

ON GENERALIZED P.P. RINGS

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A commutative ring R is called a *generalized p.p. ring* or for short, a *g.p.p. ring* if for each a in R there exists a positive integer n (depending on a) such that $a^n R$ is projective. In this paper we shall generalize the works of [1], [2], [4]. For instance, we prove that a commutative ring R is a g.p.p. ring if and only if R has a π -regular classical quotient ring Q and all idempotents in Q belong to R (Theorem 2); R is a g.p.p. ring if and only if R has a π -regular classical quotient ring and for each maximal ideal M of R , R_M is primary (Theorem 5). Moreover, we shall treat with formal power series rings over R and their classical quotient rings, and prove that R is a g.p.p. ring (resp. p.p. ring) if and only if some (and every) subring of the classical quotient ring of $R[[X_1, \dots, X_m]]$ containing R is a g.p.p. ring (resp. p.p. ring) (Theorem 8).

Before stating our results we introduce the notion and terminology used in this paper. Throughout this paper R will denote a commutative ring with 1. R is called π -regular if for each a in R there exists a positive integer n and an element x in R such that $a^n = a^{2n}x$. By $Q(R)$ we denote the classical quotient ring of R . A ring R is said to be *quasi-regular* (resp. *quasi π -regular*) provided $Q(R)$ is regular (resp. π -regular). If K is an ideal of R , the *radical* of K , denoted by \sqrt{K} , consists of all elements a of R such that $a^t \in K$ for some positive integer t . Then K is called *primary* if $xy \in K$, $x \notin K$ implies $y \in \sqrt{K}$, and R is said to be *primary* if (0) is primary. By $N(R)$ we denote the prime radical of R (i.e., $N(R) = \sqrt{(0)}$), and by $E(R)$ the set of all idempotents in R . Given a subset S of the ring R , $\text{ann}_R(S)$ denotes the annihilator of S in R .

We first consider the conditions for R to have a π -regular classical quotient ring.

Theorem 1. *The following are equivalent :*

- 1) R is a quasi π -regular ring.
- 2) For each zero-divisor $x \in R$, there exists a positive integer n such that $\text{ann}_R(x^n) = \text{ann}_R(x^{n+1})$ and the ring $\text{ann}_R(x^n)$ contains a non-zero-divisor.
- 3) For each $x \in R$, there exists a positive integer n and a non-zero-divisor $d \in R$ such that $x^n d = x^{2n}$.

Proof. 1) \Rightarrow 2). Let x be an arbitrary zero-divisor in R . Since $Q(R)$ is π -regular, $x^n Q(R) = x^{n+1} Q(R)$ for some positive integer n . Then $\text{ann}_R(x^n) = \text{ann}_{Q(R)}(x^n Q(R)) \cap R = \text{ann}_{Q(R)}(x^{n+1} Q(R)) \cap R = \text{ann}_R(x^{n+1})$. By the above, there is an element $y \in Q(R)$ such that $x^n = x^{2n}y$. Then $e = 1 - x^n y$ is a non-zero idempotent and $\text{ann}_{Q(R)}(x^n) = eQ(R)$. Let $e = cd^{-1}$, $c, d \in R$. Then c is a non-zero-divisor of the ring $\text{ann}_R(x^n)$.

2) \Rightarrow 3). If x is a non-zero-divisor in R then we can take x^n as d in 3), and so we assume that x is a zero-divisor. Choose a non-zero-divisor z of $\text{ann}_R(x^n) = \text{ann}_R(x^{n+1})$. We shall show that $x^n + z$ is a non-zero-divisor in R . Let $a \in \text{ann}_R(x^n + z)$. Then $ax^{2n} = a(x^n + z)x^n = 0$. Since $\text{ann}_R(x^n) = \text{ann}_R(x^{2n})$, we see that $a \in \text{ann}_R(x^n)$ and hence $az = 0$. But z is a non-zero-divisor of $\text{ann}_R(x^n)$, and so $a = 0$.

3) \Rightarrow 1). Since d is invertible in $Q(R)$, it holds that $x^n Q(R) = x^{2n} Q(R)$. This implies that $Q(R)$ is π -regular.

Next we shall generalize [2, Theorem 3.4] and [4, Theorem 1.3].

Theorem 2. *The following are equivalent :*

- 1) R is a g.p.p. ring.
- 2) R is quasi π -regular and $E(Q(R)) = E(R)$.

Proof. 1) \Rightarrow 2). Let x be an arbitrary zero-divisor in R . Then $x^n R$ is projective for some positive integer n . It is easy to see that $x^n R$ is projective if and only if $\text{ann}_R(x^n) = eR$ for some $e \in E(R)$. We show $\text{ann}_R(x^{n+1})$. If $a \in \text{ann}_R(x^{n+1})$, then $ax \in \text{ann}_R(x^n) = eR$, and so $ax = axe$. Thus $x^n a = x^{n-1} xa = x^{n-1} xae = x^n ea = 0$. Therefore by Theorem 1 R is quasi π -regular. To prove $E(Q(R)) = E(R)$, let $f \in E(Q(R))$. Then We can write $f = cd^{-1}$ for some $c, d \in R$. By hypothesis, $\text{ann}_R(c^m) = gR$ for some m and for some $g \in E(R)$. Since $fQ(R) = c^k Q(R)$ for any positive integer k , we can easily see $f = 1 - g \in E(R)$.

2) \Rightarrow 1). Let $x \in R$. Since $Q(R)$ is π -regular, there is an element $y \in Q(R)$ and a positive integer n such that $x^n = x^{2n}y$. Then by hypothesis the idempotent $e = x^n y$ is in R and hence $\text{ann}_R(x^n) = \text{ann}_{Q(R)}(x^n) \cap R = (1 - e)Q(R) \cap R = (1 - e)R$.

Corollary 3. *The following are equivalent :*

- 1) R is a g.p.p. ring which contains no infinite set of orthogonal idempotents.
- 2) R is a finite direct sum of primary rings.

We now consider the relationship between g.p.p. rings and p.p. rings. It is not difficult to see that R is a p.p. ring if and only if R is a reduced g.p.p. ring. More generally we have the following.

Proposition 4. *If R is a g.p.p. ring then $R/N(R)$ is a p.p. ring.*

Proof. Let x be an arbitrary non-nilpotent element in R . By hypothesis there exists a positive integer n and a non-zero-divisor of $(1-e)R$. Let us set $\bar{R} = R/N(R)$. We shall show that $\bar{x}^n = x^n + N(R)$ is a non-zero-divisor of $(1-\bar{e})\bar{R}$. If $d \in (1-e)R$ and $dx^n \in N(R)$, then $(dx^n)^m = 0$ for some positive integer m . Since x^n is a non-zero-divisor of $(1-e)R$, we see $d^m = 0$, that is $d \in N(R)$. Thus \bar{x}^n is a non-zero-divisor of $(1-\bar{e})\bar{R}$, which implies that $\text{ann}_{\bar{R}}(\bar{x}^n) = \bar{e}\bar{R}$. Since \bar{R} is reduced, we can easily see that $\text{ann}_{\bar{R}}(\bar{x}) = \text{ann}_{\bar{R}}(\bar{x}^n)$. In consequence, we have proved $\text{ann}_{\bar{R}}(\bar{x}) = \bar{e}\bar{R}$.

Remark. Suppose R is quasi π -regular. Then, using Theorem 1, we can also prove that $R/N(R)$ is quasi-regular.

The next corresponds to [1, Proposition 1].

Theorem 5. *The following are equivalent :*

- 1) R is a g.p.p. ring.
- 2) R is quasi π -regular and for each maximal ideal M of R , R_M is a primary ring.

Proof. 1) \Rightarrow 2). By Theorem 2, R is quasi π -regular and $E(Q(R)) = E(R)$. Let M be a maximal ideal of R , and set $K = \{a \in R \mid sa = 0 \text{ for some } s \in R - M\}$. For each $e \in E(Q(R)) (= E(R))$, either $e \in R - M$ or $1 - e \in R - M$. Thus either $1 - e \in K$ or $e \in K$. Since $Q(R)$ is π -regular, we can easily see that $KQ(R)$ is a primary ideal of $Q(R)$. Combining this with $KQ(R) \cap R = K$, we also see that K is primary. If S denotes the canonical image of $R - M$ in $\bar{R} = R/K$, each element of S is a non-zero-divisor and R_M is isomorphic to the localization of \bar{R} by S . Therefore, since \bar{R} is primary, $R_M (\simeq \bar{R}_S)$ is primary.

2) \Rightarrow 1). Let M be a maximal ideal of R , and define K in the same way as above. We show that K is a primary ideal of R . Given $a, b \in R$ such that $ab \in K$. Then, by the definition of K , we see $\bar{a}\bar{b} = 0$ in R_M . Since R_M is primary, either $\bar{a} = 0$ or $\bar{b} \in N(R_M)$, and so either $a \in K$ or $b \in \sqrt{K}$. Thus we have shown that K is primary. Since $KQ(R) \cap R = K$, we can easily see that $KQ(R)$ is a primary ideal of $Q(R)$. Therefore,

for each $e \in E(Q(R))$, either $e \in KQ$ or $1-e \in KQ$. If $e \in KQ$, then $se = 0$ for some $s \in R-M$. On the other hand, if $1-e \in KQ$ then $s'(1-e) = 0$ for some $s' \in R-M$, that is, $s'e = s'$. Now we show that e is in R . Let $T = \{a \in R \mid ae \in R\}$. As we have just seen above, there is no maximal ideal which contains T . Thus $T = R$, and hence $e \in R$, proving our assertion. Therefore, by Theorem 2, R is a g.p.p. ring.

Finally, we shall investigate formal power series rings and their classical quotient rings. We begin with some preliminary results.

Lemma 6. *Let $R((X)) = \{\sum_{n=r}^{\infty} a_n X^n \mid a_n \in R, r \in \mathbf{Z}\}$. Then it holds that $E(R((X))) = E(R)$.*

Proof. We first show that if $e = a_0 + a_1 X + \cdots$ is an idempotent then $e \in R$. Suppose to the contrary $e \notin R$, and let n be the smallest positive integer such that $a_n \neq 0$. Then we obtain $a_0^2 = a_0$ and $a_n = 2a_0 a_n$. From these we see that $2a_0 a_n = (2a_0)^2 a_n = 4a_0 a_n$, and hence $a_n = 2a_0 a_n = 0$, a contradiction.

Next we shall prove that if $e = a_m X^m + \cdots + a_0 + a_1 X + \cdots$ ($m \leq 0$) is an idempotent then e is in R . We proceed by induction on m . As we have done, our assertion is true for $m = 0$. So we may assume that our assertion is true for $m \geq k+1$. In case $m = k$, we consider the ring $(R/(a_k))((X))$ and the canonical image \bar{e} of e . Then, by induction hypothesis, we conclude that $a_i \in (a_k)$ for all $i \neq 0$. Since e is an idempotent, we get $a_k^2 = 0$, and hence $a_k = \sum_{i=k}^0 a_{k-i} a_i = 2a_k a_0$ and $a_0 = \sum_{i=k}^{-k} a_i a_{-i} = a_0^2$. Therefore we have $a_k = 2a_k$, namely $a_k = 0$, and hence $a_i = 0$ for all $i \neq 0$.

Lemma 7. *If R is quasi π -regular (resp. quasi-regular), then so is every intermediate ring containing $E(Q(R))$ between R and $Q(R)((X))$.*

Proof. First we show that $Q = Q(R)((X))$ is π -regular. Let P be an arbitrary proper prime ideal of Q . Then $P' = P \cap Q(R)$ is a prime ideal of $Q(R)$ and $Q(R)/P'$ is a field. Hence, $Q/P'Q \simeq (Q(R)/P')((X))$ is a field, and so P coincides with the maximal ideal $P'Q$. Thus, Q is π -regular (see, e.g., [3, Corollary 4]).

Next, let S be an intermediate ring between R and Q . Then for each $s \in S$ there exists a positive integer n and $d \in Q$ such that $s^{2n}d = s^n$. By Lemma 6, $e = s^n d \in Q(R)$. Then, $s^n + 1 - e$ is a non-zero-divisor of S and $s^n(s^n + 1 - e) = s^{2n}$. Hence, S is quasi π -regular by Theorem 1.

We can now prove the following

Theorem 8. *Let m be a positive integer. A commutative ring R is a g.p.p. ring (resp. p.p. ring) if and only if $E(Q(R)) = E(R)$ and some (and every) intermediate ring between R and $Q(R)((X_1, \dots, X_m))$ is a g.p.p. ring (resp. p.p. ring).*

Proof. Suppose R is a g.p.p. ring, and let S be an intermediate ring between R and $Q = Q(R)((X_1, \dots, X_m))$. Then S is quasi π -regular by (Theorem 2 and) Lemma 7, and $E(Q(S)) = E(S) (= E(R))$ by Lemma 6. Therefore S is a g.p.p. ring by Theorem 2.

Conversely, assume that a subring S of Q containing R is a g.p.p. ring. Let r be an arbitrary element of R . Then there exists a positive integer n and $e \in E(S)$ such that $\text{ann}_S(r^n) = eS$. Since $e \in E(R)$ by Lemma 6, we have $\text{ann}_R(r^n) = \text{ann}_S(r^n) \cap R = eS \cap R = eR$. Therefore R is a g.p.p. ring.

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