

NOTE ON SKEW POLYNOMIALS

TAKASI NAGAHARA

Let B be an arbitrary ring with identity element 1, and $B[X; \rho]$ a skew polynomial ring $\sum_{i=0}^{\infty} X^i B$ whose multiplication is given by $\alpha X = X\rho(\alpha)$ ($\alpha \in B$) where ρ is an automorphism of B . A monic polynomial $f \in B[X; \rho]$ is called to be *separable* (resp. *Frobenius*) if $fB[X; \rho] = B[X; \rho]f$ and the factor ring $B[X; \rho]/fB[X; \rho]$ is separable (resp. Frobenius) over B . Such polynomials have been discussed in [2]–[11] from various angles.

The present study is more about separable (and Frobenius) polynomials in $B[X; \rho]$ which are closely associated with some results in [3], [4], [8] and [9].

We begin our study by stating the following lemma which contains the result of [9, Lemma 1].

Lemma 1. *Let $f = X^n - \sum_{i=0}^{n-1} X^i a_i \in B[X; \rho]$ ($n \geq 2$), and $fB[X; \rho] = B[X; \rho]f$. Then*

- (i) $\alpha \rho^t(a_i) = \rho^t(a_i) \rho^{n-i}(\alpha)$ ($0 \leq i \leq n-1$ and $\alpha \in B$) for any integer t .
- (ii) $\rho^{n-1-i}(a_i) = a_i$ ($0 \leq i \leq n-1$).
- (iii) $\rho(a_i^s) = a_i^s$ ($0 \leq i \leq n-1$) for any integer $s \geq 2$.

Proof. By [9, Lemma 1], it suffices to prove the assertion (iii). Now, by (i) and (ii), we have

$$a_i^2 = a_i \rho^{n-i}(a_i) = a_i \rho(a_i) = \rho(a_i) \rho^{n-i}(a_i) = \rho(a_i) \rho(a_i) = \rho(a_i^2)$$

where $0 \leq i \leq n-1$. Hence, for any positive integer m , we have that $a_i^{2^m} = \rho(a_i^{2^m})$, and

$$a_i^{2^{m+1}} = a_i \rho(a_i) \rho(a_i)^{2^m-1} = \rho(a_i) \rho(a_i) \rho(a_i)^{2^m-1} = \rho(a_i)^{2^{m+1}}$$

which implies our assertion.

Moreover, we can prove the next that contains the results of [8, Th. 1 (b)] and [11, pp. 10–11 (Remark)].

Lemma 2. *Let $f = X^n - \sum_{i=0}^{n-1} X^i a_i$ ($n \geq 2$) be a separable polynomial in $B[X; \rho]$. Then*

$$\rho^{(n-1, i)}(a_i) = a_i \quad (0 \leq i \leq n-1)$$

where $(n-1, i)$ is the greatest common divisor of $n-1$ and i .

Proof. From the proof of [9, Lemma 1], it follows that

$$(1) \quad \begin{aligned} a_i \rho(a_{n-1}) - a_i a_{n-1} &= a_{i-1} - \rho(a_{i-1}) \quad (1 \leq i \leq n-1) \\ a_0 \rho(a_{n-1}) &= a_0 a_{n-1}, \text{ and } a_i a_{n-1} = a_{n-1} a_i \quad (0 \leq i \leq n-1). \end{aligned}$$

Hence by Lemma 1 (i), we have

$$(2) \quad a_i \rho(a_{n-1}) = \rho(a_{n-1}) \rho(a_i) = \rho(a_{n-1} a_i) = \rho(a_i a_{n-1}) \quad (0 \leq i \leq n-1).$$

By (1), this gives that

$$\rho(a_1 a_{n-1}) - a_1 a_{n-1} = a_0 - \rho(a_0).$$

Since $\rho^{n-1}(a_0) = a_0$ (Lemma 1 (ii)), it follows that

$$\begin{aligned} \rho^{n-1}(a_1 a_{n-1}) - a_1 a_{n-1} &= \sum_{s=0}^{n-2} (\rho^{s+1}(a_1 a_{n-1}) - \rho^s(a_1 a_{n-1})) \\ &= \sum_{s=0}^{n-2} (\rho^s(a_0) - \rho^{s+1}(a_0)) = 0. \end{aligned}$$

Combining this with (2) and Lemma 1 (ii), we obtain

$$\begin{aligned} a_1 a_{n-1} &= \rho^{n-1}(a_1 a_{n-1}) = \rho^{n-2}(\rho(a_1 a_{n-1})) \\ &= \rho^{n-2}(a_1 \rho(a_{n-1})) = a_1 \rho^{n-1}(a_{n-1}). \end{aligned}$$

Moreover, by (1), (2) and Lemma 1 (ii), we have

$$a_0 a_{n-1} = a_0 \rho(a_{n-1}) = \rho(a_0 a_{n-1}) = \rho^{n-1}(a_0 a_{n-1}) = a_0 \rho^{n-1}(a_{n-1}).$$

Since $Ba_0 + Ba_1 = B$ ([2, Lemma 1]), it follows that

$$(3) \quad \rho^{n-1}(a_{n-1}) = a_{n-1}.$$

Now, let $2 \leq r \leq n-1$. Then, by (2), there holds that $\rho(a_r a_{n-1}) = a_r \rho(a_{n-1})$. We assume here that

$$\rho(a_0 a_{n-1}) = \rho^t(a_r) \rho^{1-t(r-1)}(a_{n-1})$$

for some integer $t \geq 0$. Then, by Lemma 1 and (3), we have

$$\begin{aligned} \rho(a_r a_{n-1}) &= \rho^t(a_r) \rho^{1-t(r-1)}(a_{n-1}) = \rho^{1-t(r-1)}(a_{n-1}) \rho^{t+1}(a_r) \\ &= \rho^{t+1}(a_r) \rho^{1-t(r-1)+n-r}(a_{n-1}) = \rho^{t+1}(a_r) \rho^{n-(t+1)(r-1)}(a_{n-1}) \\ &= \rho^{t+1}(a_r) \rho^{1-(t+1)(r-1)}(a_{n-1}). \end{aligned}$$

Hence, by induction method, we obtain that

$$\rho(a_r a_{n-1}) = \rho^m(a_r) \rho^{1-m(r-1)}(a_{n-1})$$

for all integer $m \geq 0$. Taking $m = n-1$, it follows from Lemma 1 and (3) that

$$\begin{aligned} \rho(a_r a_{n-1}) &= \rho^{n-1}(a_r) \rho^{1-(n-1)(r-1)}(a_{n-1}) = \rho^{n-1}(a_r) \rho(a_{n-1}) \\ &= \rho^{1-(n-r)}(a_{n-1}) \rho^{n-1}(a_r) = \rho^r(a_{n-1}) \rho^{n-1-r+r}(a_r) \\ &= \rho^r(a_{n-1}) \rho^r(a_r) = \rho^r(a_{n-1} a_r) \end{aligned}$$

and hence $a_r a_{n-1} = \rho^{r-1}(a_r a_{n-1})$ (by (1)). Since

$$a_{r-1} - \rho(a_{r-1}) = \rho(a_r a_{n-1}) - a_r a_{n-1} \quad (\text{by (1) and (2)})$$

this gives

$$\begin{aligned} a_{r-1} - \rho^{r-1}(a_{r-1}) &= \sum_{s=0}^{r-2} (\rho^s(a_{r-1}) - \rho^{s+1}(a_{r-1})) \\ &= \sum_{s=0}^{r-2} (\rho^{s+1}(a_r a_{n-1}) - \rho^s(a_r a_{n-1})) = 0. \end{aligned}$$

Thus, we obtain $\rho^i(a_i) = a_i$ ($1 \leq i \leq n-2$). Moreover $\rho^i(a_i) = a_i$ for $i = 0$ and $n-1$ (by (3)). Since $\rho^{n-1-i}(a_i) = a_i$ for $i = 0, 1, \dots, n-1$ (Lemma 1 (ii)), it follows that $\rho^{(n-1,i)}(a_i) = a_i$ ($0 \leq i \leq n-1$), completing the proof.

Now, for $g = \sum_{i=0}^m X^i b_i \in B[X; \rho]$, B_g denotes the subring of B which is generated by the subset

$$\{\rho^t(b_i) \mid 0 \leq i \leq m, \text{ and } t \text{ runs over all the integers}\} \cup \{1\}.$$

Clearly $B_g[X; \rho|_{B_g}]$ is a subring of $B[X; \rho]$ containing g . Moreover, by $J(\rho^s)$ (resp. $B(\rho^s)$), we denote the fixed subring of ρ^s in B (resp. the subset of elements b in B such that $ab = b\rho^s(a)$ for all $a \in B$), where s is an integer. Evidently $B(\rho^0)$ is the center of B . Further, by ρ^* , we denote a ring automorphism of $B[X; \rho]$ defined by $\rho^*(\sum_i X^i a_i) = \sum_i X^i \rho(a_i)$ ($a_i \in B$). Clearly, the fixed subring $J(\rho^{*s})$ of ρ^{*s} in $B[X; \rho]$ coincides with the subring $J(\rho^s)[X; \rho|_{J(\rho^s)}]$. For a subring B_0 of B and for $f \in B[X; \rho]$, f is called to be separable (resp. Frobenius) over B_0 if $B_0 \ni 1$, $\rho(B_0) = B_0$, and f is a separable (resp. Frobenius) polynomial in $B_0[X; \rho|_{B_0}]$.

If f is a separable polynomial in $B[X; \rho]$ of degree $n \geq 2$ then $B_f \subset J(\rho^{n-1})$ by virtue of Lemma 2. Hence by [5, Prop. 1.13], we readily obtain the following theorem which is one of our main results.

Theorem 3. *If f is a separable polynomial in $B[X; \rho]$ of degree $n \geq 2$, then f is Frobenius over B_f and over $J(\rho^{n-1})$.*

Next, we shall prove the following lemma which contains the result of [3, Prop. 3.2].

Lemma 4. *Assume that $B[X; \rho]$ contains a separable polynomial of degree $n \geq 2$. Then $J(\rho^{n(n-1)}) \supset B(\rho^s)$ for all integer s . Moreover, for $g \in B[X; \rho]$, if $ag = g\rho^s(a)$ ($a \in B$) for some integer s , then g is contained in $J(\rho^{*n(n-1)})$.*

Proof. Let $f = X^n - \sum_{i=0}^{n-1} X^i a_i$ be a separable polynomial in $B[X; \rho]$ ($n \geq 2$). In case $n = 2$, we have $\rho(a_0) = a_0$ (Lemma 2). Combining this

and the result of Lemma 1(iii), we see that $\rho(a_1^n) = a_1^n$ and $\rho(a_0^{n-1}) = a_0^{n-1}$ for $n \geq 2$. Now, let $c \in B(\rho^s)$ where s is an integer. Then

$$a_1^n c = c \rho^s(a_1^n) = c a_1^n \text{ and } a_0^{n-1} c = c \rho^s(a_0^{n-1}) = c a_0^{n-1}.$$

Since $\rho^{n(n-1)}(c) \in B(\rho^s)$ and $a_i \in B(\rho^{n-i})$ for $i = 0, 1$ (Lemma 1(i)), this gives

$$\begin{aligned} \rho^{n(n-1)}(c) a_1^n &= a_1^n \rho^{n(n-1)}(c) = c a_1^n \text{ and} \\ \rho^{n(n-1)}(c) a_0^{n-1} &= a_0^{n-1} \rho^{n(n-1)}(c) = c a_0^{n-1}. \end{aligned}$$

Now by [2, Lemma 1], we have $a_1 B + a_0 B = B$ and whence $a_1^n B + a_0^{n-1} B = B$. Therefore, it follows that $\rho^{n(n-1)}(c) = c$. This proves $B(\rho^s) \subset J(\rho^{n(n-1)})$. As to the rest of our assertion, let $g = \sum_{i=0}^m X^i b_i \in B[X; \rho]$ and $ag = g \rho^s(a)$ ($a \in B$) for some integer s . Then $\rho^i(a) b_i = b_i \rho^s(a)$, that is, $ab_i = b_i \rho^{s-i}(a)$ ($a \in B$). Hence $b_i \in B(\rho^{s-i}) \subset J(\rho^{n(n-1)})$ ($0 \leq i \leq m$). This implies $g \in J(\rho^{*n(n-1)})$, completing the proof.

Now, let $f = X^n - \sum_{i=0}^{n-1} X^i a_i$ be a separable polynomial in $B[X; \rho]$ ($n \geq 2$), and $f_i = X^{n-i-1} - X^{n-i-2} a_{n-1} - \cdots - X a_{i+2} - a_{i+1}$ ($0 \leq i \leq n-1$). Then, by [4, Th. 1.8], there is an element y in $B[X; \rho]$ such that $\deg y < n$, $\rho^{n-1}(a)y = ya$ ($a \in B$), and $\sum_{i=0}^{n-1} f_i y X^i \equiv 1 \pmod{fB[X; \rho]}$. Then, by Lemma 4, the f_i and y are contained in $J(\rho^{*n(n-1)})$, and $\sum_{i=0}^{n-1} f_i y X^i \equiv 1 \pmod{ff(\rho^{*n(n-1)})}$. Hence, again by [5, Th. 1.8], we obtain the following

Theorem 5. *Assume that $B[X; \rho]$ contains a separable polynomial of degree $n \geq 2$. Then, any separable polynomial in $B[X; \rho]$ is separable over $J(\rho^{n(n-1)})$.*

In virtue of Th. 5, we shall prove the following

Corollary 6. *Let f be a separable polynomial in $B[X; \rho]$ of degree $n \geq 2$, and assume that n is invertible in B . Then f is separable and Frobenius over $J(\rho^{n-1})$.*

Proof. By Th. 3, it suffices to prove that f is separable over $J(\rho^{n-1})$. Now, by Th. 5 and [5, Th. 1.8], there is an element y in $J(\rho^{*n(n-1)})$ such that $\deg y < n$, $\rho^{n-1}(a)y = ya$ ($a \in J(\rho^{n(n-1)})$), and $\sum_{i=0}^{n-1} f_i y X^i \equiv 1 \pmod{ff(\rho^{*n(n-1)})}$. Since $f \in J(\rho^{*n-1})$, the f_i are contained in $J(\rho^{*n-1})$. We set here $y_0 = n^{-1} \sum_{i=0}^{n-1} (\rho^{*n-1})^i(y)$. Then, we obtain

$$\sum_{i=0}^{n-1} f_i y_0 X^i = n^{-1} \sum_{i=0}^{n-1} (\rho^{*n-1})^i (\sum_{i=0}^{n-1} f_i y X^i) \equiv 1 \pmod{ff(\rho^{*n-1})}$$

and $\rho^{n-1}(a)y_0 = y_0 a$ ($a \in J(\rho^{n-1})$). Therefore, it follows from [5, Th. 1.8]

that f is separable over $J(\rho^{n-1})$, completing the proof.

Now, for a monic polynomial g in $B[X; \rho]$ with $gB[X; \rho] = B[X; \rho]g$ and $\rho^*(g) = g$, g will be called to be s -separable (or $\bar{\rho}$ -separable) over B if the discriminant of g (as an element of $B_g[X]$ in the sense of [6, p. 152]) is invertible in B , or equivalently, $gB[X; \rho] + g'B[X; \rho] = B[X; \rho]$ where g' is the derivative of g (cf. Lemma 1, [3, Th. 2.1], [4] and [6, Th. 2.1]). By [3, pp. 118–119], any s -separable polynomial is separable. The notion is useful to Galois theory of polynomials. If $g \in B[X; \rho]$ is s -separable then the factor ring $B[X; \rho]/gB[X; \rho]$ can be imbedded in a Galois extension of B which is a splitting ring of g . The converse holds for a monic $g \in B[X; \rho]$ with $\deg g = 2$ and $gB[X; \rho] = B[X; \rho]g$ (cf. [6], [7], [8] and [10]). If $B = \text{GF}(2^2)$ and ρ is an automorphism of B which is not identity, then $B[X; \rho]$ contains a separable polynomial of degree 2 which is ρ^* -invariant but is not s -separable (cf. [7, Remark 2.4]).

We shall now prove the following theorem which is a partial sharpening of the last result in [4, Th. 1.4].

Theorem 7. *Assume that $B[X; \rho]$ contains a separable polynomial of degree $n \geq 2$, and $n(n-1)$ is invertible in B . Then, any separable polynomial g in $B[X; \rho]$ with $\rho^*(g) = g$ is s -separable, and whence Frobenius.*

Proof. Let $\deg g = m$. Then, by Th. 5 and [5, Th. 1.8], there is an element $y \in J(\rho^{*n(n-1)})$ such that $\sum_{i=0}^{m-1} g_i y X^i \equiv 1 \pmod{gJ(\rho^{*n(n-1)})}$. Clearly $g_i \in J(\rho^*)$ ($0 \leq i \leq m-1$). We set here $y_0 = (n^2 - n)^{-1} \sum_{i=1}^{n-1} \rho^{*i}(y)$. Then $\sum_{i=0}^{m-1} g_i y_0 X^i \equiv 1 \pmod{gJ(\rho^{*n(n-1)})}$. Since $\rho^*(y_0) = y_0$, we have $\sum_{i=0}^{m-1} g_i y_0 X^i = (\sum_{i=0}^{m-1} g_i X^i) y_0 = g' y_0$. Therefore, it follows that $gB[X; \rho] + g'B[X; \rho] = B[X; \rho]$. This implies that g is s -separable in $B[X; \rho]$, completing the proof.

Now, an element a of B is said to be π -regular (resp. left π -regular) if there exists an element c in B and an integer $t > 0$ such that $a^t c a^t = a^t$ (resp. $c a^t = a^{t-1}$). If every element of B is π -regular then B will be called to be π -regular. Let B satisfy the descending chain condition on two-sided ideals, and z any element of the center Z of B . Then $Bz^t = Bz^{2t}$ for some integer $t > 0$ and $z^t = cz^{2t}$ for some $c \in Z$ (see, e.g., [1, Lemma 1]). Thus z is π -regular in Z . This implies that Z is a π -regular ring.

Finally, we shall prove the following theorem which is a generalization of [9, Th. 5].

Theorem 8. *Let the center of B be π -regular. Then, any separable polynomial in $B[X; \rho]$ is Frobenius.*

Proof. Let $f = X^n - \sum_{i=0}^{n-1} X^i a_i$ be a separable polynomial in $B[X; \rho]$ ($n \geq 2$). Then, by [2, Lemma 1], there are elements d_0 and d_1 in B such that $1 = a_0 d_0 + a_1 d_1$ and the $a_i d_i$ are contained in the center Z of B . We set here

$$\begin{aligned} N(a_0 b_0) &= \prod_{i=1}^{n-1} \rho^i(a_0 d_0) = a_0 b_0, \text{ and} \\ 1 &= \prod_{i=1}^{n-1} \rho^i(a_0 d_0 + a_1 d_1) = a_0 b_0 + a_1 b_1 \end{aligned}$$

where $b_0, b_1 \in B$. Since $a_0 b_0 (\in Z)$ is π -regular, there exists some integer $t > 0$ and an idempotent e_0 in Z such that $(a_0 b_0)^t Z = (a_0 b_0)^{t-1} Z = e_0 Z$. Evidently $e_0 a_0 B = e_0 B$. We put $e_1 = 1 - e_0$. Then, since $1 = (a_0 b_0 + a_1 b_1)^t = (a_0 b_0)^t + a_1 c_1$ for some $c_1 \in B$, we see that $B = e_0 B + a_1 B$, and so, $e_1 B = e_1 a_1 B$. Hence $B = e_0 B \oplus e_1 B = e_0 a_0 B \oplus e_1 a_1 B$ (direct sum). This shows that $e_0 a_0$ is invertible in $e_0 B$ and $e_1 a_1$ is invertible in $e_1 B$. Now, by Lemma 4, we have $\rho^{n(n-1)}(a_0 d_0) = a_0 d_0$, which implies that $\rho(a_0 b_0) = a_0 b_0$, and so, $\rho(e_i) = e_i$ ($i = 0, 1$). Since f is separable over B , each $e_i f$ is separable in $R_i = e_i B[X; \rho|_{e_i B}]$. Hence, by [2, Th. 1], each $e_i f$ is Frobenius in R_i . Noting

$$B[X; \rho]/fB[X; \rho] \simeq R_0/e_0 fR_0 \oplus R_1/e_1 fR_1$$

it follows that f is Frobenius. This completes the proof.

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DEPARTMENT OF MATHEMATICS, OKAYAMA UNIVERSITY

(Received December 2, 1982)