

## A NOTE ON THE $K$ -THEORY OF CONSTRUCTIBLE SHEAVES OVER A CURVE

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**Introduction.** Let  $X$  be a smooth connected curve and let  $Const(X)$  be the category of constructible sheaves over  $X$ . Since  $Const(X)$  is an abelian category, we can define the  $K$ -group of  $Const(X)$ . The main result of this note is

**Theorem.**

$$K_*(Const(X)) \cong \bigoplus_{x \in X_1} K_*(Const(k(x))) \oplus K_*(\mathcal{R}(X))$$

where  $X_1$  is the set of closed points of  $X$  and  $\mathcal{R}(X)$  is a category related to the representations of absolute Galois group of its generic point (cf. Lemma 4).

In this note we use the Waldhausen's  $K$ -theory machine [4],[5]. Then the fibration theorem [4, Theorem 1.6.4] shows there exists a spectral sequence similar to Quillen spectral sequence of  $K$ -theory of coherent sheaves [3, Theorem 5.4], and we calculate the  $E_1$ -term of this spectral sequence using the approximation theorem [4, Theorem 1.6.7].

**1. The  $K$ -theory of constructible sheaves.** Let  $\mathcal{A}$  be an abelian category and  $\mathcal{C}^\bullet(\mathcal{A})$  be the category of its complexes. Let  $co(\mathcal{C}^\bullet(\mathcal{A}))$  (resp.  $quot(\mathcal{C}^\bullet(\mathcal{A}))$ ) consist of all degreewise monomorphisms (resp. epimorphisms). Let  $w(\mathcal{C}^\bullet(\mathcal{A}))$  consist of all quasi-isomorphisms. Then  $\mathcal{C}^\bullet(\mathcal{A})$  becomes a bi-Waldhausen category which satisfies the saturation and extension axioms, and the usual cylinder and cocylinder functors satisfy cylinder and cocylinder axioms [4],[5]. (Throughout this note, we denote a (bi-)Waldhausen category simply  $\mathcal{C}$  or  $w\mathcal{C}$  when the choice of  $w(\mathcal{C})$  is particularly important.)

Then we define the  $K$ -Theory of  $\mathcal{A}$  by

$$\begin{aligned} K_i(\mathcal{A}) &= \pi_{i+1}(B_*\mathcal{Q}(\mathcal{A})) = \pi_{i+1}(wS_*\mathcal{C}^\bullet(\mathcal{A})) \\ &= \pi_{i+1}(wS_*\mathcal{C}^\bullet(\mathcal{A})^{op}). \quad (\text{See [3],[4],[5].}) \end{aligned}$$

Now let  $X$  be a quasi-compact, quasi-separated scheme and  $R$  be a Noetherian ring. We denote simply  $\text{Const}(X)$  the category of constructible sheaves of abelian groups or  $R$ -modules. Note that  $\text{Const}(X)$  is an abelian category [6, IX]. Therefore we have the  $K$ -theory of constructible sheaves  $K_*(\text{Const}(X))$ .

For any morphism  $f: X \rightarrow Y$ ,  $f^*: \text{Const}(Y) \rightarrow \text{Const}(X)$  is an exact functor, hence it induces a homomorphism of  $K$ -groups which will be denoted by

$$f^*: K_*(\text{Const}(Y)) \longrightarrow K_*(\text{Const}(X)).$$

In this way  $K_*$  becomes a contravariant functor from schemes to abelian groups.

We now consider the situation:  $i: Z \rightarrow X$  is a closed subscheme and  $j: U \rightarrow X$  its complement. Let  $v(\mathcal{C}^*(\text{Const}(X)))$  be the subcategory of  $\mathcal{C}^*(\text{Const}(X))$  whose morphisms are  $f$  so that  $j^*f$  is a quasi-isomorphism of  $\mathcal{C}^*(\text{Const}(U))$ . Then  $v(\mathcal{C}^*(\text{Const}(X)))$  defines another structure of bi-Waldhausen category, denoted by  $v\mathcal{C}^*(\text{Const}(X))$ , satisfying the (co-)cylinder, saturation, and extension axioms. Therefore by the localization theorem, we have a homotopy fiber sequence:

$$wS_\bullet\mathcal{C}^*(\text{Const}(X))^v \longrightarrow wS_\bullet\mathcal{C}^*(\text{Const}(X)) \longrightarrow vS_\bullet\mathcal{C}^*(\text{Const}(X)).$$

Since the functors  $i_*: \text{Const}(Z) \rightarrow \text{Const}(X)$  and  $j^*: \text{Const}(X) \rightarrow \text{Const}(U)$  are exact, they define exact functors of Waldhausen categories:

$$\begin{aligned} i_*: w\mathcal{C}^*(\text{Const}(Z)) &\longrightarrow w\mathcal{C}^*(\text{Const}(X))^v, \\ j^*: v\mathcal{C}^*(\text{Const}(X)) &\longrightarrow w\mathcal{C}^*(\text{Const}(U)). \end{aligned}$$

**Lemma 1.** *The following morphisms are homotopy equivalences:*

$$\begin{aligned} i_*: wS_\bullet\mathcal{C}^*(\text{Const}(Z)) &\longrightarrow wS_\bullet\mathcal{C}^*(\text{Const}(X))^v, \\ j^*: vS_\bullet\mathcal{C}^*(\text{Const}(X)) &\longrightarrow wS_\bullet\mathcal{C}^*(\text{Const}(U)). \end{aligned}$$

*Proof.* For  $i_*$ : Let  $F^\bullet \in \mathcal{C}^*(\text{Const}(X))^v$ ,  $G^\bullet \in \mathcal{C}^*(\text{Const}(Z))$ , and  $\varphi: F^\bullet \rightarrow i_*G^\bullet$ . Put  $G'^\bullet = T^\vee(i^*F^\bullet \rightarrow G^\bullet)$ , where  $T^\vee$  is the usual cocylinder functor,  $\varphi'$  the composition  $F^\bullet \rightarrow i_*i^*F^\bullet \rightarrow i_*G'^\bullet$ , and  $\alpha$  the canonical projection  $G'^\bullet \rightarrow G^\bullet$ . Then  $\alpha$  is a fibration and  $\varphi = \alpha \circ \varphi'$ . Since  $j_!$  is exact and  $j^*F^\bullet$  is acyclic, the exact sequence

$$0 \longrightarrow j_!j^*F^\bullet \longrightarrow F^\bullet \longrightarrow i_*i^*F^\bullet \longrightarrow 0$$

shows  $F^\bullet \rightarrow i_* i^* F^\bullet$  is a quasi-isomorphism, hence so is  $\varphi'$ . Hence  $i_*$  satisfies the (dual of) approximation axioms. For  $j^*$ : Let  $F^\bullet \in \mathcal{C}^\bullet(\text{Const}(X))$ ,  $E^\bullet \in \mathcal{C}^\bullet(\text{Const}(U))$ , and  $\psi: E^\bullet \rightarrow j^* F^\bullet$ . Put  $F'^\bullet = T^\vee(j_! E^\bullet \rightarrow F^\bullet)$ ,  $\psi'$  the composition  $E^\bullet \rightarrow j^* j_! E^\bullet \rightarrow j^* F'^\bullet$ , and  $\beta$  the canonical projection. Then these data show  $j^*$  satisfies the approximation axioms.

**Theorem 2.** *We have an isomorphism:*

$$K_*(\text{Const}(X)) \cong K_*(\text{Const}(Z)) \oplus K_*(\text{Const}(U)).$$

*Proof.* By the above lemma, we have a long exact sequence:

$$\begin{aligned} \cdots \rightarrow K_i(\text{Const}(Z)) &\rightarrow K_i(\text{Const}(X)) \rightarrow K_i(\text{Const}(U)) \rightarrow \\ &\rightarrow K_{i-1}(\text{Const}(Z)) \rightarrow \cdots, \end{aligned}$$

and the functors  $j_!$  and  $i^*$  give a splitting of this exact sequence.

Let  $w_p(\mathcal{C}^\bullet(\text{Const}(X)))$  be the subcategory of  $\mathcal{C}^\bullet(\text{Const}(X))$  whose morphisms are  $f$  so that the support of  $H^*(T^\vee(f))$  is of codimension  $\geq p$ . Then we have homotopy fiber sequences:

$$\begin{aligned} wS_\bullet \mathcal{C}^\bullet(\text{Const}(X))^{w_{p-1}} &\rightarrow wS_\bullet \mathcal{C}^\bullet(\text{Const}(X))^{w_p} \\ &\rightarrow w_{p+1} S_\bullet \mathcal{C}^\bullet(\text{Const}(X))^{w_p}. \end{aligned}$$

The usual arguments show

**Theorem 3.** *There is a spectral sequence*

$$E_1^{p,q} = \pi_{-p-q-1}(w_{p+1} S_\bullet \mathcal{C}^\bullet(\text{Const}(X))^{w_p}) \implies K_{-p-q}(\text{Const}(X)),$$

*which is convergent when  $X$  has finite (Krull) dimension.*

In the next section, we calculate this spectral sequence in the case of curves.

**2. Proof of main theorem.** Let  $X$  be a normal connected irreducible scheme of dimension one, and let  $g: \eta \hookrightarrow X$  be its generic point, and  $X_1$  be the set of closed points. Write  $G_\eta = \text{Gal}(k(\bar{\eta})/k(\eta))$  and  $G_x = \text{Gal}(k(\bar{x})/k(x))$  where  $\bar{\eta}$  and  $\bar{x}$  are chosen so that  $k(\bar{\eta}) = k(\eta)^{sep}$ ,  $k(\bar{x}) = k(x)^{sep}$ . For each  $x \in X_1$ , choose an embedding  $\mathcal{O}_{X,\bar{x}} \rightarrow k(\bar{\eta})$ .

Then we have a filtration  $G_\eta \supseteq D_x \supseteq I_x \supseteq \{1\}$ , and an isomorphism  $D_x/I_x \cong G_x$ . Using these notations, we have an equivalence of categories

$$\text{Const}(X) \cong \left\{ \begin{array}{l} (M_\eta, (M_x, \phi_x)_{x \in X_1}); \\ M_\eta: \text{ a finite } G_\eta\text{-Module,} \\ M_x: \text{ a finite } G_x\text{-Module,} \\ \phi_x: M_x \rightarrow M_\eta^{I_x}: \text{ a } G_x\text{-homomorphism which} \\ \text{ satisfies there exists a non-empty open sub-} \\ \text{ set } U \text{ of } X \text{ s.t. } M_x \rightarrow M_\eta^{I_x} \hookrightarrow M_\eta \text{ is an} \\ \text{ isomorphism for } x \in U. \end{array} \right\},$$

where “finite” means a finite group if we consider sheaves of abelian groups, or a  $R$ -module with finite representation if we consider sheaves of  $R$ -modules. In terms of this identification, a morphism  $M^\bullet \rightarrow N^\bullet$  is in  $w_1(\mathcal{C}^\bullet(\text{Const}(X)))$  if and only if  $M_\eta^\bullet \rightarrow N_\eta^\bullet$  is a quasi-isomorphism.

**Lemma 4.** *We have the following isomorphisms:*

$$\begin{aligned} \pi_{i+1}(wS\mathcal{C}^\bullet(\text{Const}(X))^{w_1}) &\cong \bigoplus_{x \in X_1} K_i(\text{Const}(k(x))), \\ \pi_{i+1}(w_1S\mathcal{C}^\bullet(\text{Const}(X))) &\cong K_i(\mathcal{R}(X)), \end{aligned}$$

where  $\mathcal{R}(X)$  is the full subcategory of finite  $G_\eta$ -modules whose objects are  $M$  such that  $M^{I_x} \rightarrow M$  are isomorphisms for  $x \in U$  for some non-empty open subset  $U$  of  $X$ .

*Proof.* Let  $\text{Const}(X_1)$  be the full subcategory of  $\text{Const}(X)$  consisting of objects whose supports are of dimension zero. Clearly,

$$K_i(\text{Const}(X_1)) \cong \bigoplus_{x \in X_1} K_i(\text{Const}(k(x))).$$

Consider the functor:

$$\Phi: \mathcal{C}^\bullet(\text{Const}(X_1)) \longrightarrow \mathcal{C}^\bullet(\text{Const}(X))^{w_1}$$

defined by  $\Phi A^\bullet = (0, (A_x^\bullet, 0))$ . Let  $B^\bullet \in \mathcal{C}^\bullet(\text{Const}(X))^{w_1}$ ,  $A^\bullet \in \mathcal{C}^\bullet(\text{Const}(X_1))$ , and  $f: B^\bullet \rightarrow \Phi A^\bullet$ . Choose a non-empty open subset  $U^i$  of  $X$  so that  $A_x^i = 0$  and  $B_x^i \rightarrow B_\eta^i$  are isomorphisms for  $x \in U^i$ . Define the complex  $\mathcal{C}_x^i$  by

$$\mathcal{C}_x^i = \begin{cases} B_x^i & \text{if } x \in \overline{U^i} \cup (\overline{U^{i-1}} \cap \overline{U^{i+1}}); \\ \text{Im}[d: B_x^{i-1} \rightarrow B_x^i] & \text{if } x \in \overline{U^{i-1}} \cap U^i \cap \overline{U^{i+1}}; \\ \text{Coim}[d: B_x^{i-1} \rightarrow B_x^i] & \text{if } x \in U^{i-1} \cap U^i \cap \overline{U^{i+1}}; \\ 0 & \text{otherwise.} \end{cases}$$

By the definition, the canonical maps  $B_x^\bullet \rightarrow C_x^\bullet$  are quasi-isomorphisms,  $(C_x^\bullet)_{x \in X_1}$  defines an object of  $\mathcal{C}^\bullet(\text{Const}(X_1))$ , denoted by  $\mathcal{C}^\bullet$ , and the composition  $B^\bullet \rightarrow \Phi C^\bullet \rightarrow \Phi A^\bullet$  is equal to  $f$ . Put  $B'^\bullet = T^\vee(C^\bullet \rightarrow A^\bullet)$ , then we obtain a fibration  $B'^\bullet \rightarrow A^\bullet$  and weak equivalence  $B^\bullet \rightarrow \Phi C^\bullet \rightarrow \Phi B'^\bullet$ . Hence we have an isomorphism

$$\pi_{i+1}(wS_\bullet \mathcal{C}^\bullet(\text{Const}(X))^{w_1}) \cong \bigoplus_{x \in X_1} K_i(\text{Const}(k(x))).$$

Define functors  $g^*: \text{Const}(X) \rightarrow \mathcal{R}(X)$  and  $g_!: \mathcal{R}(X) \rightarrow \text{Const}(X)$  by  $g^*M = M_\eta$  and  $g_!M_\eta = (M_\eta, M_\eta^{I_x}, \text{incl.})$ . Then the similar argument as lemma 1 shows

$$\pi_{i+1}(w_1S_\bullet \mathcal{C}_\bullet(\text{Const}(X))) \cong K_i(\mathcal{R}(X)).$$

Using this lemma, we obtain

**Theorem 5.** *Let  $X$  be a normal connected irreducible scheme of dimension one. Then there is an isomorphism:*

$$K_i(\text{Const}(X)) \cong \bigoplus_{x \in X_1} K_i(\text{Const}(k(x))) \oplus K_i(\mathcal{R}(X)).$$

The following corollary results from Theorem 2 and 5.

**Corollary 6.** *Let  $X \hookrightarrow \bar{X}$  be an open immersion of curves where  $\bar{X}$  is smooth connected irreducible. Then*

$$K_i(\text{Const}(X)) \cong \bigoplus_{x \in X_1} K_i(\text{Const}(k(x))) \oplus K_i(\mathcal{R}(\bar{X})).$$

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