $[x^{n_i}, y^{n_i}] = 0$  ( $i = 1, \dots, r$ ) or (2)  $(xy)^{n_i} = (yx)^{n_i}$  ( $i = 1, \dots, r$ ). There exist nonnegative integers  $m_1, \dots, m_r$  such that  $k = m_1 n_1 + \dots + m_s n_s$ ,  $l = m_{s+1} n_{s+1} + \dots + m_r n_r$ , and  $1 = k - l$  ( $1 \leq s \leq r$ ).

Case (1): Put  $n = n_1 \cdots n_r$ . Then we have  $[x^n, y^{n_i}] = 0$  for all  $i$ . Therefore, we have  $[x^n, y]y^l = [x^n, y^{1+l}] = [x^n, y^k] = 0$ . Hence  $[x^n, y] = 0$ .

Case (2): Since  $[x, (xy)^{n_i}] = x((xy)^{n_i} - (yx)^{n_i}) = 0$  for all  $i$ , we have  $x[x, y](xy)^l = [x, xy](xy)^l = [x, (xy)^{1+l}] = [x, (xy)^k] = 0$ . Hence  $[x, y] = 0$ .

**Lemma 2.5.** *Let  $R$  be a unitary ring and  $T$  a nilpotent subring of  $R$  such that  $T[T, T] = 0 = [T, T]T$ . Let  $x, y \in T$ . If  $\{1+x, 1+y\}$  is a  $P$ -subset of  $R$ , then  $[x, y] = 0$ .*

*Proof.* Noting that both  $1+x$  and  $1+y$  are invertible, there exist positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that either  $[(1+x)^{n_i}, (1+y)^{n_i}] = 0$  ( $i = 1, \dots, r$ ) or  $((1+x)(1+y))^{n_i} = ((1+y)(1+x))^{n_i}$  ( $i = 1, \dots, r$ ). Since  $T[T, T] = 0 = [T, T]T$ , we see that  $[(1+x)^{n_i}, (1+y)^{n_i}] = n_i^2[x, y]$  and  $((1+x)(1+y))^{n_i} - ((1+y)(1+x))^{n_i} = n_i[x, y]$ . Therefore, we can get the assertion.

*Proof of Theorem 2.1.* The assumption of Theorem 2.1 is inherited by all unitary factorsubrings. In view of Theorem 1.1, it suffices to show that  $R$  is not of type (i), (ii), (iii), (iv), or (v). We assume that  $R = A \cup B$ , where  $A$  and  $B$  are  $P$ -subsets of  $R$ .

Suppose that  $R$  is of type (i). Put  $F = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$ .

Since  $R = A \cup B$ , one of  $A \cap F$  and  $B \cap F$  contains at least two elements. But, this contradicts Lemma 2.2.

Suppose that  $R$  is of type (ii). We choose  $\alpha \in K$  such that  $\sigma(\alpha) \neq \alpha$ , and put  $F = \left\{ \begin{pmatrix} \alpha & 0 \\ 0 & \sigma(\alpha) \end{pmatrix}, \begin{pmatrix} \alpha & 1 \\ 0 & \sigma(\alpha) \end{pmatrix}, \begin{pmatrix} \alpha & \alpha \\ 0 & \sigma(\alpha) \end{pmatrix} \right\}$ . Then one of  $A \cap F$  and  $B \cap F$  contains at least two elements, which contradicts Lemma 2.3.

Suppose that  $R$  is of type (iii) or (iv). Let  $x$  and  $y$  be arbitrary elements in  $R$ . If both  $x$  and  $y$  belong to  $A$  or  $B$ , then, by Lemma 2.4, there exists a positive integer  $n$  such that  $[x^n, y] = 0$ . Now, assume that  $x \in A$  and  $y \in B$ . If  $x+y \in A$ , then, by Lemma 2.4, there exists a positive integer  $n$  such that  $[x^n, x+y] = 0$ , and so  $[x^n, y] = 0$ . Similarly, if  $x+y \in B$ , then we have  $[y^n, x] = 0$  with some positive integer  $n$ . Hence,  $R$  is commutative by [3, Theorem], a contradiction.

Finally, suppose that  $R$  is of type (v). Then  $R = \langle 1, x, y \rangle$ ,  $D(R)$  is the heart of  $R$ , and  $T = \langle x, y \rangle$  is nilpotent. By Remark 1.2 (b), we have  $TD(R) = 0 = D(R)T$ . Put  $F = \{1+x, 1+y, 1+x+y\}$ . Then one of  $A \cap F$  and  $B \cap F$  contains at least two elements, which contradicts Lemma 2.5.

**3.  $P(h, r)$ -subset.** A subset  $A$  of  $R$  is called a  $P^*$ -subset of  $R$  if, for each  $x, y \in A$ , there exist  $w_1, \dots, w_r \in \mathbf{W}$  and positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that  $w_i(x, y)((xy)^{n_i} - (yx)^{n_i}) = 0$  ( $i = 1, \dots, r$ ).

Let  $h$  and  $r$  be positive integers. A subset  $A$  of  $R$  is called a  $P(h, r)$ -subset of  $R$  if, for each  $F \subseteq A$  consisting at most  $h$  elements, there exists a set  $N$  of  $r$  pairwise relatively prime positive integers and  $w_{xy}^{(n)} \in \mathbf{W}$  ( $x, y \in F, n \in N$ ) such that either  $w_{xy}^{(n)}(x, y)[x^n, y^n] = 0$  ( $x, y \in F, n \in N$ ) or  $w_{xy}^{(n)}(x, y)((xy)^n - (yx)^n) = 0$  ( $x, y \in F, n \in N$ ).

For a positive integer  $n$ , we consider the following condition:

$Q'(n)$  For each  $x, y \in R$ ,  $[x, y]$  has the additive order which is relatively prime to  $n$ .

In this section, we shall prove the following theorems.

**Theorem 3.1.** *Let  $R$  be a unitary ring satisfying  $Q'(2)$ . Suppose that  $A$  is an additive subgroup of  $R$  excluding 1. If  $R \setminus A$  is a  $P^*$ -subset of  $R$ , then  $R$  is commutative.*

**Theorem 3.2.** *Let  $R$  be a unitary ring satisfying  $Q'(2)$ . Suppose that  $A$  is an additive subgroup of  $R$  excluding 1. If  $R \setminus A$  is a  $P(2, 3)$ -subset of  $R$ , then  $R$  is commutative.*

**Theorem 3.3.** *Let  $R$  be a unitary ring satisfying  $Q'(6)$ . Suppose that  $A$  is an additive subgroup of  $R$  excluding 1. If  $R \setminus A$  is a  $P(3, 2)$ -subset of  $R$ , then  $R$  is commutative.*

To prove these, we need some lemmas.

The next lemma is easy and well known.

**Lemma 3.4.** *Let  $R$  be a ring and  $A$  a proper additive subgroup of  $R$ . If  $R \setminus A$  is commutative, then  $R$  is commutative.*

**Lemma 3.5.** *Let  $\varphi$  be a ring homomorphism from a unitary subring  $R'$  of  $R$  onto a noncommutative ring  $S$ . Let  $A$  be an additive subgroup of  $R$  excluding 1. If  $R \setminus A$  is a  $P$ -subset of  $R$  then  $\varphi(A \cap R')$  is a proper additive subgroup of  $S$ .*

*Proof.* Put  $B = \varphi(A \cap R')$ . If  $B = 0$ , then  $S$  is a union of two  $P$ -subsets  $\varphi(R' \setminus A)$  and  $\{0\}$ . But, this is impossible by Theorem 2.1. Hence  $B \neq 0$ . On the other hand,  $1+B$  is a  $P$ -subset of  $S$ , because  $1+(A \cap R') \subseteq R \setminus A$ . Therefore, if  $B = S$  then  $S$  is a  $P$ -subset of  $S$ , which is impossible again by Theorem 2.1. Hence  $B \neq S$ .

**Lemma 3.6.** *Let  $R = \begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & \text{GF}(p) \end{pmatrix}$ , where  $p$  is a prime number greater than 2. If  $A$  is a proper additive subgroup of  $R$ , then  $R \setminus A$  is not a  $P$ -subset of  $R$ .*

*Proof.* Suppose that  $R \setminus A$  is a  $P$ -subset of  $R$ . Further, suppose that  $\begin{pmatrix} 1 & \alpha \\ 0 & 0 \end{pmatrix} \notin A$  for some  $\alpha \in \text{GF}(p)$ . Then, by Lemma 2.2, both  $\begin{pmatrix} 1 & \alpha + 2^{-1} \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 & \alpha + 1 \\ 0 & 0 \end{pmatrix}$  belong to  $A$ . Hence, we have

$$\begin{pmatrix} 1 & \alpha \\ 0 & 0 \end{pmatrix} = 2 \begin{pmatrix} 1 & \alpha + 2^{-1} \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 1 & \alpha + 1 \\ 0 & 0 \end{pmatrix} \in A,$$

a contradiction. Therefore, all  $\begin{pmatrix} 1 & \alpha \\ 0 & 0 \end{pmatrix}$  belong to  $A$ .

Since  $A$  is a proper additive subgroup of  $R$ ,  $A$  coincides with

$$\begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & 0 \end{pmatrix}.$$

On the other hand, it is easy to see that  $\left\{ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & -1 \end{pmatrix} \right\} (\subseteq R \setminus A)$  is not a  $P$ -subset of  $R$ , a contradiction.

**Lemma 3.7.** *Let  $R = M_\sigma(K)$ , where  $K$  is a finite field with a nontrivial automorphism  $\sigma$ . If  $A$  is a proper additive subgroup of  $R$ , then  $R \setminus A$  is not a  $P$ -subset of  $R$ .*

*Proof.* Suppose that  $R \setminus A$  is a  $P$ -subset of  $R$ . Further, suppose that  $\begin{pmatrix} \alpha & 0 \\ 0 & \sigma(\alpha) \end{pmatrix} \notin A$  for some  $\alpha \in K$ . If  $\sigma(\alpha) = \alpha$ , then, for  $\eta \in K$  with  $\sigma(\eta) \neq \eta$ , one of  $\begin{pmatrix} \eta & 0 \\ 0 & \sigma(\eta) \end{pmatrix}$  and  $\begin{pmatrix} \alpha + \eta & 0 \\ 0 & \sigma(\alpha + \eta) \end{pmatrix}$  does

not belong to  $A$ . Hence, we may assume that  $\sigma(\alpha) \neq \alpha$ . By Lemma 2.3, we see that  $\begin{pmatrix} \alpha & \beta \\ 0 & \sigma(\alpha) \end{pmatrix} \in A$  for all  $0 \neq \beta \in K$ . It follows that  $\begin{pmatrix} \alpha & 0 \\ 0 & \sigma(\alpha) \end{pmatrix} = \begin{pmatrix} \alpha & \alpha \\ 0 & \sigma(\alpha) \end{pmatrix} + \begin{pmatrix} \alpha & 1 \\ 0 & \sigma(\alpha) \end{pmatrix} - \begin{pmatrix} \alpha & \alpha+1 \\ 0 & \sigma(\alpha) \end{pmatrix} \in A$ , a contradiction. Hence, we can write

$$A = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \sigma(\alpha) \end{pmatrix} \mid \alpha \in K, \beta \in B \right\},$$

where  $B$  is a proper additive subgroup of  $K$ .

We can choose two different elements  $\gamma$  and  $\delta$  in  $K \setminus B$ . Then, by Lemma 2.3, a subset  $\left\{ \begin{pmatrix} \alpha & \gamma \\ 0 & \sigma(\alpha) \end{pmatrix}, \begin{pmatrix} \alpha & \delta \\ 0 & \sigma(\alpha) \end{pmatrix} \right\}$  of  $R \setminus A$  is not a  $P$ -subset, a contradiction.

**Lemma 3.8.** *Let  $x$  and  $y$  be regular elements in  $R$ .*

(a) *If  $\{x, y\}$  is a  $P^*$ -subset of  $R$ , then  $[x, y] = 0$ .*

(b) *If  $\{x, y\}$  is a  $P(2, 3)$ -subset of  $R$ , then  $[x, y] = 0$ .*

*Proof.*

(a) This was proved in Lemma 2.4.

(b) There exist pairwise relatively prime positive integers  $l, m, n$  such that either (i)  $[x^l, y^l] = [x^m, y^m] = [x^n, y^n] = 0$  or (ii)  $(xy)^l = (yx)^l$ ,  $(xy)^m = (yx)^m$ ,  $(xy)^n = (yx)^n$ . In case of (ii),  $\{x, y\}$  is a  $P^*$ -subset, and so  $[x, y] = 0$  by (a). We consider the case (i). By the proof of Lemma 2.4, we see that  $[x^{lm}, y] = [x^{mn}, y] = [x^{nl}, y] = 0$ . Since  $(lm, mn, nl) = 1$ , the same argument of the proof of Lemma 2.4 shows that  $[x, y] = 0$ .

**Corollary 3.9.** *Let  $R$  be a domain and  $A$  a proper additive subgroup of  $R$ . If  $R \setminus A$  is a  $P^*$ -subset or a  $P(2, 3)$ -subset of  $R$ , then  $R$  is commutative.*

*Proof.* This is obvious by Lemmas 3.4 and 3.8.

**Lemma 3.10.** *Let  $x, y$  be invertible elements of  $R$ . If  $\{x, y, xy\}$  is a  $P(3, 2)$ -subset of  $R$  then  $[x, y] = 0$ .*

*Proof.* This is a combination of [2, Lemma 1] and Lemma 3.8 (a).

**Lemma 3.11.** *Let  $R$  be a noncommutative division ring and  $A$  a proper additive subgroup of  $R$ . If  $R \setminus A$  is a  $P(3, 2)$ -subset of  $R$ , then  $A$  is not a subring of  $R$  and the additive group  $R/A$  has an element of order 2.*

*Proof.* By Lemma 3.4, there exist  $x, y \in R \setminus A$  such that  $[x, y] \neq 0$ . By Lemma 3.10, we have

$$xy \in A.$$

Since  $(1+x)y = y + xy \notin A$  and  $[1+x, y] \neq 0$ , we have  $1+x \in A$  again by Lemma 3.10, and similarly  $1+y \in A$ . Then, we see that  $(1+x)(1+y) = (1+x) + xy + y \notin A$ . Thus  $A$  is not a subring of  $R$ .

Since  $x \notin A$  and  $1+x \in A$ , we have  $1 \notin A$ , and so  $2+y \notin A$ . Now suppose that  $2x \notin A$ . Then, we see that  $x(2+y) = 2x + xy \notin A$ . By Lemma 3.10, this induces a contradiction  $[x, 2+y] = 0$ . Thus  $2x \in A$ .

**Lemma 3.12.** *Let  $R$  be a ring of type (iv) which has characteristic  $p$  greater than 3. If  $A$  is a proper additive subgroup of  $R$ , then  $R \setminus A$  is not a  $P(3, 2)$ -subset of  $R$ .*

*Proof.*  $R = \text{GF}(p) \oplus T$  as additive group, where  $T$  is a simple radical ring. Suppose that  $R \setminus A$  is a  $P(3, 2)$ -subset of  $R$ . If  $1+T \subseteq A$ , then we have  $1 \in A$  and  $T \subseteq A$ , and so  $A = R$ , a contradiction. Hence, there exists  $t \in T$  such that

$$1+t \notin A.$$

Now, suppose that  $(1+T) \setminus A$  is commutative. Let  $x, y$  be arbitrary elements in  $T$ .

Case 1.  $1+x \notin A, 1+y \notin A$ : Our supposition implies that  $[x, y] = 0$ .

Case 2.  $1+x \notin A, 1+y \in A$ : Since  $1+t \notin A$ , we have  $[x, t] = 0$  by case 1. Further,  $2+y+t = (1+y) + (1+t) \notin A$  implies that  $1+2^{-1}(y+t) \notin A$ . Therefore, we have  $[x, y+t] = 0$  by case 1. Hence  $[x, y] = 0$ .

Case 3.  $1+x \in A, 1+y \notin A$ : Similar to case 2.

Case 4.  $1+x \in A, 1+y \in A$ : Since  $1+t \notin A$ , we have  $[t, y] = 0$  by case 2. By the same way of the proof of case 2, we can see that  $1+2^{-1}(x+t) \notin A$  and  $[x+t, y] = 0$ . Hence  $[x, y] = 0$ . Thus we have shown that  $T$  is commutative, a contradiction.

Therefore, there exist  $x, y \in T$  such that

$$1+x \notin A, 1+y \notin A, \text{ and } [1+x, 1+y] \neq 0.$$



By Lemma 3.10, we have  $(1+x)(1+y) \in A$ . Since  $(2+x)(1+y) = (1+y) + (1+x)(1+y) \notin A$  and  $[2+x, 1+y] \neq 0$ , we have  $2+x \in A$  again by Lemma 3.10; similarly  $2+y \in A$ . Since  $1+x \notin A$ , we have  $1 \notin A$ , and so  $3+x \notin A$ . On the other hand, since  $R$  has characteristic  $p > 3$ ,  $1+y \notin A$  implies that  $2(1+y) \notin A$ . Therefore, we have  $(3+x)(1+y) = 2(1+y) + (1+x)(1+y) \notin A$ . Hence, by Lemma 3.10, we have  $[3+x, 1+y] = 0$ , a contradiction.

**Lemma 3.13.** *Let  $R$  be a ring of type (v), and  $A$  a proper additive subgroup of  $R$ . If  $R \setminus A$  is a  $P$ -subset of  $R$ , then the characteristic of  $R$  is a power of 2 and there exists  $a \in A$  such that  $a^2 \notin A$ .*

*Proof.*  $R$  is a finite ring  $\langle 1, x, y \rangle$  such that  $T = \langle x, y \rangle$  is nilpotent and  $TD(R) = 0 = D(R)T$ . For  $X \subseteq T$ , we shall denote by  $C(X)$  the centralizer of  $X$  in  $T$ .

Now, suppose that  $1 \in A$ . Then  $T \not\subseteq A$ . By Lemma 3.4, there exists  $t \in T \setminus (A \cup C(T))$ . Since  $1+t \notin A$ , we have  $1 + (T \setminus C(t)) \subseteq A$  by Lemma 2.5. This together with  $1 \in A$  implies that  $T \setminus C(t) \subseteq A$ , and so  $T \subseteq A$ , which is a contradiction. Thus we have shown that

$$1 \notin A.$$

Let  $u$  and  $v$  be elements in  $T$  such that  $[u, v] \neq 0$ . If  $1 + (T \setminus C(T)) \subseteq A$ , then we have  $1+u, 1+v, 1+u+v \in A$ , which implies a contradiction  $1 = (1+u) + (1+v) - (1+u+v) \in A$ . Hence, there exists  $x \in T \setminus C(T)$  such that  $1+x \notin A$ . By Lemma 2.5, we have

$$1 + (T \setminus C(x)) \subseteq A.$$

Let  $y \in T \setminus C(x)$ . Then we have  $1+y \in A$ . Since  $1 \notin A$ , we see that  $1+2y \notin A$ . Hence, we have

$$2y \in C(x)$$

by Lemma 2.5, i.e.,  $2[x, y] = 0$ . Noting Remark 1.2 (a), this shows that the characteristic of  $R$  is a power of 2.

We see that  $[y^2, x] = y[y, x] + [y, x]x = 0$ , i.e.,  $y^2 \in C(x)$ . Hence

$$2y + y^2 \in C(x).$$

Since  $y + C(x) \subseteq T \setminus C(x)$ , we have  $1 + (y + C(x)) \subseteq A$ . This together with  $1+y \in A$  implies that  $C(x) \subseteq A$ . Accordingly,  $2y + y^2 \in A$ . Hence, we have  $(1+y)^2 = 1 + (2y + y^2) \notin A$ .

*Proof of Theorem 3.1.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  satisfying  $Q'(2)$  contains a proper additive subgroup  $A$  such that  $R \setminus A$  is a  $P^*$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). This was proved by Lemmas 3.6, 3.7, and 3.13 and Corollary 3.9.

*Proof of Theorem 3.2.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  satisfying  $Q'(2)$  contains a proper additive subgroup  $A$  such that  $R \setminus A$  is a  $P(2, 3)$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). This was proved by Lemmas 3.6, 3.7, and 3.13 and Corollary 3.9.

*Proof of Theorem 3.3.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  satisfying  $Q'(6)$  contains a proper additive subgroup  $A$  such that  $R \setminus A$  is a  $P(3, 2)$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). This was proved by Lemmas 3.6, 3.7, 3.11, 3.12, and 3.13.

**4.  $Q^*$ -subset.** A subset  $A$  of  $R$  is called a  $Q^*$ -subset of  $R$  if, for each  $x, y \in A$ , there exists a nonnegative integer  $k$  and positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that  $x^k((xy)^{n_i} - (yx)^{n_i}) = 0$  ( $i = 1, \dots, r$ ).

Let  $h$  and  $r$  be positive integers. A subset  $A$  of  $R$  is called a  $Q(h, r)$ -subset of  $R$  if, for each  $F \subseteq A$  consisting at most  $h$  elements, there exists a nonnegative integer  $k$  and a set  $N$  of  $r$  pairwise relatively prime positive integers such that either  $x^k[x^n, y^n] = 0$  ( $x, y \in F, n \in N$ ) or  $x^k((xy)^n - (yx)^n) = 0$  ( $x, y \in F, n \in N$ ).

We shall prove the following theorems.

**Theorem 4.1.** *Let  $R$  be a unitary ring and  $A$  an additive subgroup of  $R$  excluding 1. Suppose that  $a^2 \in A$  for all  $a \in A$ . If  $R \setminus A$  is a  $Q^*$ -subset of  $R$ , then  $R$  is commutative.*

**Theorem 4.2.** *Let  $R$  be a unitary ring and  $A$  an additive subgroup of  $R$  excluding 1. Suppose that  $a^2 \in A$  for all  $a \in A$ . If  $R \setminus A$  is a  $Q(2, 3)$ -subset of  $R$ , then  $R$  is commutative.*

**Theorem 4.3.** *Let  $R$  be a unitary ring and  $A$  a proper left ideal of  $R$ . If  $R \setminus A$  is a  $Q(3, 2)$ -subset of  $R$ , then  $R$  is commutative.*

We need the following lemma. A subset  $A$  of  $R$  is called a  $Q$ -subset of  $R$  if, for each  $x, y \in A$ , there exists a nonnegative integer  $k$  and positive integers  $n_1, \dots, n_r$  with  $(n_1, \dots, n_r) = 1$  such that either  $x^k[x^{n_i}, y^{n_i}] = 0$  ( $i = 1, \dots, r$ ) or  $x^k((xy)^{n_i} - (yx)^{n_i}) = 0$  ( $i = 1, \dots, r$ ).

**Lemma 4.4.** *Let  $R = \begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & \text{GF}(p) \end{pmatrix}$ , where  $p$  is a prime number. If  $A$  is a proper additive subgroup of  $R$  and  $a^2 \in A$  for all  $a \in A$ , then  $R \setminus A$  is not a  $Q$ -subset of  $R$ .*

*Proof.* Suppose that  $R \setminus A$  is a  $Q$ -subset of  $R$ .

We claim that  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in A$ . In fact, if  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \notin A$ , then both  $\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  belong to  $A$  by Lemma 2.2. Hence

$$A = \left\{ \begin{pmatrix} \alpha & \alpha \\ 0 & \beta \end{pmatrix} \mid \alpha, \beta \in \text{GF}(p) \right\}.$$

Accordingly, we have  $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^2 \in A$ , a contradiction.

Next, we claim that  $\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \in A$ . If  $\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \notin A$ , then we have  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in A$  by Lemma 2.2. Hence  $A = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \beta \end{pmatrix} \mid \alpha, \beta \in \text{GF}(p) \right\}$ , which induces a contradiction.

We have thus shown that  $A = \begin{pmatrix} \text{GF}(p) & \text{GF}(p) \\ 0 & 0 \end{pmatrix}$ . However, it is easy to see that  $\left\{ \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\} (\subseteq R \setminus A)$  is not a  $Q$ -subset, a contradiction.

*Proof of Theorem 4.1.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  contains a proper additive subgroup  $A$  such that  $a^2 \in A$  for all  $a \in A$  and  $R \setminus A$  is a  $Q^*$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). This was proved by Lemmas 3.7, 3.13, and 4.4 and Corollary 3.9.

*Proof of Theorem 4.2.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  contains a proper additive subgroup  $A$  such that  $a^2 \in A$  for all  $a \in A$  and  $R \setminus A$  is a  $Q(2, 3)$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). This was proved by Lemmas 3.7, 3.12, and 4.4 and Corollary 3.9.

*Proof of Theorem 4.3.* In view of Theorem 1.1 and Lemma 3.5, it suffices to show that if a unitary ring  $R$  contains a proper left ideal  $A$  such that  $R \setminus A$  is a  $Q(3, 2)$ -subset of  $R$  then  $R$  cannot be of type (i), (ii), (iii), (iv), or (v). By Lemmas 3.7, 3.11, 3.13, and 4.4,  $R$  cannot be of type (i), (ii), (iii), or (v). Suppose that  $R$  is of type (iv). Then,  $R$  is generated by 1 and a simple radical subring  $T$ . Since  $1 + T$  is a subgroup of the unit group of  $R$  and  $A$  is a proper left ideal of  $R$ , we have  $1 + T \subseteq R \setminus A$ . By Lemma 3.10,  $1 + T$  is commutative, which is a contradiction.

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