

# $n$ -canonical modules over non-commutative algebras

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# The purpose of this talk (1)

In this talk, a non-commutative algebra means a module-finite algebra over a Noetherian commutative ring. The purpose of this talk is:

- Defining the dualizing complex and the canonical module over a non-commutative algebra;
- Proving non-commutative versions of theorems on canonical modules;
- Defining  $n$ -canonical modules;
- Improving and generalizing Araya–Iima theorem on  $(n, C)$ -syzygies using  $n$ -canonical modules;
- More. . .

## The purpose of this talk (2)

- Proving 'codimension-two argument' is valid over a Noetherian scheme with a full 2-canonical module;
- Defining higher-dimensional versions of symmetric, Frobenius, and quasi-Frobenius algebras and proving basic properties of them.

# Notation

Throughout the talk, let  $R$  be a Noetherian commutative ring, and  $\Lambda$  a module-finite  $R$ -algebra. Let  $d = \dim \Lambda$ .  
By a  $\Lambda$ -bimodule, we mean a left  $\Lambda \otimes_R \Lambda^{\text{op}}$ -module.

## Dualizing complex — complete case

Let  $(R, J)$  be semilocal. A dualizing complex  $\mathbb{I}$  of  $R$  is said to be **normalized** if  $\mathrm{Ext}_R^0(R/\mathfrak{m}, \mathbb{I}) \neq 0$  for each  $\mathfrak{m} \in \mathrm{Max}(R)$ .  
If  $R$  is complete, then  $R$  has a normalized dualizing complex  $\mathbb{I}_R$ , which is unique up to isomorphisms in  $D(R)$ .

Let  $R$  be complete semilocal, and  $\Lambda$  a module-finite  $R$ -algebra. We define  $\mathbb{I}_\Lambda := \mathbf{R}\mathrm{Hom}_R(\Lambda, \mathbb{I}_R)$ , and call it the normalized dualizing complex of  $\Lambda$ .

$\mathbb{I}_\Lambda$  depends only on  $\Lambda$ , and is independent of the choice of  $R$ .

# The canonical module

If  $(R, J)$  is complete semilocal and  $\Lambda \neq 0$ , then the canonical module of  $\Lambda$ , denoted by  $K_\Lambda$ , is defined to be the lowest nonzero cohomology group of  $\mathbb{I}_\Lambda$ . If  $\Lambda = 0$ , then  $K_\Lambda$  is defined to be  $0$ .

Let  $(R, J)$  be not necessarily complete. A finite  $\Lambda$ -bimodule (resp. right  $\Lambda$ -module)  $M$  is called the canonical module (resp. right canonical module) of  $\Lambda$  if  $\hat{M} \cong K_{\hat{\Lambda}}$  as a  $\hat{\Lambda}$ -bimodule (resp. right  $\hat{\Lambda}$ -module), where  $\hat{\phantom{x}}$  denotes the completion.

If  $(R, J)$  has a normalized dualizing complex  $\mathbb{I}$ , then the lowest non-vanishing cohomology  $\text{Ext}_R^{-d}(\Lambda, \mathbb{I})$  is the canonical module of  $\Lambda$ , where  $d = \dim \Lambda$ .

# Some basic properties

Let  $M$  and  $N$  be finite  $R$ -modules. We say that  $M$  satisfies the  $(S_n^M)^R$ -condition (or  $(S_n^M)$ -condition) if  $\text{depth } M_P \geq \min(n, \dim N_P)$  for each  $P \in \text{Spec } R$ .  $(S_n^R)^R$  is simply denoted by  $(S_n)^R$  or  $(S_n)$ .

## Lemma 1

Let  $(R, J)$  be semilocal, and assume that  $\Lambda$  has a right canonical module  $K$ . Then

- (1)  $\text{Ass}_R K = \text{Assh}_R \Lambda := \{P \in \text{Min}_R \Lambda \mid \dim R/P = \dim \Lambda\}$ .
- (2)  $K$  satisfies the  $(S_2^\Lambda)^R$  condition.
- (3)  $R/\text{ann}_R K_\Lambda$  is quasi-unmixed, and hence is universally catenary.

# Globally Cohen–Macaulay modules

Let  $(R, J)$  be semilocal, and  $M$  a finite  $R$ -module. We denote  $\text{depth}(J, M)$  by  $\text{depth } M$ . We say that  $M$  is globally Cohen–Macaulay (GCM) if  $\dim M = \text{depth } M$ . This is equivalent to say that  $M$  is a Cohen–Macaulay  $R$ -module, and  $\dim M_{\mathfrak{m}}$  is constant on  $\text{Max}(R)$ .

Let  $M$  be a finite right  $\Lambda$ -module. We say that  $M$  is globally maximal Cohen–Macaulay (GMCM) if  $\dim \Lambda = \text{depth } M$ . This is equivalent to say that  $M_{\mathfrak{m}}$  is a maximal Cohen–Macaulay  $(R/\text{ann}_R \Lambda)_{\mathfrak{m}}$ -module for each  $\mathfrak{m} \in \text{Max}(R)$ , and  $\dim \Lambda_{\mathfrak{m}}$  is independent of  $\mathfrak{m}$ . Note that  $\text{depth } M$ , GCM and GMCM property are determined only by  $M$  and  $\Lambda$ , and is independent of the choice of  $R$ .



## GCM case

Let  $(R, J)$  be semilocal with a normalized dualizing complex  $\mathbb{I}_R$ . Set  $\mathbb{I}_\Lambda = \mathbf{R}\mathrm{Hom}_R(\Lambda, \mathbb{I}_R)$ . Let  $M$  be a finite right  $\Lambda$ -module. Note that  $\Lambda$  is GCM if and only if  $K_\Lambda[d] \cong \mathbb{I}_\Lambda$  in  $D(\Lambda \otimes_R \Lambda^{\mathrm{op}})$ .

### Lemma 2

Assume that  $\Lambda$  is GCM. The following are equivalent.

- (1)  $M$  is GMCM.
- (2)  $\mathrm{Ext}_{\Lambda^{\mathrm{op}}}^i(M, K_\Lambda) = 0$  for  $i > 0$ .

If so, then  $\mathrm{Hom}_{\Lambda^{\mathrm{op}}}(M, K_\Lambda)$  is again a GMCM left  $\Lambda$ -module, and the map

$$M \rightarrow \mathrm{Hom}_\Lambda(\mathrm{Hom}_{\Lambda^{\mathrm{op}}}(M, K_\Lambda), K_\Lambda)$$

is an isomorphism. In particular,  $K_\Lambda$  is GMCM and  $\Lambda \rightarrow \mathrm{End}_\Lambda K_\Lambda$  is an isomorphism.

# Non-commutative Aoyama's theorem (1)

Returning to the general (non-GCM) case, we reprove some classical theorems on canonical modules in non-commutative versions.

## Proposition 3

Let  $(R, \mathfrak{m}, k) \rightarrow (R', \mathfrak{m}', k')$  be a flat local homomorphism between Noetherian local rings. Let  $M$  be a right  $\Lambda$ -module. Assume that  $R'/\mathfrak{m}'R'$  is zero-dimensional, and  $M' := R' \otimes_R M$  is the right canonical module of  $\Lambda' := R' \otimes_R \Lambda$ . If  $\Lambda \neq 0$ , then  $R'/\mathfrak{m}'R'$  is Gorenstein.

## Non-commutative Aoyama's theorem (2)

### Theorem 4 (Non-commutative Aoyama's theorem)

Let  $(R, \mathfrak{m}) \rightarrow (R', \mathfrak{m}')$  be a flat local homomorphism between Noetherian local rings, and  $\Lambda$  a module-finite  $R$ -algebra.

- (1) If  $M$  is a  $\Lambda$ -bimodule and  $M' = R' \otimes_R M$  is the canonical module of  $\Lambda' = R' \otimes_R \Lambda$ , then  $M$  is the canonical module of  $\Lambda$ .
- (2) If  $M$  is a right  $\Lambda$ -module such that  $M'$  is the right canonical module of  $\Lambda'$ , then  $M$  is the right canonical module of  $\Lambda$ .

# A corollary

## Corollary 5

Let  $(R, \mathfrak{m})$  be a Noetherian local ring, and suppose that  $K$  is the canonical (resp. right canonical) module of  $\Lambda$ . If  $P \in \text{supp}_R K$ , then  $K_P$  is the canonical (resp. right canonical) module of  $\Lambda_P$ .

# Semicanonical module

Let  $R$  be a Noetherian ring. Let  $\omega$  be a finite  $\Lambda$ -bimodule (resp. right  $\Lambda$ -module). We say that  $\omega$  is **semicanonical** (resp. **right semicanonical**) if for any  $P \in \text{supp}_R \omega$ ,  $\omega_P$  is the canonical (resp. right canonical) module of  $\Lambda_P$ .

## Example 6

- (1) The zero module  $0$  is a semicanonical module.
- (2) If  $R$  has a dualizing complex  $\mathbb{I}$  and  $\Lambda \neq 0$ , then the lowest non-vanishing cohomology of  $\mathbf{R}\text{Hom}_R(\Lambda, \mathbb{I})$  is a semicanonical module.
- (3) By non-commutative Aoyama's theorem, if  $R$  is semilocal and  $K_\Lambda$  is the (right) canonical module of  $\Lambda$ , then  $K_\Lambda$  is semicanonical.

# $n$ -canonical modules

## Definition 7

Let  $R$  be a Noetherian ring and  $n \geq 1$ . A finite  $\Lambda$ -bimodule (resp. right  $\Lambda$ -module)  $C$  is called an  $n$ -canonical  $\Lambda$ -bimodule (resp. right  $\Lambda$ -module) over  $R$  if  $C$  satisfies  $(S_n)^R$ , and for each  $P \in \text{supp}_R C$  with  $\text{ht } P < n$ ,  $C_P$  is the canonical module of  $\Lambda_P$ .

# Some examples

## Example 1

- (1) A semicanonical bimodule (resp. right module) is a 2-canonical bimodule (resp. right module) over  $R/\text{ann}_R \Lambda$ .
- (2) The  $R$ -module  $R$  is an  $n$ -canonical  $R$ -module (here  $\Lambda = R$ ) if and only if  $R$  satisfies  $(G_{n-1}) + (S_n)$ , where we say that  $R$  satisfies  $(G_{n-1})$  if for any  $P \in \text{Spec } R$  with  $\text{ht} P \leq n - 1$ ,  $R_P$  is Gorenstein.
- (3) If  $R$  is normal, then any rank-one reflexive  $R$ -module is 2-canonical.

# Notation

Let  $C$  be a finite right  $\Lambda$ -module, and set  $\Gamma := \text{End}_{\Lambda^{\text{op}}} C$ . Let

$$(?)^\dagger = \text{Hom}_{\Lambda^{\text{op}}}(?, C) : \text{mod } \Lambda \rightarrow \Gamma \text{ mod},$$

and

$$(?)^\ddagger = \text{Hom}_{\Gamma}(?, C) : \Gamma \text{ mod} \rightarrow \text{mod } \Lambda.$$

We have a natural map

$$\lambda_M : M \rightarrow M^{\ddagger}$$

for  $M \in \text{mod } \Lambda$ .



## $(n, C)$ -TF property

Let  $M \in \text{mod } \Lambda$ . We say that  $M$  is  $(1, C)$ -TF (resp.  $(2, C)$ -TF) if

$$\lambda_M : M \rightarrow M^{\dagger\ddagger}$$

is injective (resp. bijective).

For  $n \geq 3$ , we say that  $M$  is  $(n, C)$ -TF if it is  $(2, C)$ -TF and  $\text{Ext}_{\Gamma}^i(M^{\dagger}, C) = 0$  for  $1 \leq i \leq n - 2$ .

### Remark 8

The notion of  $(n, C)$ -TF property is a variant of  $n$ - $C$ -torsionfreeness due to Takahashi.

Even if  $R = \Lambda$  is commutative,  $\Gamma$  may not be commutative, and it is essential to consider non-commutative algebras.

## $(n, C)$ -universal pushforward

Let  $M \in \text{mod } \Lambda$ . If there is an exact sequence

$$0 \rightarrow M \rightarrow C^0 \rightarrow \cdots \rightarrow C^{n-1} \quad (1)$$

with  $C^i \in \text{add } C$ , then we say that  $M$  is an  $(n, C)$ -syzygy. If in addition, the sequence

$$0 \leftarrow M^\dagger \leftarrow (C^0)^\dagger \leftarrow \cdots \leftarrow (C^{n-1})^\dagger$$

derived from (1) is also exact, then we say that  $M$  has a universal  $(n, C)$ -pushforward.

# $(n, C)$ -TF and universal $(n, C)$ -pushforward

## Theorem 9

Let  $M \in \text{mod } \Lambda$  and  $n \geq 1$ . Then  $M$  is  $(n, C)$ -TF if and only if  $M$  has a universal  $(n, C)$ -pushforward.

# Main theorem

## Theorem 10

Let  $M \in \text{mod } \Lambda$  and  $n \geq 1$ . Let  $C$  be an  $n$ -canonical right  $\Lambda$ -module over  $R$ . Then the following are equivalent.

- (1)  $M$  is  $(n, C)$ -TF (or equivalently, has a universal  $(n, C)$ -pushforward).
- (2)  $M$  is an  $(n, C)$ -syzygy.
- (3)  $M$  satisfies  $(S_n)^R$ , and  $\text{supp}_R M \subset \text{supp}_R C$ .

## Remark 11

Considering the case that  $R = \Lambda$ ,  $R$  is  $(S_n)$ , and  $C$  is semidualizing, the theorem yields Araya–lima theorem.

# A corollary

## Corollary 12

Let  $R$  be semilocal and assume that  $\Lambda$  has a right canonical module  $K$ .

- (1)  $\lambda_\Lambda : \Lambda \rightarrow \text{End}_{\Lambda^{\text{op}}} K$  is injective if and only if  $\text{Ass}_R \Lambda = \text{Assh}_R \Lambda$ .
- (2)  $\lambda_\Lambda : \Lambda \rightarrow \text{End}_{\Lambda^{\text{op}}} K$  is bijective if and only if  $\Lambda$  satisfies the  $(S_2^\Lambda)^R$ -condition.

# Schenzel–Aoyama–Goto theorem

## Theorem 13

Let  $(R, J)$  be semilocal, and suppose that  $\Lambda$  has a right canonical module  $K_\Lambda$ . Assume that  $\Lambda$  satisfies  $(S_2^\Lambda)^R$ , and assume that  $K_\Lambda$  is GCM. Then  $\Lambda$  is GCM.

# Notation

Let  $X$  be a Noetherian scheme,  $U$  its open subscheme with  $\text{codim}(X \setminus U, X) \geq 2$ , and  $\Lambda$  a coherent  $\mathcal{O}_X$ -algebra. A coherent right  $\Lambda$ -module  $C$  is said to be  $n$ -canonical if  $C$  satisfies  $(S_n)$  and  $C_x$  is the right canonical module of  $\Lambda_x$  or zero for each  $x \in X$ . The full subcategory of  $\text{mod } \Lambda$  consisting of  $\mathcal{M} \in \text{mod } \Lambda$  satisfying  $(S_2)$  is denoted by  $(S_2)^{\wedge^{\text{op}}, X}$ .

# Codimension-two argument

## Theorem 2

Suppose that  $X$  has a 2-canonical module  $C$  such that  $\text{supp } C = X$ . Let  $i : U \hookrightarrow X$  be the inclusion. Then the restriction  $i^* : (\mathcal{S}_2)^{\wedge^{\text{op}}, X} \rightarrow (\mathcal{S}_2)^{(i^*\wedge)^{\text{op}}, U}$  is an equivalence whose quasi-inverse is the direct image  $i_*$ .



# Higher-dimensional analogue of quasi-Frobenius algebra

## Lemma 3

Let  $(R, J)$  be semilocal. Then the following are equivalent.

- (1)  $(K_{\hat{\Lambda}})_{\hat{\Lambda}}$  is projective in  $\text{mod } \hat{\Lambda}$ .
- (2)  $_{\hat{\Lambda}}(K_{\hat{\Lambda}})$  is projective in  $\hat{\Lambda} \text{ mod}$ .

If the equivalent conditions are satisfied, we say that  $\Lambda$  is **pseudo-quasi-Frobenius**. If, moreover,  $\Lambda$  is GCM, then we say that  $\Lambda$  is **quasi-Frobenius**.

# Goto–Nishida's Gorensteinness (1)

## Proposition 14

Let  $R$  be semilocal, and assume that  $\Lambda$  is GCM. Then the following are equivalent.

- (1)  $\Lambda$  is quasi-Frobenius;
- (2)  $\dim \Lambda = \text{idim } \Lambda$ , where  $\text{idim}$  denotes the injective dimension.
- (3)  $\dim \Lambda = \text{idim } \Lambda_{\Lambda}$ .

## Goto–Nishida's Gorensteinness (2)

### Corollary 15

Let  $R$  be arbitrary. Then the following are equivalent.

- (1) For any  $P \in \text{Spec } R$ ,  $\Lambda_P$  is quasi-Frobenius.
- (2) For any  $\mathfrak{m} \in \text{Max } R$ ,  $\Lambda_{\mathfrak{m}}$  is quasi-Frobenius;
- (3)  $\Lambda$  is a Gorenstein in the sense that  $\Lambda$  is Cohen–Macaulay, and  $\text{idim}_{\Lambda_P} \Lambda_P = \dim \Lambda_P$  for  $P \in \text{Spec } R$ .

# Non-commutative version of quasi-Gorenstein property

## Lemma 16

Let  $(R, J)$  be semilocal. Then the following are equivalent.

- (1)  ${}_{\Lambda}\Lambda$  is the left canonical module of  $\Lambda$ ;
- (2)  $\Lambda_{\Lambda}$  is the right canonical module of  $\Lambda$ .

Let  $(R, J)$  be semilocal. We say that  $\Lambda$  is **quasi-symmetric** if  $\Lambda$  is the canonical module of  $\Lambda$ . If, moreover,  $\Lambda$  is GCM, then we say that  $\Lambda$  is **symmetric**.

We say that  $\Lambda$  is **pseudo-Frobenius** if the equivalent conditions in Lemma 16 are satisfied. If, moreover,  $\Lambda$  is GCM, then we say that  $\Lambda$  is **Frobenius**.

# Relative notions due to Scheja–Storch

## Definition 17 (Scheja–Storch)

Let  $R$  be general. We say that  $\Lambda$  is symmetric (resp. Frobenius) relative to  $R$  if  $\Lambda$  is  $R$ -projective, and  $\Lambda^* := \text{Hom}_R(\Lambda, R)$  is isomorphic to  $\Lambda$  as a  $\Lambda$ -bimodule (resp. as a right  $\Lambda$ -module). It is called quasi-Frobenius relative to  $R$  if the right  $\Lambda$ -module  $\Lambda^*$  is projective.

# Relative versus absolute notions (1)

## Proposition 18

Let  $(R, \mathfrak{m})$  be local.

- (1) If  $\dim \Lambda = \dim R$ ,  $R$  is quasi-Gorenstein, and  $\Lambda^* \cong \Lambda$  as  $\Lambda$ -bimodules (resp.  $\Lambda^* \cong \Lambda$  as right  $\Lambda$ -modules,  $\Lambda^*$  is projective as a right  $\Lambda$ -module), then  $\Lambda$  is quasi-symmetric (resp. pseudo-Frobenius, pseudo-quasi-Frobenius).
- (2) If  $\Lambda$  is nonzero and  $R$ -projective, then  $\Lambda$  is quasi-symmetric (resp. pseudo-Frobenius, pseudo-quasi-Frobenius) if and only if  $R$  is quasi-Gorenstein and  $\Lambda$  is symmetric (resp. Frobenius, quasi-Frobenius) relative to  $R$ .

## Relative versus absolute notions (2)

### Corollary 19

Let  $(R, \mathfrak{m})$  be local.

- (1) If  $R$  is Gorenstein and  $\Lambda$  is symmetric (resp. Frobenius, quasi-Frobenius) relative to  $R$ , then  $\Lambda$  is symmetric (resp. Frobenius, quasi-Frobenius).
- (2) If  $\Lambda$  is nonzero and  $R$ -projective, then  $\Lambda$  is symmetric (resp. Frobenius, quasi-Frobenius) if and only if  $R$  is Gorenstein and  $\Lambda$  is symmetric (resp. Frobenius, quasi-Frobenius) relative to  $R$ .

# Thank you

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