# *F*-rationality of rings of invariants

Mitsuyasu Hashimoto

Okayama University

November 21, 2014 Partially Joint with P. Symonds

Dedicated to Professor K.-i. Watanabe on the occasion of his seventieth birthday

# F-regularity and F-rationality of rings of invariants

Let  $k=\bar{k}$  be an algebraically closed field of characteristic p>0. Let  $V=k^d$ , and G be a finite subgroup of  $GL(V)=GL_d$ . We say that  $g\in GL(V)$  is a pseudo-reflection if  ${\rm rank}(1_V-g)=1$ . Let  $B={\rm Sym}\ V=k[v_1,\ldots,v_d]$ , where  $v_1,\ldots,v_d$  is a basis of V, and  $A=B^G$ .

#### Question 1

Assume that *G* does not have a pseudo-reflection.

- When is  $A = B^G$  strongly F-regular?
- **2** When is  $A = B^G$  *F*-rational?

## Broer-Yasuda theorem

## Theorem 2 (Broer, Yasuda)

Assume that G does not have a pseudo-reflection. The following are equivalent.

- **1**  $A = B^G$  is strongly F-regular.
- $\bigcirc$  A is a direct summand subring of B.
- 3 p does not divide the order #G of G.

 $2\Rightarrow 1$  is simply because strong *F*-regularity is inherited by a direct summand.  $1\Rightarrow 2$  is because a weakly *F*-regular ring is a splinter (Hochster–Huneke).  $3\Rightarrow 2$  is by the existence of the Reynolds operator. Broer and Yasuda proved  $2\Rightarrow 3$ .

Today we consider the problem for *F*-rationality.

### Frobenius twist

For a k-scheme  $h: Z \to \operatorname{Spec} k$  and  $e \in \mathbb{Z}$ , the scheme Z with the new k-structure

$$Z \xrightarrow{h} \operatorname{Spec} k \xrightarrow{F^e} \operatorname{Spec} k$$

is denoted by  ${}^eZ$ . Note that the Frobenius map  $F_Z^e: {}^eZ \to Z$  is a k-morphism for  $e \ge 0$ .

For a k-morphism  $f: Z' \to Z$ , the morphism  $f: {}^eZ' \to {}^eZ$  is again a k-morphism. We denote this morphism  ${}^ef$ .

# Frobenius twist of a group scheme

If H is a k-group scheme, then by the product

$${}^{e}H \times {}^{e}H \cong {}^{e}(H \times H) \xrightarrow{{}^{e}\mu} {}^{e}H,$$

<sup>e</sup>H is a k-group scheme, where  $\mu: H \times H \to H$  is the product.

The Frobenius map  $F_H^e: {}^eH \to H$  is a homomorphism of k-group schemes. If H is étale over k,  $F^e$  is an isomorphism. In particular,  $F_G^e: {}^eG \to G$  is an isomorphism.

# Frobenius twist of a vector space

For a k-vector space W and  $e \in \mathbb{Z}$ , the additive group W with the new k-structure given by

$$\alpha \cdot \mathbf{w} := \alpha^{\mathbf{p}^{\mathbf{e}}} \mathbf{w}$$

is denoted by  ${}^eW$ , where the right-hand side is by the original k-action of W, and the left hand side is by the new k-action of  ${}^eW$ . The vector w, viewed as an element of  ${}^eW$  is denoted by  ${}^ew$ . Thus we have

$${}^{e}w + {}^{e}w' = {}^{e}(w + w'), \qquad \alpha({}^{e}w) = {}^{e}(\alpha^{p^{e}}w).$$

# Frobenius twist of k-algebras

For a k-algebra A, the k-space  ${}^eA$  with the ring structure of A is a k-algebra. That is,

$$^{e}a^{e}b=^{e}(ab).$$

If A is commutative, then Spec  $^eA$  is identified with  $^e(\operatorname{Spec} A)$ , and the Frobenius map  $F_A^e: A \to ^eA$  is a k-algebra map.

## Frobenius twist of a representation

Let H be a k-group scheme, and W an H-module. Then  ${}^eW$  is an  ${}^eH$ -module in a natural way by the action

$${}^{e}H \times {}^{e}W \cong {}^{e}(H \times W) \rightarrow {}^{e}W.$$

When H is étale, as  $F^e: {}^eH \to H$  is an isomorphism,  ${}^eW$  is an H-module again.

# Explicit description of Frobenius twist of representations

Let W be a G-module of dimension n and  $w_1, \ldots, w_n$  be a k-basis of W. For  $g \in G$ , the representation matrix  $\rho(g) = (a_{ij}(g))$  is given by

$$gw_j = \sum_{i=1}^n a_{ij}(g)w_i.$$

Then the representation matrix of  ${}^eW$  with respect to the basis  ${}^ew_1, \ldots, {}^ew_n$  is given by

$$g(^{e}w_{j}) = \sum_{i=1}^{n} a_{ij}(g)^{p^{-e}}(^{e}w_{i}).$$

# The frobenius twists of H-algebras and equivariant modules

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Let C be an H-algebra. Then {}^eC is an {}^eH-algebra.
Let M be an (H,C)-module. Then {}^eM is an ({}^eH,{}^eC)-module.
If, moreover, H is étale, then {}^eC is an H-algebra, and {}^eM is an (H,{}^eC)-module.
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# Review of the settings

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Let k be an algebraically closed field of characteristic p>0. Let V:=k^d, and G a finite subgroup of GL(V). Let B:=\operatorname{Sym} V=k[v_1,\ldots,v_d] and A:=B^G, where v_1,\ldots,v_d is a basis of V.
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#### Remarks

#### Remark 3

- ① Let B \* G be the twisted group algebra. A (G, B)-module and a B \* G-module are one and the same thing.
- ② If  $N \in \text{Ref}(A)$ , then  $\text{rank}_A N = \text{rank}_B (B \otimes_A N)^{**}$ . For any  $M \in \text{Ref}(G, B)$ ,  $\text{rank}_B M = \text{rank}_A M^G$ .
- **③** For  $M \in \text{Ref}(G, B)$ ,  ${}^eM \in \text{Ref}(G, B)$ , and obviously

$$({}^{e}M)^{G} \cong {}^{e}(M^{G}).$$

**③** For a (G, B)-module M and a G-module W,  $M ⊗_k W$  is a B-module by b(m ⊗ w) = bm ⊗ w, and is a G-module g(m ⊗ w) = gm ⊗ gw, and it is in fact a (G, B)-module.

# Graded (G, B)-modules

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A \mathbb{Z}-graded (G,B)-module M is nothing but a (\tilde{G},B)-module, where \tilde{G}=\operatorname{Spec} k[t,t^{-1}]\times G. So for e\geq 0, {}^eM is a ({}^e\tilde{G},B)-module. As {}^e\tilde{G}\cong\operatorname{Spec} k[t^{p^{-e}},t^{-p^{-e}}]\times G, it is a p^{-e}\mathbb{Z}-graded (G,B)-module. Let \mathcal{M} be the category of \mathbb{Z}[1/p]-graded (G,B)-modules. Let \mathcal{F} be its full subcategory consisting of B-finite B-free objects. The Frobenius twist {}^e(?) is an endofunctor of \mathcal{M}, and {}^e\mathcal{F}\subset\mathcal{F}.
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# *kG* is selfinjective

Let  $\Lambda$  be a finite dimensional k-algebra.

- We say that  $\Lambda$  is symmetric if  $_{\Lambda}\Lambda_{\Lambda} \cong _{\Lambda}D(\Lambda)_{\Lambda}$ , where  $D(\Lambda) = \Lambda^*$  is the k-dual of  $\Lambda$ .
- The following are equivalent.
  - $\bigcirc$   $_{\Lambda}\Lambda$  is injective.
  - $\bigcirc$   $\Lambda_{\Lambda}$  is injective.
  - 3 Any projective (left) Λ-module is injective.
  - **4** Any injective (left)  $\Lambda$ -module is projective.

We say that  $\Lambda$  is selfinjective (or quasi-Frobenius) if these conditions are satisfied.

- If  $\Lambda$  is symmetric, then  $\Lambda$  is selfinjective.
- kG is symmetric, and hence is selfinjective.

## $\mathcal{F}$ is Frobenius

## Lemma 4 (Symonds–H)

We have  $\operatorname{Hom}_B(B \otimes_k kG, B) \cong B \otimes kG$  in  $\mathcal{F}$ . The category  $\mathcal{F}$  is a Frobenius category. Its full subcategory of projective injective objects agrees with

$$\mathcal{P} := \mathsf{add}\{(B \otimes_k kG)[\lambda] \mid \lambda \in \mathbb{Z}[1/p]\}.$$

# Frobenius twists of objects of ${\mathcal F}$

## Lemma 5 (Symonds–H)

There exists some  $e_0 \ge 1$  such that for any  $E \in \mathcal{F}$  of rank f, there exists a direct summand  $E_0$  of  $e_0 E$  in  $\mathcal{F}$  such that  $E_0 \cong (B \otimes_k kG)^f$  as (G,B)-modules.

# Lemma 6 (Symonds–H)

 $e(B \otimes_k kG) \cong (B \otimes_k kG)^{p^{de}}$  as (G, B)-modules.

# Asymptotic behavior of Frobenius twists

## Theorem 7 (Symonds–H)

There exists some c>0 and  $0<\alpha<1$  such that for any  $E\in\mathcal{F}$  of rank f and any  $e\geq 1$ , there exists some decomposition

$$^{e}E\cong E_{0,e}\oplus E_{1,e}$$

in  $\mathcal{F}$  such that  $E_{0,e}$  is a direct sum of copies of  $B \otimes_k kG$  as a (G,B)-module, and  $E_{1,e}$  is an object of  $\mathcal{F}$  whose rank less than or equal to  $fcp^{de}\alpha^e$ .

# Some observations on $E_{0,e}$ and $E_{1,e}$

- ullet  $\lim_{e o\infty}rac{1}{p^{de}}$  rank  $E_{1,e}=0$ . Hence  $\lim_{e o\infty}rac{1}{p^{de}}$  rank  $E_{0,e}=f$ .
- Since  $(B \otimes_k kG)^G \cong B$  as A-modules, we have  $\lim_{e \to \infty} \frac{1}{p^{de}} \mu_{\hat{A}}(\hat{E}_{0,e}^G) = f \mu_{\hat{A}}(\hat{B})/|G| = f e_{\mathrm{HK}}(\hat{A}) \text{ (by }$

Watanabe–Yoshida theorem, as  $[Q(\hat{B}):Q(\hat{A})]=|G|)$ , where  $\hat{A}$  and  $\hat{B}$  are the completions of A and B, respectively.

• As  $\lim_{e\to\infty}\frac{1}{p^{de}}\mu_{\hat{A}}(^{e}\hat{E}^{G})=e_{\mathrm{HK}}(^{e}\hat{E}^{G})=fe_{\mathrm{HK}}(\hat{A})$ , we have

# Corollary 8 (Symonds-H)

$$\lim_{e\to\infty}\frac{1}{p^{de}}\mu_{\hat{A}}(\hat{E}_{1,e}^G)=0.$$

## Interpretation to A-modules

Let  $k = V_0, V_1, \dots, V_n$  be the list of simple G-modules. Let  $P_i$  be the projective cover of  $V_i$ . Set  $M_i := (B \otimes_k P_i)^G$ .

## Theorem 9 (Symonds-H)

There exists some sequence of non-negative integers  $\{a_e\}$  such that

- ② For each *B*-finite *B*-free  $\mathbb{Z}$ -graded (G, B)-module *E* of rank f and  $e \geq 1$ , there is a decomposition

$${}^eE^G\cong igoplus_{i=0}^n M_i^{\oplus fa_e\dim V_i}\oplus M_{E,e}$$

as an A-module such that  $\lim_{e\to\infty} \mu_{\hat{\mathbf{A}}}(\hat{M}_{\mathsf{E},e})/p^{de} = 0$ .

# Sannai's dual *F*-signature

Let  $(R, \mathfrak{m}, k)$  be a *d*-dimensional reduced *F*-finite local ring of prime characteristic *p* with *k* perfect. For finite *R*-modules *M* and *N*, define

$$\operatorname{surj}_R(M,N) := \max\{r \in \mathbb{Z}_{\geq 0} \mid \exists \text{ a surjection } M \to N^{\oplus r}\}.$$

We define

$$s(M) := \limsup_{e \to \infty} \frac{\operatorname{surj}_R(^e M, M)}{p^{de}},$$

and call it the dual F-signature of M (Sannai). s(R) is nothing but the F-signature of the ring R (defined by Huneke–Leuschke).

# Characterizations of F-regularity and F-rationality

#### Theorem 10

Let  $(R, \mathfrak{m}, k)$  be a reduced F-finite local ring with k perfect.

- ② (Aberbach–Leuschke) R is strongly F-regular if and only if s(R) > 0.
- (Gabber) R is a homomorphic image of a regular local ring.
- (Sannai) R is F-rational if and only if R is Cohen–Macaulay and  $s(\omega_R) > 0$ , where  $\omega_R$  is the canonical module of R.

# The group [C]

Let  $\mathcal{C}$  be an additive category. We define

$$[\mathcal{C}] := (\bigoplus_{M \in \mathcal{C}} \mathbb{Z} \cdot M)/(M - M_1 - M_2 \mid M \cong M_1 \oplus M_2).$$

The class of M in the group  $[\mathcal{C}]$  is denoted by [M]. The vector space  $\mathbb{R} \otimes_{\mathbb{Z}} [\mathcal{C}]$  is denoted by  $[\mathcal{C}]_{\mathbb{R}}$ . If  $\mathcal{C}$  is Krull–Schmidt and  $\mathcal{C}_0$  is a complete set of representatives of  $\operatorname{Ind} \mathcal{C}$ , then  $\{[M] \mid M \in \mathcal{C}_0\}$  is an  $\mathbb{R}$ -basis of  $[\mathcal{C}]_{\mathbb{R}}$ .

# The metric of [mod(R)]

Let R be a Henselian local ring, and C := mod(R). For  $\alpha \in [C]_{\mathbb{R}}$ , we can write

$$\alpha = \sum_{M \in \mathcal{C}_0} c_M[M].$$

We define  $\|\alpha\| := \sum_{M} |c_{M}| \mu_{R}(M)$ . Then  $([\mathcal{C}]_{\mathbb{R}}, \|\cdot\|)$  is a normed space. So it is a metric space by the metric

$$d(\alpha, \beta) := \|\alpha - \beta\|.$$

## The *F*-limit of a module

Let 
$$\alpha = \sum_{M \in \mathcal{C}_0} c_M[M] \in [\mathcal{C}]_{\mathbb{R}} = [\text{mod}(R)]_{\mathbb{R}}$$
.

- Define  $\mu_R : [\mathcal{C}]_{\mathbb{R}} \to \mathbb{R}$  by  $\mu_R(\alpha) := \sum_M c_M \mu_R(M)$ .
- For  $N \in \mathcal{C}_0$ , define  $sum_N : [\mathcal{C}]_{\mathbb{R}} \to \mathbb{R}$  by  $sum_N(\alpha) = c_N$ .
- Assume further that R is of characteristic p > 0 and F-finite with a perfect residue field.
- Define  ${}^e\alpha = \sum_{M \in \mathcal{C}_0} c_M[{}^eM]$ .
- Define  $FL(\alpha) = \lim_{e \to \infty} \frac{1}{p^{de}} {}^{e}\alpha$  (if exists, the *F*-limit of  $\alpha$ ).
- Define  $e_{HK}(\alpha) = \sum_{M} c_{M} e_{HK}(M)$ .
- For  $N \in \mathcal{C}_0$ , define  $FS_N(\alpha) = \sum_M c_M FS_N(M)$ , where  $FS_N(M) = \lim_{e \to \infty} \frac{1}{p^{de}} \operatorname{sum}_N(^e M)$  (the generalized F-signature).

# The Hilbert–Kunz multiplicity and F-signature

#### Lemma 11

 $\mu_R : [\mathcal{C}]_{\mathbb{R}} \to \mathbb{R}$  is a short map. That is,  $|\mu_R(\alpha) - \mu_R(\beta)| \leq ||\alpha - \beta||$ . Similarly for  $\sup_N : [\mathcal{C}]_{\mathbb{R}} \to \mathbb{R}$  for  $N \in \mathcal{C}_0$ . In particular, they are uniformly continuous.

## Corollary 12

Let  $\alpha = \sum_{M \in \mathcal{C}_0} c_M[M] \in [\mathcal{C}]_{\mathbb{R}}$ . If  $FL(\alpha)$  exists, then

$$\mu_R(FL(\alpha)) = e_{HK}(\alpha)$$

and

$$sum_N(FL(\alpha)) = FS_N(\alpha).$$

# The dual *F*-signature

For  $\alpha = \sum_{M} c_{M}[M] \in [\mathcal{C}]_{\mathbb{R}}$  and  $M, N \in \text{mod } R$ ,

- Define  $\langle \alpha \rangle := \sum_{M} \max\{0, \lfloor c_{M} \rfloor\}[M]$ .
- Define

$$\operatorname{asn}(\alpha, N) := \lim_{t \to \infty} \frac{1}{t} \operatorname{surj}(\langle t \alpha \rangle, N)$$

(the limit exists, the asymptotic surjective number).

- In general,  $surj(M, N) \leq asn([M], N)$ .
- asn(?, N) is a short map.
- We say that  $\alpha \geq 0$  if  $c_M \geq 0$  for any  $M \in C_0$ .
- If  $\alpha, \beta \geq 0$ , then  $asn(\alpha + \beta, N) \geq asn(\alpha, N) + asn(\beta, N)$ .
- If the *F*-limit of *M* exists, then s(M) = asn(FL([M]), M).

## The restatement of Theorem 9

## Theorem 13 (Symonds–H)

For each B-finite B-free  $\mathbb{Z}$ -graded (G, B)-module E of rank f,

$$FL([\hat{E}^G]) = \frac{f}{|G|}[\hat{B}] = \frac{f}{|G|} \bigoplus_{i=0}^n (\dim V_i)[\hat{M}_i]$$

in  $[\operatorname{mod} \hat{A}]_{\mathbb{R}}$ , where  $M_i = (B \otimes_k P_i)^G$ .

#### Remark 14

The theorem for the case that p does not divide |G| is due to Nakajima–H.



## The free locus *U* of the action

Set

$$\varphi: X := \operatorname{\mathsf{Spec}} B \to \operatorname{\mathsf{Spec}} A =: Y$$

be the canonical map, where  $A = B^G$ . Set

$$U := X \setminus (\bigcup_{g \in G \setminus \{e\}} X_g) \subset X$$
, where

$$X_g = \{x \in X \mid gx = x\}.$$

We call U the free locus of the action.

From now, unless otherwise stated explicitly (in an example), assume that G has no pseudo-reflection.

Let  $\varphi: X = \operatorname{Spec} B \to \operatorname{Spec} A = Y$  be as above, and U the free locus, and  $U' := \varphi(U)$ . We get the diagram

$$X \stackrel{i}{\longleftrightarrow} U \stackrel{\rho}{\longrightarrow} U' \stackrel{j}{\longleftrightarrow} Y$$
,

where  $\rho$  is the restriction of  $\varphi$ .

# Almost principal bundle

#### Lemma 15

- **1** Set  $\tilde{G} := G \times \mathbb{G}_m$ . Then  $\varphi : X \to Y$  is a  $\tilde{G}$ -morphism.
- ② (Since G does not have a pseudo-reflection) U is large in X. That is,  $\operatorname{codim}_X(X \setminus U) \geq 2$ .
- **3** U is a G-stable open subset of X, and agrees with the étale locus of  $\varphi$ .
- $U' := \varphi(U)$  is a large  $\mathbb{G}_m$ -stable open subset of Y, and the restriction  $\rho: U \to U'$  of  $\varphi$  is a principal G-bundle.
- **5**  $\varphi: X \to Y$  is a  $\tilde{G}$ -enriched almost principal G-bundle with respect to U and U'.

# The equivalences

#### Theorem 16

The functor  $\gamma: i_*\rho^*j^*: \operatorname{Ref}(Y) \to \operatorname{Ref}(G,X)$  is an equivalence whose quasi-inverse is  $\delta: (?)^G j_*\rho_*i^*: \operatorname{Ref}(G,X) \to \operatorname{Ref}(Y)$ .

## Corollary 17

The functor  $(B \otimes_A ?)^{**} : Ref(A) \to Ref(G, B)$  is an equivalence whose quasi-inverse is  $(?)^G : Ref(G, B) \to Ref(A)$ .

#### Remark 18

Similarly,  $(\hat{B} \otimes_{\hat{A}}?)^{**}$ : Ref $(\hat{A}) \to \text{Ref}(G, \hat{B})$  is an equivalence whose quasi-inverse is  $(?)^G$ .

# The description of $\omega_A$

## Theorem 19 (Watanabe-Peskin-Broer-Braun)

Let  $\det = \det_V$  denote the one-dimensional representation  $\bigwedge^d V$  of G. Then

- $\bullet \omega_A \cong (B \otimes_k \det)^G.$
- **1** In particular, A is quasi-Gorenstein if and only if  $\det \cong k$  as a G-module (or equivalently,  $G \subset SL(V)$ ).

# Reproving Watanabe-Yoshida theorem and Broer-Yasuda theorem

Note that each  $\hat{M}_i = (\hat{B} \otimes_k P_i)^G$  is an indecomposable  $\hat{A}$ -module, and  $\hat{M}_i \ncong \hat{M}_j$  for  $i \neq j$ . Moreover,  $\hat{M}_i \cong \hat{A}$  if and only if  $P_i \cong k$ . This is equivalent to say that i = 0 and p does not divide |G|.

# Corollary 20 (Watanabe-Yoshida, Broer, Yasuda)

The F-signature  $s(\hat{A})$  of  $\hat{A}$  is zero if p divides |G|, and is 1/|G|otherwise.

Proof.

$$s(\hat{A}) = FS_{\hat{A}}(\hat{A}) = |G|^{-1} \sum_{i=0}^{n} (\dim V_i) \operatorname{sum}_{\hat{A}}([\hat{M}_i]).$$



#### A lemma

#### Lemma 21

Let  $\Lambda$  be a selfinjective finite dimensional k-algebra, S a simple  $\Lambda$ -module, and  $h:P\to S$  its projective cover. Let M be an indecomposable  $\Lambda$ -module (in this talk, an indecomposable  $\Lambda$ -module means a finitely generated one). Then the following are equivalent.

- $h_*: \operatorname{Hom}_{\Lambda}(M, P) \to \operatorname{Hom}_{\Lambda}(M, S)$  is surjective.
- 3 M is either projective, or  $M/\operatorname{rad} M$  does not contain S.

# Representation theoretic characterization of

$$s(\omega_{\hat{A}}) > 0$$

Let  $\nu$  be the number such that  $V_{\nu} \cong \det$ .

## Theorem 22 (Main Theorem)

Assume that A is not strongly F-regular (or equivalently, p divides |G|). Then the following are equivalent.

- **1**  $s(\omega_{\hat{A}}) > 0$ ;
- ② The canonical map  $M_{\nu} \rightarrow \omega_A$  is surjective.
- $H^1(G, B \otimes_k \operatorname{rad} P_{\nu}) = 0.$
- The For any non-projective indecomposable G-summand M of B, soc M does not contain  $\det^{-1}$  (the k-dual of  $\det$ ).

If these conditions hold, then  $s(\omega_{\lambda}) \geq 1/|G|$ .

# The proof of $2 \Leftrightarrow 3$

Let  $B = \bigoplus_j M_j$  with  $M_j$  indecomposable. The map  $M_{\nu} \to \omega_A$  in **2** is

$$(B\otimes P_{\nu})^{G} \to (B\otimes \det)^{G}$$
.

As  $\operatorname{Ext}_G^i(M_j^*,?) \cong H^i(G,M_j\otimes?)$ , **2** is equivalent to say that

$$\operatorname{\mathsf{Hom}}_G(M_j^*,P_
u) o \operatorname{\mathsf{Hom}}_G(M_j^*,\operatorname{\mathsf{det}})$$

is surjective for each j.

On the other hand, 3 is equivalent to say that

$$\operatorname{Ext}^1_G(M_i^*,\operatorname{\mathsf{rad}} P_
u)\cong H^1(G,M_i\otimes\operatorname{\mathsf{rad}} P_
u)=0$$

for each *j*. The result follows from Lemma 21.

### The proof of $3 \Leftrightarrow 4$

Similarly, **4** is equivalent to say that each  $M_j^*$  is injective (or equivalently, projective, as kG is selfinjective) or  $M_j^*/\operatorname{rad} M_j^* \cong (\operatorname{soc} M_j)^*$  does not contain det. Again by Lemma 21, we have **3** $\Leftrightarrow$ **4**.

#### Theorem 13 for $E = B \otimes \det$

Let  $k = V_0, V_1, \dots, V_n$  be the list of simple *G*-modules. Let  $P_i$  be the projective cover of  $V_i$ . Set  $M_i := (B \otimes_k P_i)^G$ .

Theorem 13 (Symonds-H)

$$FL([\omega_{\hat{A}}]) = \frac{1}{|G|}[\hat{B}] = \frac{1}{|G|} \bigoplus_{i=0}^{n} (\dim V_i)[\hat{M}_i],$$

where  $M_i = (B \otimes P_i)^G$ .

### The proof of $2\Rightarrow 1$

As we assume that there is a surjection  $M_{\nu} \to \omega_{A}$ ,  $\text{surj}(\hat{M}_{\nu}, \omega_{\hat{A}}) \geq 1$ . By Theorem 13 (applied to  $E = B \otimes \det$ ),

$$\begin{split} s(\omega_{\hat{\mathcal{A}}}) &= \mathsf{asn}(\mathit{FL}([\omega_{\hat{\mathcal{A}}}]), \omega_{\hat{\mathcal{A}}}) = \frac{1}{|G|} \, \mathsf{asn}([\hat{M}_{\nu}] + \sum_{i \neq \nu} (\dim V_i)[\hat{M}_i], \omega_{\hat{\mathcal{A}}}) \\ &\geq \frac{1}{|G|} \, \mathsf{asn}([\hat{M}_{\nu}], \omega_{\hat{\mathcal{A}}}) \geq \frac{1}{|G|} \, \mathsf{surj}(\hat{M}_{\nu}, \omega_{\hat{\mathcal{A}}}) \geq \frac{1}{|G|} > 0. \end{split}$$

# The proof of $1\Rightarrow 2$ (1)

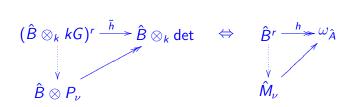
By Theorem 13, we have that  $\operatorname{asn}([\hat{B}], \omega_{\hat{A}}) > 0$ . Or equivalently, there is a surjection  $h: \hat{B}^r \to \omega_{\hat{A}}$  for  $r \gg 0$ . By the equivalence  $\gamma = (\hat{B} \otimes_{\hat{A}}?)^{**} : \operatorname{Ref}(\hat{A}) \to \operatorname{Ref}(G, \hat{B})$ , there corresponds

$$ilde{h} = \gamma(h) : (\hat{B} \otimes_k kG)^r o \hat{B} \otimes_k \det.$$

As  $\hat{B} \otimes_k kG$  is a projective object in the category of (G, B)-modules,  $\tilde{h}$  factors through the surjection

$$\hat{B} \otimes_k P_{\nu} \to \hat{B} \otimes_k \det$$
.

# The proof of $1\Rightarrow 2$ (2)



Returning to the category Ref  $\hat{A}$ , the surjection  $h: \hat{B}^r \to \omega_{\hat{A}}$  factors through

$$\hat{M}_{\nu} = (\hat{B} \otimes_{\hat{A}} P_{\nu})^{\mathsf{G}} \to \omega_{\hat{A}}.$$

So this map is also surjective, and 2 follows.



### A corollary

#### Corollary 23

Let  $\det^{-1}$  denote the dual representation of  $\det$ . Assume that p divides |G|. If  $s(\omega_{\hat{A}}) > 0$ , then  $\det^{-1}$  is not a direct summand of B.

#### Proof.

Note that the one-dimensional representation  $\det^{-1}$  is not projective. Moreover, the socle of  $\det^{-1}$  is  $\det^{-1}$ , which contains  $\det^{-1}$  as a submodule. The result follows from  $\mathbf{1} \Rightarrow \mathbf{4}$  of the theorem.

#### A lemma

#### Lemma 24

Let M and N be in Ref(G, B). There is a natural isomorphism

$$\gamma: \operatorname{\mathsf{Hom}}_{A}(M^G, N^G) \to \operatorname{\mathsf{Hom}}_{B}(M, N)^G$$

#### Proof.

This is simply because  $\gamma = (B \otimes_A?)^{**} : \operatorname{Ref}(A) \to \operatorname{Ref}(G, B)$  is an equivalence, and  $\operatorname{Hom}_B(M, N)^G = \operatorname{Hom}_{G,B}(M, N)$ .

## Another criterion (1)

#### Theorem 25

A is F-rational if and only if the following three conditions hold.

- A is Cohen–Macaulay.
- **2**  $H^1(G,B)=0.$
- (8  $\otimes_k (I/k)$ )<sup>G</sup> is a maximal Cohen–Macaulay A-module, where I is the injective hull of k.

## Another criterion (2)

#### Proof.

Assume that A is F-rational. Then A is Cohen–Macaulay. As  $s(\omega_{\hat{A}}) > 0$ ,  $H^1(B \otimes \operatorname{rad} P_{\nu})^G = 0$ , and

$$0 \to (B \otimes \mathsf{rad}\, P_\nu)^G \to (B \otimes P_\nu)^G \to (B \otimes \mathsf{det})^G \to 0 \qquad (1)$$

is exact. As  $(B \otimes P_{\nu})^G$  is a direct summand of  $(B \otimes kG)^G = B$ , it is an MCM module. As  $(B \otimes \det)^G = \omega_A$ , it is an MCM module. So the canonical dual of the exact sequence is still exact.

### Another criterion (3)

#### Proof (continued).

As

$$\operatorname{\mathsf{Hom}}_A((B\otimes_k?)^{\mathsf{G}},\omega_A)=\operatorname{\mathsf{Hom}}_B(B\otimes_k?,B\otimes_k\det)^{\mathsf{G}}=(B\otimes_k?^*\otimes_k\det)^{\mathsf{G}},$$

we get the exact sequence of MCM A-modules

$$0 \to A \to (B \otimes P_{\nu}^* \otimes \det)^G \to (B \otimes (\operatorname{rad} P_{\nu})^* \otimes \det)^G \to 0.$$
 (2)

As  $(\operatorname{rad} P_{\nu})^* \otimes \det \cong I/k$ ,  $(B \otimes (I/k))^G$  is an MCM. As I is an injective G-module,  $B \otimes I$  is also injective, and hence  $H^1(G, B \otimes I) = 0$ . By the long exact sequence of the cohomology, we get  $H^1(G, B) = 0$ .

The converse is similar. Dualizing (2), we have that (1) is exact.

## A corollary

#### Corollary 26

If A is F-rational, then  $H^1(G, k) = 0$ .

#### Proof.

k is a direct summand of B, and  $H^1(G, B) = 0$ .

#### Example 27

If char(k) = 2 and  $G = S_2$  or  $S_3$ , then  $H^1(G, k) \neq 0$ . So  $A = B^G$  is not F-rational (provided G does not have a pseudo-reflection).

## An example (1)

- Let *p* be an odd prime number.
- Let us identify  $\mathsf{Map}(\mathbb{F}_p, \mathbb{F}_p)^{\times}$  with the symmetric group  $S_p$ .
- Let  $Q := \mathbb{F}_p \subset S_p$ , acting on  $\mathbb{F}_p$  by addition. Q is generated by the cyclic permutation  $\sigma = (1+) = (0 \ 1 \ \cdots \ p-1) \in S_p$ .
- Let  $\Gamma := \mathbb{F}_p^{\times} \subset S_p$ , acting on  $\mathbb{F}_p$  by multiplication. It is a cyclic group of order p-1 generated by  $\tau = (\alpha \cdot) = (1 \ \alpha \ \alpha^2 \ \cdots \ \alpha^{p-2})$ , where  $\alpha$  is the primitive element.
- As  $\tau \sigma \tau^{-1} = \sigma^{\alpha}$ ,  $\Gamma$  normalizes Q. Set  $G = Q\Gamma$ .  $C_G(Q) = Q$ .
- $\bullet \ \ G = \{\phi \in \mathcal{S}_p \mid \exists a \in \mathbb{F}_p^{\times} \ \exists b \in \mathbb{F}_p \ \forall x \in \mathbb{F}_p \ \phi(x) = ax + b\} \subset \mathcal{S}_p.$
- #G = p(p-1).



# An example (2)

- The only involution of  $\Gamma$  is is  $\tau^{(p-1)/2} = ((-1)\cdot) = (1 (p-1))(2 (p-2)) \cdots ((p-1)/2 (p+1)/2)$ , which is a transposition if and only if p=3.
- As  $\Gamma$  contains a Sylow 2-subgroup, a transposition of G, if any, is conjugate to an element, which must be a transposition, of  $\Gamma$ . So G has a transposition if and only if p=3.

# An example (3)

- $G \subset S_p$  acts on  $P = k^p = \langle w_0, w_1, \dots, w_{p-1} \rangle$  by  $\phi w_i = w_{\phi(i)}$  for  $\phi \in G$  and  $i \in \mathbb{F}_p$ .
- Let r > 1, and set  $V = P^{\oplus r}$ .
- G acts on V by permutations of the obvious basis.
- A permutation in G is a pseudo-reflection on V if and only if it is a transposition (as a permutation on the basis of V).
- G has a pseudo-reflection on V if and only if r = 1 and p = 3.

# An example (4)

- Let  $S = \operatorname{Sym} P$ .
- Let  $\lambda \in \mathbb{Z}^p$ , and let  $w^{\lambda} = w_0^{\lambda_0} \cdots w_{p-1}^{\lambda_{p-1}}$  be the corresponding monomial of S.
- Unless  $\lambda_0 = \lambda_1 = \cdots = \lambda_{p-1}$ , Q acts freely on the orbit  $Gw^{\lambda}$ . So  $kGw^{\lambda}$  is a kQ-free module.
- For a *G*-module *M*, we have  $H^i(G, M) \cong H^i(Q, M)^{\Gamma}$  (since the order of  $\Gamma$  is coprime to p, the Lyndon–Hochschild–Serre spectral sequence collapses).
- So  $kGw^{\lambda}$  is G-projective in this case.
- If  $\lambda_0 = \lambda_1 = \cdots = \lambda_{p-1}$ ,  $kGw^{\lambda} \cong k$  is trivial.
- So S is a direct sum of projectives and copies of k.

## An example (5)

- Now consider  $V = P^{\oplus r}$  and  $B := \operatorname{Sym} V \cong S^{\otimes r}$ .
- Let  $k^-$  be the sign representation of G. As  $\tau \in G$  is an odd permutation,  $k^- \not\cong k$ .
- $\det_V = (\det P)^{\otimes r} = (k^-)^{\otimes r} \cong \det_V^{-1}$ . This is k if r is even and  $k^-$  if r is odd.
- If M is a projective G-module and N a G-module, then  $M \otimes N$  is projective. So  $B = S^{\otimes r}$  is again a direct sum of projectives and copies of k.
- If r = 1 and p = 3, then  $A := B^G = k[e_1, e_2, e_3]$ , the polynomial ring generated by the elementary symmetric polynomials.
- Otherwise, G does not have a pseudo-reflection.  $s(\omega_{\hat{A}}) > 0$  if and only if r is odd.

#### Kemper's theorem

Let k be a field of characteristic p>0, and G be a subgroup of the symmetric group of  $S_d$  acting on  $B=k[v_1,\ldots,v_d]$  by permutation. Let Q be a Sylow p-subgroup of G. Assume that |Q|=p. Let  $N=N_G(Q)$  be the normalizer. Let  $X_1,\ldots,X_c$  be the Q-orbits of  $\{v_1,\ldots,v_d\}$ . Set

$$H := \{ \sigma \in N \mid \forall i \ \sigma(X_i) \subset X_i \}.$$

Then Q is a normal subgroup of H. Set  $m := [H : C_H(Q)]$ .

#### Theorem 28 (Kemper)

$$\operatorname{depth} B^G = \min\{2m + c, d\}.$$

### The depth of our example

- For our G, Q, and V, H = N = G.  $C_H(Q) = Q$ .
- So m = p 1, and c = r.
- So depth  $A = \min\{2p 2 + r, rp\}$  and dim A = d = rp.
- So A is Cohen–Macaulay if and only if  $r \leq 2$ .
- It follows that A is F-rational if and only if r = 1.

#### Conclusion

#### Theorem 29

Let  $p \ge 3$ , r, G, V,  $B = \operatorname{Sym} V$ , and  $A = B^G$  be as above.

- #G = p(p-1).
- ② If p = 3 and r = 1, then G is a reflection group and A is a polynomial ring. Otherwise, G does not have a pseudo-reflection, and A is not F-regular.
- **3** If  $p \ge 5$  and r = 1, then A is F-rational but not F-regular.
- ① If r = 2, then A is Gorenstein, but not F-rational.
- **1** If  $r \ge 3$  and odd, then  $s(\omega_{\hat{A}}) > 0$  but A is not Cohen–Macaulay.
- If  $r \ge 4$  and even, then A is quasi-Gorenstein, but not Cohen–Macaulay.

### Thank you

This slide will soon be available at

http://www.math.okayama-u.ac.jp/~hashimoto/