

## FREE ALGEBRAS AND GALOIS OBJECTS OF RANK 2

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Throughout the present note,  $R$  will represent a commutative algebra over  $GF(2)$ , and  $U(R)$  the group of all invertible elements in  $R$ . Unadorned  $\otimes$  means  $\otimes_R$ , every module is  $R$ -module and every map is  $R$ -linear. Given an element  $u$  in  $R$ , we denote by  $H_u$  the free Hopf algebra over  $R$  with basis  $\{1, d\}$  whose Hopf algebra structure is given by

$$d^2 = ud, \Delta(d) = d \otimes 1 + 1 \otimes d, \varepsilon(d) = 0 \text{ and } \lambda(d) = d,$$

where  $\Delta$ ,  $\varepsilon$  and  $\lambda$  are the comultiplication, counit and antipode of  $H_u$ , respectively. As for other notations and terminologies used here, we follow [ 2 ] and [ 6 ].

In this note we study on Galois  $H_u$ -objects and purely inseparable  $R$ -algebras in the sense of [ 7 ] and compute the group of Galois  $H_u$ -objects of  $R$ .

### 1. Galois $H_u$ -objects and purely inseparable algebras of rank 2.

An  $R$ -algebra  $A$  is called a *free* (resp. *projective*)  $R$ -algebra if  $A$  is a free (resp. projective)  $R$ -module and  $R$  is an  $R$ -direct summand of  $A$ . An  $R$ -algebra  $A$  is called an  $H_u$ -comodule algebra if  $A$  is an  $H_u$ -comodule such that the  $H_u$ -coaction map  $\rho: A \rightarrow A \otimes H_u$  is an  $R$ -algebra homomorphism. An  $H_u$ -comodule algebra is called a *free* (resp. *projective*)  $H_u$ -comodule algebra if it is a free (resp. projective)  $R$ -algebra.

Let  $F$  be a free  $H_u$ -comodule algebra with basis  $\{1, x\}$ . First, we determine the  $H_u$ -comodule algebra structure of  $F$ . Let  $\rho: F \rightarrow F \otimes H_u$  be an  $H_u$ -comodule structure map of  $F$ , and set

$$\rho(x) = t_0 + t_1(x \otimes 1) + t_2(1 \otimes d) + t_3(x \otimes d) \quad (t_i \in R).$$

Then, in view of  $(1 \otimes \varepsilon)\rho(x) = x$  and  $(1 \otimes \Delta)\rho(x) = (\rho \otimes 1)\rho(x)$ , we have

$$t_0 = 0, \quad t_1 = 1 \text{ and } t_2 t_3 = t_3^2 = 0.$$

Moreover, if we set  $x^2 = rx + s$  ( $r, s \in R$ ), then  $\rho(rx + s) = \rho(x^2) = \rho(x)^2$  yields  $t_2^2 u = t_2 r$  and  $t_3 r = 0$ . Thus we obtain the following

**Lemma 1.1.** *Let  $F$  be a free  $R$ -algebra with basis  $\{1, x\}$ , and  $x^2 = rx + s$  ( $r, s \in R$ ). If  $F$  is an  $H_u$ -comodule algebra then there exist  $r_1, s_1 \in R$  such*

that

$$(1.1) \quad r s_1 = r_1 s_1 = s_1^2 = 0 \quad \text{and} \quad r_1^2 u = r_1 r,$$

and the  $H_u$ -comodule structure of  $F$  is given by

$$(1.2) \quad \rho(x) = x \otimes 1 + r_1(1 \otimes d) + s_1(x \otimes d).$$

Conversely, if there exist  $r_1, s_1 \in R$  which satisfies (1.1), then the map  $\rho$  defined by (1.2) gives the  $H_u$ -comodule structure of  $F$ .

A projective  $H_u$ -comodule algebra  $A$  is called a *Galois  $H_u$ -object* if  $\gamma: A \otimes A \rightarrow A \otimes H_u$  defined by  $\gamma(a_1 \otimes a_2) = (a_1 \otimes 1)\rho(a_2)$  is an isomorphism, where  $\rho$  is the  $H_u$ -comodule structure map of  $A$ .

**Proposition 1.2.** *Let  $F$  be a free  $R$ -algebra as in Lemma 1.1. If  $F$  is a Galois  $H_u$ -object then there exists  $v \in U(R)$  such that  $vu = r$ , and the  $H_u$ -comodule structure of  $F$  is given by*

$$(1.3) \quad \rho(x) = x \otimes 1 + v(1 \otimes d).$$

Conversely, if there exists  $v \in U(R)$  such that  $vu = r$ , then  $F$  is a Galois  $H_u$ -object with the  $H_u$ -comodule structure map  $\rho$  defined by (1.3)

*Proof.* If  $F$  is a Galois  $H_u$ -object, then  $\gamma$  is an isomorphism. Since  $F$  and  $H_u$  are free  $R$ -modules of rank 2 and  $\gamma$  is an  $F$ -algebra map,  $\gamma$  is an isomorphism if and only if there exists  $k \in F \otimes F$  such that  $\gamma(k) = 1 \otimes d$ . We set  $k = t_0 + t_1(x \otimes 1) + t_2(1 \otimes x) + t_3(x \otimes x)$  ( $t_i \in R$ ). Then by  $\gamma(k) = 1 \otimes d$  and Lemma 1.1, we have

$$s_1 = 0, \quad r_1 t_2 = 1 \quad \text{and} \quad r_1 u = r.$$

Thus we may take  $v = r_1$ .

Conversely, if  $vu = r$  for some  $v \in U(R)$ , then  $\rho$  defined by (1.3) gives an  $H_u$ -comodule algebra structure on  $F$  (Lemma 1.1) and  $\gamma(v^{-1}(x \otimes 1 + 1 \otimes x)) = 1 \otimes d$ . Thus  $F$  is a Galois  $H_u$ -object.

**Definition 1.3** ([7, Def.1 and Lemma 1 (a)]). An  $R$ -algebra  $A$  is called *purely inseparable* if the kernel of the map  $\mu: A \otimes A^o \rightarrow A$  defined by  $a \otimes b^o \rightarrow ab$  is contained in the radical  $J(A \otimes A^o)$  of  $A \otimes A^o$ , where  $A^o$  denotes the opposite algebra to  $A$ .

Now, we shall prove the following

**Theorem 1.4.** *Let  $F$  be a free  $R$ -algebra with basis  $\{1, x\}$ , and  $x^2 = ux + s$  ( $s \in R$ ).*

- (1)  $F$  is a Galois  $H_u$ -object with the  $H_u$ -comodule structure map  $\rho$  defined by  $\rho(x) = x \otimes 1 + 1 \otimes d$ .
- (2) If  $u$  is in  $U(R)$  then  $F$  is a Galois extension of  $R$ , and conversely.
- (3) If  $u$  is in the radical  $J(R)$  of  $R$  then  $F$  is a purely inseparable algebra over  $R$ , and conversely.

*Proof.* (1) is a direct consequence of Prop.1.2. (2) is already known (cf. [5]), so we prove (3). Let  $\mu: F \otimes F \rightarrow F$  be the multiplication map of  $F$ . Then  $\text{Ker}(\mu)$  is generated by  $a \otimes 1 + 1 \otimes a$  ( $a \in F$ ), so  $y = x \otimes 1 + 1 \otimes x$  is a generator of  $\text{Ker}(\mu)$ . Assume that  $F$  is purely inseparable. Since  $\text{Ker}(\mu)$  is contained in  $J(F \otimes F)$ ,  $1 + cy$  is invertible in  $F \otimes F$  for any  $c \in R$ . Let  $z = t_0 + t_1(x \otimes 1) + t_2(1 \otimes x) + t_3(x \otimes x)$  be the inverse element of  $1 + cy$  ( $t_i \in R$ ). Then, from  $(1 + cy)z = 1$  we obtain

$$\begin{bmatrix} 1 & cs & cs & 0 \\ c & 1 + cu & 0 & cs \\ c & 0 & 1 + cu & cs \\ 0 & c & c & 0 \end{bmatrix} \begin{bmatrix} t_0 \\ t_1 \\ t_2 \\ t_3 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}.$$

As is easily seen

$$(1 + cu)^2 \begin{bmatrix} t_0 \\ t_1 \\ t_2 \\ t_3 \end{bmatrix} = (1 + cu) \begin{bmatrix} 1 + cu \\ c \\ c \\ 0 \end{bmatrix}$$

Then, by the uniqueness of the inverse of  $1 + cy$ , the matrix of the coefficients of  $t_i$  is invertible, and so the determinant of it is a nonzero divisor ([4, p.161, Cor.]). We have thus the following:

(1.4) For any  $c \in R$ , there exists  $t \in R$  such that  $(1 + cu)t = c$ .  
 If  $u \in J(R)$ , then there exists a maximal ideal  $M$  in  $R$  such that  $u \in M$ , so that  $R = Ru + M$ . Put  $1 = r_0u + m$  ( $r_0 \in R, m \in M$ ). Then, by (1.4), there exists  $t \in R$  such that  $(1 + r_0u)t = r_0$ . Thus  $r_0 = (1 + r_0u)t = mt \in M$ . But this implies a contradiction  $1 = r_0u + m \in M$ . Hence  $u \in J(R)$ .

Conversely, if  $u \in J(R)$  then  $u \in J(F \otimes F)$ , since  $F \otimes F$  is integral over  $R$ . Thus  $y^2 = uy \in J(F \otimes F)$ , whence it follows that  $y \in J(F \otimes F)$ .

We denote by  $(u, s)$  the Galois  $H_u$ -object in Th.1.4(1).

Now, let  $A$  be an  $H_u$ -comodule algebra. Then  $A$  has an  $H_u^*$ -module structure ([2, §7, p.56]). The dual Hopf algebra  $H_u^* = \text{Hom}_R(H_u, R)$  is a free  $R$ -module with basis  $\{\epsilon, \delta\}$ , where  $\delta(1) = 0$  and  $\delta(d) = 1$ , and the Hopf

algebra structure is given by

$$\delta^2 = 0, \tilde{\Delta}(\delta) = \delta \otimes \varepsilon + \varepsilon \otimes \delta + u(\delta \otimes \delta), \tilde{\varepsilon}(\delta) = 0 \text{ and } \tilde{\lambda}(\delta) = \delta,$$

where  $\Delta$ ,  $\tilde{\varepsilon}$  and  $\tilde{\lambda}$  are the structure maps of  $H_u^*$ . Thus the  $H_u^*$ -action on  $A$  is given by

$$\varepsilon(a_1) = a_1 \text{ and } \delta(a_1 a_2) = \delta(a_1) a_2 + a_1 \delta(a_2) + u \delta(a_1) \delta(a_2) \quad (a_i \in A).$$

In case  $u = 0$ ,  $\delta$  is obviously an  $R$ -derivation on  $A$ . Next, we consider the case that  $u$  is invertible. If we put  $\sigma = \varepsilon + u\delta$ , then  $\sigma^2 = \varepsilon$  and  $\tilde{\Delta}(\sigma) = \sigma \otimes \sigma$ , so  $\sigma$  is an  $R$ -algebra automorphism of  $A$ .

**Theorem 1.5.** *If  $A$  is a Galois  $H_u$ -object then  $A$  is isomorphic to a free Galois  $H_u$ -object  $(u, s)$  for some  $s \in R$ .*

*Proof.* By [2, Ths.9.3 and 9.6],  $R = \{a \in A \mid \delta(a) = 0\}$  and the sequence of  $R$ -modules

$$0 \longrightarrow R \xrightarrow{i} A \xrightarrow{\delta} \delta(A) \longrightarrow 0$$

is exact and split, where  $i$  is the canonical injection. Thus  $\delta(A)$  is projective and of rank 1. We show that  $\delta(A) = R$ . Let  $Q = \{w \in D \mid (1\#\delta)w = 0\}$ , where  $D = A\#H_u^*$ , the smash product of  $A$  and  $H_u^*$  ([2, Def.9.2, Def. and Remarks 9.4.]). Then it is easy to see that  $w$  is in  $Q$  if and only if  $w = \delta(a)\#1 + a\#\delta$  ( $a \in A$ ). Since  $a\#\delta = \delta(a)\#1 + (1\#\delta)(a\#1 + u\delta(a)\#1)$ ,  $w = (1\#\delta)(b\#1)$  for some  $b \in A$ . Moreover by Th.9.6, the map  $[\cdot, \cdot]: Q \otimes_D A \rightarrow R$  defined by  $[w, a] = w(a)$  is an epimorphism, and so there exists  $y \in A$  such that  $\delta(y) = 1$ . Thus  $R \subseteq \delta(A)$ , and  $\delta(A) \subseteq R$  is clear because  $\delta^2 = 0$ . Hence  $A$  is a free algebra with basis  $\{1, y\}$ , and  $y^2 = ry + t$  ( $r, t \in R$ ). Then, by Prop.1.2, there exists  $v \in U(R)$  such that  $vu = r$ , and the  $H_u$ -comodule structure of  $A$  is given by  $\rho(y) = y \otimes 1 + v(1 \otimes d)$ . Therefore, we have  $A \cong (u, v^{-2}t)$  (as Galois  $H_u$ -object).

**Corollary 1.6.** *Let  $A$  be a projective  $H_u$ -comodule algebra of rank 2. Then the following conditions are equivalent.*

- (1)  $A$  is a Galois  $H_u$ -object.
- (2)  $A$  contains an element  $x$  such that  $\delta(x) \in U(R)$ .
- (3)  $\delta(A) = R$ .

*Proof.* By the proof of Th.1.5, (1)  $\implies$  (2) is clear and (2)  $\iff$  (3) is immediate by  $\delta(A) \subseteq R$ , so we prove (2)  $\implies$  (1). If  $t_0 + t_1 x = 0$  ( $t_i \in R$ ), then  $0 = \delta(t_0 + t_1 x) = t_1 \delta(x)$ , and thus  $t_0 = t_1 = 0$ . Since  $A$  is of rank 2,  $\{1, x\}$  is a free basis of  $A$ . Then by Lemma 1.1, the  $H_u$ -comodule structure of  $A$  is given by (1.2). Since  $\delta(x) = x\delta(1) + r_1\delta(d) + s_1 x\delta(d) = r_1 +$

$s_1x \in U(R)$ , we have  $s_1 = 0$  and  $r_1 \in U(R)$ . Hence, by Lemma 1.1 and Prop.1.2,  $A$  is a Galois  $H_u$ -object.

**2. Group of Galois  $H_u$ -objects.** Let  $A$  and  $B$  be  $H_u$ -comodule algebras with structure maps  $\rho_A$  and  $\rho_B$ , respectively. We set  $\tau_{A,B} = (1 \otimes t)(\rho_A \otimes 1) - (1 \otimes \rho_B): A \otimes B \rightarrow A \otimes B \otimes H_u$  and  $AB = \text{Ker}(\tau_{A,B})$ , where  $t$  is the twist map  $a \otimes b \rightarrow b \otimes a$ . Then it is easy to see that the map  $\rho_{AB}: AB \rightarrow AB \otimes H_u$  defined by  $\rho_{AB} = (1 \otimes t)(\rho_A \otimes 1) (= 1 \otimes \rho_B)$  is an  $H_u$ -comodule algebra structure map of  $AB$ . Moreover, if  $A$  and  $B$  are Galois  $H_u$ -objects then  $AB$  is a Galois  $H_u$ -object by [1, p.689]. In our case the converse holds.

**Theorem 2.1.** *Let  $A$  and  $B$  be free  $H_u$ -comodule algebras of rank 2. If  $AB$  is a Galois  $H_u$ -object, then  $A$  and  $B$  are Galois  $H_u$ -objects.*

*Proof.* Let  $\{1, x\}$  and  $\{1, y\}$  be free bases of  $A$  and  $B$ , respectively. Since  $AB$  is a Galois  $H_u$ -object,  $AB$  has a free basis  $\{1, z\}$  and  $\rho_{AB}(z) = z \otimes 1 + 1 \otimes 1 \otimes d$ . We set  $\rho_A(x) = x \otimes 1 + r_1(1 \otimes d) + s_1(x \otimes d)$  and  $z = t_0 + t_1(x \otimes 1) + t_2(1 \otimes y) + t_3(x \otimes y)$  ( $r_1, s_1, t_i \in R$ ). Then by  $\rho_{AB}(z) = (1 \otimes t)(\rho_A \otimes 1)(z)$ , we have  $t_1r_1 = 1$  and  $t_1s_1 = t_3r_1 = t_3s_1 = 0$ . Thus  $r_1$  is invertible and  $s_1 = 0$ . Then, by Prop.1.2,  $A$  is a Galois  $H_u$ -object. Also, similarly,  $B$  is a Galois  $H_u$ -object.

**Proposition 2.2.** *Let  $A_i = (u, s_i)$  ( $i = 1, 2$ ) be Galois  $H_u$ -objects. Then  $A_1A_2 = (u, s_1 + s_2)$ .*

*Proof.* Let  $\{1, x_i\}$  be free bases of  $A_i$ . Then, by the definition of  $A_1A_2$ ,

$$A_1A_2 = \{t_0 + t_1(x_1 \otimes 1 + 1 \otimes x_2) \mid t_i \in R\}$$

and  $\{1, y = x_1 \otimes 1 + 1 \otimes x_2\}$  is a free basis of  $A_1A_2$ . Moreover,  $y^2 = uy + s_1 + s_2$  and  $\rho(y) = y \otimes 1 + 1 \otimes 1 \otimes d$ . Thus  $A_1A_2 = (u, s_1 + s_2)$ .

Now, let  $\text{Gal}(R, H_u)$  be the group of isomorphism classes of Galois  $H_u$ -objects in the sense of [1, p.686]. If  $C \in \text{Gal}(R, H_u)$  and  $A \in C$  then we write  $C = [A]$ . Moreover, by  $M_u$ , we denote the subgroup  $\{\beta^2 + u\beta \mid \beta \in R\}$  of the additive group  $(R, +)$ . Under this situation, we shall prove the following

**Theorem 2.3.**  *$\text{Gal}(R, H_u)$  is group isomorphic to the factor group  $(R, +)/M_u$ , which is abelian and of exponent 2.*

*Proof.* By Th.1.4, there exists a map  $\phi : (R, +) \longrightarrow \text{Gal}(R, H_u)$  where  $\phi(t) = [(u, t)]$ . In virtue of the results of Th.1.5 and Prop.2.2,  $\phi$  is a group epimorphism. Now, let  $A_i = (u, s_i)$  be Galois  $H_u$ -objects with bases  $\{1, x_i\}$  and  $H_u$ -comodule structure maps  $\rho$  so that  $x_i^2 = ux_i + s_i$  and  $\rho(x_i) = x_i \otimes 1 + 1 \otimes d$  ( $i = 1, 2$ ). We assume that there is an ( $H_u$ -Galois object) isomorphism  $f : A_1 \longrightarrow A_2$ , and set  $f(x_1) = \alpha x_2 + \beta$  ( $\alpha, \beta \in R$ ). Then by  $\rho f(x_1) = (f \otimes 1)\rho(x_1)$ , we have  $\alpha = 1$ . Noting  $f(x_1)^2 = f(x_1^2)$ , we see that  $\beta^2 + u\beta = s_1 + s_2$ . Thus, we obtain  $\text{Ker}(\phi) \subseteq M_u$ . Conversely, let  $\phi(\beta^2 + u\beta) = [(u, \beta^2 + u\beta)]$ . Define a map  $f : (u, \beta^2 + u\beta) \longrightarrow (u, 0)$  by  $f(1) = 1$  and  $f(x) = y + \beta$ , where  $\{1, x\}$  and  $\{1, y\}$  are free bases of  $(u, \beta^2 + u\beta)$  and  $(u, 0)$ , respectively. Then it is easy to see that  $\rho f(x) = (f \otimes 1)\rho(x)$  and  $f(x^2) = f(x)^2$ . Thus  $f$  is an isomorphism as Galois  $H_u$ -object. Hence  $\text{Ker}(\phi) \supseteq M_u$ . This proves the theorem.

Now, let  $Q_s$  be the group of the isomorphism classes of quadratic Galois extensions of  $R$  in the sense of Kitamura [3, p.16]. Then

**Corollary 2.5.** (1)  $\text{Gal}(R, H_1) \cong (R, +)/\{r^2 - r \mid r \in R\} \cong Q_s \cong \text{Gal}(R, H_u)$  for every  $u \in U(R)$ .

(2)  $\text{Gal}(R, H_0) \cong (R, +)/\{r^2 \mid r \in R\}$ .

*Proof.* Let  $s, s' \in R$ , and  $u \in U(R)$ . Then, the polynomial rings  $R[X]$  and  $R[Y]$ ,  $R[X]/R[X](X^2 + uX + s) \cong R[Y]/R[Y](Y^2 + Y + u^{-2}s)$  (as  $R$ -algebra), and moreover,  $R[X]/R[X](X^2 + X + s) \cong R[Y]/R[Y](Y^2 + Y + s')$  if and only if  $s - s' \in M_1$ . Hence, it follows that  $Q_s \cong (R, +)/M_1 \cong \text{Gal}(R, H_1)$ . Since  $\beta^2 + u\beta = u^2(u^{-1}\beta)^2 + u^2(u^{-1}\beta)$  ( $\beta \in R$ ), we have  $M_u = u^2M_1$ . Hence we obtain  $\text{Gal}(R, H_u) \cong (R, +)/M_u \cong (u^2M_1) \cong (R, +)/M_1 \cong \text{Gal}(R, H_1)$ .

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