The Picard and the class groups of an invariant subring

Mitsuyasu Hashimoto

Okayama University

December 3, 2013

Main theorem

Theorem 1

Let k be a field, G a smooth k-group scheme of finite type, and X a normal variety over k on which G acts. Let $\varphi : X \to Y$ be a G-invariant morphism such that $\mathcal{O}_Y \cong (\varphi_* \mathcal{O}_X)^G$. Then (1) If $\operatorname{Pic}(X)$ is a finitely generated abelian group, then so is $\operatorname{Pic}(Y)$.

(2) If Cl(X) is a finitely generated abelian group, then so is Cl(Y).

If $X = \operatorname{Spec} B$, $Y = \operatorname{Spec} B^G$, and $\varphi : X \to Y$ is the canonical map, then the condition $\mathcal{O}_Y \cong (\varphi_* \mathcal{O}_X)^G$ is satisfied. Results similar to (2) for connected G are proved by Magid and Waterhouse.

The Zariski site $Zar(X_{\bullet})$ (1)

Let *I* be a small category, and X_{\bullet} a contravariant functor from *I* to the category Sch /S of S-schemes. Then we define the site $Zar(X_{\bullet})$ by:

•
$$Ob(Zar(X_{\bullet})) = \{(i, U) \mid i \in Ob(I), U \in Ob(Zar(X_i))\};$$

 $\operatorname{Hom}_{\operatorname{Zar}(X_{\bullet})}((j, V), (i, U)) = \{(\phi, h) \mid \phi \in \operatorname{Hom}_{I}(i, j), \\ h \in \operatorname{Hom}_{\operatorname{Sch}/S}(V, U), \quad V \xrightarrow{h} U \text{ commutes}\}; \\ \downarrow \\ X_{i} \xrightarrow{X_{\phi}} X_{i}$

■ { $(\phi_{\lambda}, h_{\lambda}) : (i_{\lambda}, U_{\lambda}) \rightarrow (i, U)$ } is a covering if $i_{\lambda} = i, \phi_{\lambda} = id_i$ for any λ , and $U = \bigcup_{\lambda} h_{\lambda}(U_{\lambda})$.

The Zariski site $Zar(X_{\bullet})$ (2)

Moreover, the sheaf of commutative rings $\mathcal{O}_{X_{\bullet}}$ is defined by

and $(Zar(X_{\bullet}), \mathcal{O}_{X_{\bullet}})$ is a ringed site.

- 31

イロト イヨト イヨト

Basic operations

As $\operatorname{Zar}(X_{\bullet})$ is a ringed site, the tensor product $\otimes_{\mathcal{O}_{X_{\bullet}}}$ and the internal hom $\operatorname{Hom}_{\mathcal{O}_{X_{\bullet}}}$ are readily defined.

If $f_{\bullet}: X_{\bullet} \to Y_{\bullet}$ is a morphism in the category Func($I^{\text{op}}, \text{Sch}/S$) (that is, a natural transformation), then a continuous functor $f_{\bullet}^{-1}: \text{Zar}(Y_{\bullet}) \to \text{Zar}(X_{\bullet})$ is given by $f_{\bullet}^{-1}((i, U)) = (i, f_{i}^{-1}(U))$. From this, the direct and the inverse images $(f_{\bullet})_{*}$ and f_{\bullet}^{*} are induced.

Under some Noetherian settings, the twisted inverse pseudo-functor $f_{\bullet}^{!}$ and the theory of dualizing complexes and canonical sheaves are obtained, as in the case of single schemes.

The category Δ and Δ^+

Let Ord be the category of ordered sets and order-preserving maps. Let Δ be the full subcategory of Ord with $Ob(\Delta) = \{[0], [1], [2], \ldots\}$, where $[n] = \{0 < 1 < \cdots < n\}$. Let Δ^+ be the subcategory of Δ such that $Ob(\Delta^+) = Ob(\Delta)$ and $Mor(\Delta^+) = \{\phi \in Mor(\Delta) \mid \phi \text{ is an injective map}\}$. Thus Δ^+ looks like



where $\delta_i^n : [n] \to [n+1]$ is the unique injective monotone map such that $i \notin \operatorname{Im} \delta_i^n$.

$B_{G}^{+}(X)$ (1)

Let *G* be an *S*-group scheme acting on *X*. Then we associate $B^+_G(X) \in \operatorname{Func}((\Delta^+)^{\operatorname{op}}, \operatorname{Sch}/S)$ as

where

$$d_i^n = B_G^+(X)_{\delta_i^n} : B_G^+(X)_{[n+1]} = G^{n+1} \times X \to G^n \times X = B_G^+(X)_{[n]}$$

is defined by:

3

くほと くほと くほと

$B^+_G(X)$ (2)

$$d_i^n(g_n \dots, g_0, x) = \begin{cases} (g_n, \dots, g_1, g_0 x) & (i = 0) \\ (g_n, \dots, g_i g_{i-1}, \dots, g_0, x) & (0 < i < n+1) \\ (g_{n-1}, \dots, g_0, x) & (i = n+1) \end{cases}$$

The categories of modules $Mod(Zar(B_G^+(X)))$ and quasi-coherent modules $Qch(Zar(B_G^+(X)))$ are denoted by Mod(G, X) and Qch(G, X), respectively. An object of Mod(G, X) is called a (G, \mathcal{O}_X) -module.

If G is S-flat, then Qch(G, X) is closed under kernels, cokernels and extensions in Mod(G, X), and it is an abelian category and the inclusion $Qch(G, X) \hookrightarrow Mod(G, X)$ is exact.

イロト 不得下 イヨト イヨト

Algebraic G-cohomology

Let C be a site. Let Ps(C) and Sh(C) denote the category of presheaves and sheaves over C, respectively. For $\mathcal{M} \in Ps(C)$ and $\mathcal{N} \in Sh(C)$, we write $H_p^i(C, \mathcal{M}) := Ext_{Ps(C)}^i(\underline{\mathbb{Z}}, \mathcal{M})$ and $H^i(C, \mathcal{N}) := Ext_{Sh(C)}^i(a\underline{\mathbb{Z}}, \mathcal{N})$, where $\underline{\mathbb{Z}}$ is the constant presheaf and $a\underline{\mathbb{Z}}$ its sheafification. For $\mathcal{M} \in Ps(Zar(B_G^+(X)))$, we denote $H_p^i(Zar(B_G^+(X)), \mathcal{M})$ by $H_{alg}^i(G, \mathcal{M})$, and call it the *i*th algebraic *G*-cohomology group of \mathcal{M} .

・何・ ・ヨ・ ・ヨ・ ・ヨ

Explicit description of algebraic G-cohomology

Lemma 2 $H^{i}_{alg}(G, \mathcal{M})$ is the cohomology group of the complex $0 \rightarrow \Gamma(([0], X), \mathcal{M}) \xrightarrow{d_{0}-d_{1}} \Gamma(([1], G \times X), \mathcal{M}) \xrightarrow{d_{0}-d_{1}+d_{2}} \Gamma(([2], G \times G \times X), \mathcal{M}) \rightarrow \cdots$

The Picard group of a ringed site

Let $(\mathcal{C}, \mathcal{O})$ be a ringed site. An \mathcal{O} -module \mathcal{L} is called an invertible sheaf if for any $c \in Ob(\mathcal{C})$, there exists some covering $(c_{\lambda} \to c)$ of c such that for each λ , $\mathcal{L}|_{c_{\lambda}} \cong \mathcal{O}|_{c_{\lambda}}$, where $(?)|_{c_{\lambda}}$ is the restriction to \mathcal{C}/c_{λ} . An invertible sheaf is quasi-coherent.

The set of isomorphism classes of invertible sheaves on C is denoted by Pic(C), and called the Picard group of C. It is an additive group by the addition

 $[\mathcal{L}] + [\mathcal{L}'] := [\mathcal{L} \otimes_{\mathcal{O}} \mathcal{L}'].$

There is an isomorphism $Pic(\mathcal{C}) \cong H^1(\mathcal{C}, \mathcal{O}^{\times})$.

- 3

・ 同 ト ・ ヨ ト ・ ヨ ト

The G-equivariant Picard group

Definition 3 $Pic(B_G^+(X))$ is denoted by Pic(G, X), and is called the *G*-equivariant

Picard group of X.

There is an obvious map

 $\rho: \operatorname{Pic}(G, X) \to \operatorname{Pic}(X)$

forgetting the G-action. The image of ρ is contained in

 $\mathsf{Pic}(X)^{\mathcal{G}} := \mathsf{Ker}(\mathsf{Pic}(X) \xrightarrow{d_0 - d_1} \mathsf{Pic}(\mathcal{G} \times X)) = \{[\mathcal{L}] \in \mathsf{Pic}(X) \mid a^*\mathcal{L} \cong p_2^*\mathcal{L}\},\$

where $a = d_0 : G \times X \to X$ is the action, and $p_2 = d_1 : G \times X \to X$ is the second projection.

A five-term exact sequence

From the five-term exact sequence

$$0
ightarrow E_2^{1,0}
ightarrow E^1
ightarrow E_2^{0,1}
ightarrow E_2^{2,0}
ightarrow E^2$$

of the Grothendieck spectral sequence

$$E_2^{p,q} = H^p_{\mathsf{alg}}(G, \underline{H}^q(\mathcal{O}^{ imes})) \Rightarrow H^{p+q}(\mathsf{Zar}(B^+_G(X)), \mathcal{O}^{ imes}),$$

we get

Lemma 4

There is an exact sequence

$$0 \to H^1_{\text{alg}}(G, \mathcal{O}^{\times}) \to \text{Pic}(G, X) \xrightarrow{\rho} \text{Pic}(X)^G \to \\ H^2_{\text{alg}}(G, \mathcal{O}^{\times}) \to H^2(\text{Zar}(B^+_G(X)), \mathcal{O}^{\times}).$$

Main theorem

Theorem 5

Let k be a field, G a smooth k-group scheme of finite type, and X a reduced G-scheme which is quasi-compact and quasi-separated. Assume that there is a k-scheme Z of finite type and a dominating k-morphism $Z \to X$. Then $H^1_{alg}(G, \mathcal{O}^{\times}) = \text{Ker}(\rho : \text{Pic}(G, X) \to \text{Pic}(X))$ is a finitely generated abelian group.

Note that a reduced k-scheme X of finite type is reduced, quasi-compact and quasi-separated, admitting a dominating map from a k-scheme of finite type, that is, id : $Z = X \rightarrow X!$

- 4 同 6 4 日 6 4 日 6

Finite generation of the Picard group of an invariant subring

Lemma 6

Let $\varphi : X \to Y$ be a *G*-invariant morphism such that $\mathcal{O}_Y \to (\varphi_* \mathcal{O}_X)^G$ is an isomorphism. Then there is an injective homomorphism $\operatorname{Pic}(Y) \hookrightarrow \operatorname{Pic}(G, X)$.

Corollary 7

Let k, G, X and $Z \to X$ be as in the theorem, and let $\varphi : X \to Y$ be a G-invariant morphism such that $\mathcal{O}_Y \to (\varphi_* \mathcal{O}_X)^G$ is an isomorphism. If $\operatorname{Pic}(X)$ is a finitely generated abelian group, then $\operatorname{Pic}(G, X)$ and $\operatorname{Pic}(Y)$ are also finitely generated.

- 3

イロト イポト イヨト イヨト

Proof of the theorem (1) — the case of finite group action on a finite algebra

- The case that G is a finite group, and $X = \operatorname{Spec} B$ is also finite.
- (1) The case that $G \subset \operatorname{Aut}(B/k)$. Then $H^1_{\operatorname{alg}}(G, \mathcal{O}^{\times}) = H^1(G, B^{\times}) = 0$ (Hilbert's Theorem 90).
- (2) The case that the action of G on X is trivial. Then $H^1(G, B^{\times})$ is the group of homomorphisms from G to B^{\times} . This is finite.
- (3) General case. Let N be the kernel of the map $G \to GL(B)$. Then there is an exact sequence

 $0 \to H^1(G/N, B^{\times}) \to H^1(G, B^{\times}) \to H^1(N, B^{\times}).$

As $H^1(G/N, B^{\times})$ and $H^1(N, B^{\times})$ are finitely generated, $H^1(G, B^{\times})$ is also finitely generated.

Proof of the theorem (2) — group to group scheme

Let *G* and *X* be finite (*G* is a finite group scheme, and is not a finite group in general). Let k' be a finite Galois extension of k such that $\Omega := k' \otimes_k G$ is a finite group (i.e., a disjoint union of Spec k'). Let $\Gamma := \text{Gal}(k'/k)$. Then there is an equivalence of categories

 $Mod(G, B) \cong Mod(\Theta, k' \otimes_k B),$

where Θ is the semidirect product $\Gamma \ltimes \Omega$. Replacing *G* by Θ , the problem is reduced to the case of finite groups.

・ 同 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Proof of the theorem (3) — Affine case

The case that $G = \operatorname{Spec} H$ and $X = \operatorname{Spec} B$ are both affine. Let H_0 and B_0 be the integral closures of k in H and B, respectively. Then $G_0 := \operatorname{Spec} H_0$ is an affine k-group scheme acting on $X_0 := \operatorname{Spec} B_0$. Then the map of complexes

is an isomorphism in the quotient category $\mathcal{A} := Mod(\mathbb{Z})/ mod(\mathbb{Z})$ by the next lemma, and the problem is reduced to the finite case.

(日) (周) (三) (三)

Rosenlicht's lemma

Lemma 8 (Rosenlicht, H—)

Let k be a field, and X be a reduced k-scheme. Assume that there is a k-scheme Z of finite type and a dominating k-morphism $Z \to X$. Then there is a short exact sequence of the form

$$1 \to \mathcal{K}^{\times} \xrightarrow{\iota} \Gamma(X, \mathcal{O}_X)^{\times} \to \mathbb{Z}^r \to 0,$$

where K is the integral closure of k in $k[X] = H^0(X, \mathcal{O}_X)$, and ι is the inclusion.

Proof of the theorem (4) — the general case

Set H = k[G], $G_1 = \text{Spec } H$, B = k[X], and $X_1 = \text{Spec } B$. Then G_1 is an affine *k*-group scheme acting on X_1 . The complex computing $H^i_{alg}(G, \mathcal{O}_X^{\times})$ and the one computing $H^i_{alg}(G_1, \mathcal{O}_{X_1}^{\times})$ are the same, and the problem is reduced to the affine case.

Varieties with trivial unit groups

Lemma 9

Let k be a field, and G a quasi-compact quasi-separated k-group scheme such that k[G] is geometrically reduced over k. Let X be a G-scheme. Assume that $\bar{k} \otimes_k X$ is integral, or X is quasi-compact quasi-separated and $\bar{k} \otimes_k k[X]$ is integral. If the unit group of $\bar{k} \otimes_k k[X]$ is \bar{k}^{\times} , then $H^i_{alg}(G, \mathcal{O}^{\times}_X) \cong H^i_{alg}(G, k^{\times})$. In particular, $H^1_{alg}(G, \mathcal{O}^{\times}_X) \cong \mathcal{X}(G) := \{\chi \in k[G]^{\times} \mid \chi(gg') = \chi(g)\chi(g')\}.$

Example 10

If a smooth k-group scheme G acts on the affine space $X = \mathbb{A}^n$, then $H^1_{alg}(G, \mathcal{O}_X^{\times}) \cong \mathcal{X}(G) \cong \operatorname{Pic}(G, \operatorname{Spec} k) \cong \operatorname{Pic}(G, X)$.

イロト 不得下 イヨト イヨト 二日

Connected groups

Proposition 11

Let G be a connected smooth k-group scheme of finite type, and X a quasi-compact quasi-separated G-scheme such that k[X] is reduced and k is integrally closed in k[X]. Then

$$\mathcal{H}^n_{alg}(G, \mathcal{O}_X^{\times}) = \begin{cases} (k[X]^G)^{\times} & (n=0) \\ \mathcal{X}(G)/\mathcal{X}(G, X) & (n=1) \\ 0 & (n \ge 2) \end{cases}$$

where

 $\mathcal{X}(G, X) := \{ \chi \in \mathcal{X}(G) \mid \exists \alpha \in k[X]^{\times} \\ \forall g \in G \, x \in X \, \alpha(gx) = \chi(g)\alpha(x) \}.$

Some corollaries

Corollary 12 (Kamke, H—)

In the proposition, assume that G and $X = \operatorname{Spec} B$ are affine. If f is a nonzerodivisor of B and Bf is a G-ideal of B, then f is a semiinvariant. That is, there exists some $\chi \in \mathcal{X}(G)$ such that $f(gx) = \chi(g)f(x)$ for $x \in X$ and $g \in G$.

Corollary 13 (more or less well-known)

Under the assumption of the proposition,

 $\rho: \operatorname{Pic}(G, X) \to \operatorname{Pic}(X)^G$

is surjective.

3

・ロン ・四 ・ ・ ヨン ・ ヨン

Krull domain

Let A be an integral domain with the field of fractions K = Q(A).

Definition 14

We say that A is a Krull domain if there exists a set Λ of DVR's such that

- For each $R \in \Lambda$, $R \subset K$ and Q(R) = K.
- ∂ A = ∩_{R∈Λ} R.
 3 For each a ∈ K[×], there are only finitely many R ∈ Λ such that a ∉ R[×].

If A is a Krull domain, then Λ can be taken to be

 $\{A_P \mid P \in X^1(A)\},\$

where $X^{1}(A)$ is the set of height-one prime ideals of A.

Noetherian normal domains versus Krull domains

Remark 15

- A Noetherian normal domain is a Krull domain.
- A Krull domain is normal, but may not be Noetherian.
- (Fossum and others) Over Krull domains, we can imitate the theory of the class groups of Noetherian normal domains.
- Let *R* be a domain, and $K \subset Q(R)$ a subfield. If *R* is Krull, then so is $K \cap R$. Even if $R = k[x_1, \ldots, x_n]$ for some subfield *k* of $K \cap R$, $K \cap R$ may not be Noetherian (Nagata).
- If R is Krull and L is a finite extension field of Q(R), the integral closure R' of R in L is again Krull. But even if R is Noetherian, R' may not be so (an example of bad Noetherian rings, Nagata).
- If X is a normal variety over a field, then $H^0(X, \mathcal{O}_X)$ is Krull, but may not be Noetherian.

The class group

A locally Krull scheme is a shceme which is locally the prime spectrum of a Krull doain by definition.

Let Y be a quasi-compact locally Krull scheme. Let $X^1(Y)$ be the set of integral closed subschemes of codimension one. Let Q(Y) be the total quotient ring of $H^0(U, \mathcal{O}_Y)$, where U is any dense affine open subscheme of Y (independent of the choice of U). For $F \in X^1(Y)$, let v_F be the normalized discrete valuation associated with the DVR $\mathcal{O}_{Y,F}$.

Let $\operatorname{Div}(Y)$ be the free abelian group with the basis $X^1(Y)$. For $f \in Q(Y)^{\times}$, we define div $f = \sum_{F \in X^1(Y)} v_F(f)[F] \in \operatorname{Div}(Y)$. We define $\operatorname{Prin}(Y) := {\operatorname{div} f \mid f \in Q(Y)^{\times} } \cup {0}$ and $\operatorname{Cl}'(Y) := \operatorname{Div}(Y) / \operatorname{Prin}(Y)$. $\operatorname{Cl}'(Y)$ is called the class group of Y.

3

Reflexive modules and sheaves

Let A be a Krull domain. An A-module M is said to be reflexive (or divisorial), if M is a submodule of some finitely generated module, and the canonical map $M \to M^{**}$ is an isomorphism, where $(?)^* = \text{Hom}_A(?, A)$.

Let Y be a locally Krull scheme. An \mathcal{O}_Y -module \mathcal{M} is said to be reflexive if \mathcal{M} is quasi-coherent, and $H^0(U, \mathcal{M})$ is a reflexive A-module for each affine open subset $U = \operatorname{Spec} A$ such that A is a Krull domain. If, moreover, $H^0(U, \mathcal{M})$ is of rank n for each U, then we say that \mathcal{M} is of rank n.

3

A second definition of the class group

Let Y be a locally Krull scheme. We denote the set of isomorphism classes of rank-one reflexive sheaves over Y by Cl(Y) and call it the class group of Y (again!). Note that Cl(Y) is an additive group by the addition

$$[\mathcal{M}] + [\mathcal{M}'] = [(\mathcal{M} \otimes_{\mathcal{O}_{Y}} \mathcal{M}')^{**}].$$

Almost by definition, Pic(Y) is a subgroup by Cl(Y). If Y is a non-singular variety, then Pic(Y) = Cl(Y).

If Y is quasi-compact, then the map $[D] \mapsto [\mathcal{O}_Y(D)]$ gives an isomorphism $Cl'(Y) \to Cl(Y)$.

- 3

くほと くほと くほと

Equivariant class group

Let *G* be *S*-flat and *X* be locally Krull. We say that a (G, \mathcal{O}_X) -module \mathcal{M} is reflexive if \mathcal{M} is quasi-coherent (as a (G, \mathcal{O}_X) -module), and is reflexive as an \mathcal{O}_X -module. The set of isomorphism classes of rank-one reflexive (G, \mathcal{O}_X) -modules is denoted by Cl(G, X), and we call it the *G*-equivariant class group of *X*.

Theorem 16 (H—)

Let G and X be as above, and \mathcal{M} and \mathcal{N} be reflexive (G, \mathcal{O}_X) -modules. Then

- The (G, \mathcal{O}_X) -modules $\underline{\operatorname{Hom}}_{\mathcal{O}_X}(\mathcal{M}, \mathcal{N})$ and $(\mathcal{M} \otimes_{\mathcal{O}_X} \mathcal{N})^{**}$ are reflexive, where $(?)^* = \underline{\operatorname{Hom}}_{\mathcal{O}_X}(?, \mathcal{O}_X)$.
- 2 Cl(G, X) is an additive group with the sum

$$[\mathcal{M}] + [\mathcal{N}] = [(\mathcal{M} \otimes_{\mathcal{O}_X} \mathcal{N})^{**}].$$

The α map and its kernel

There is an obvious map $\alpha : Cl(G, X) \to Cl(X)$, fogetting the *G*-action. We have a commutative diagram with exact rows

Removing closed subsets of codimension two or more

Lemma 17

Let *G* be a flat *S*-group scheme, and *X* be a locally Krull *G*-scheme. Let *U* be its *G*-stable open subset. Let $\varphi : U \hookrightarrow X$ be the inclusion. Assume that $\operatorname{codim}_X(X \setminus U) \ge 2$. Then $\varphi^* : \operatorname{Ref}_n(G, X) \to \operatorname{Ref}_n(G, U)$ is an equivalence, and $\varphi_* : \operatorname{Ref}_n(G, U) \to \operatorname{Ref}_n(G, X)$ is its quasi-inverse. In particular, $\varphi^* : \operatorname{Cl}(G, X) \to \operatorname{Cl}(G, U)$ defined by $\varphi^*[\mathcal{M}] = [\varphi^*\mathcal{M}]$ is an isomorphism whose inverse is given by $\mathcal{N} \mapsto [\varphi_*\mathcal{N}]$.

イロト 不得 トイヨト イヨト 二日

Expressing the class group via the Picard groups

Proposition 18

Let Y be a quasi-compact locally Krull scheme. Then $Cl(Y) \cong \varinjlim Pic(U)$, where the inductive limit is taken over all open subsets U such that $\operatorname{codim}_Y(Y \setminus U) \ge 2$.

Invariant subring

Lemma 19

Let *G* be a flat *S*-group scheme. Let *X* be a quasi-compact quasi-separated locally Krull *G*-scheme, and let $\varphi : X \to Y$ be a *G*-invariant morphism such that $\mathcal{O}_Y \to (\varphi_* \mathcal{O}_X)^G$ is an isomorphism. Then *Y* is locally Krull, and the number of connected components of *Y* is finite. The class group Cl(*Y*) of *Y* is a subquotient of Cl(*G*, *X*).

Finite generation of the class group of an invariant subring

Theorem 20 (H—)

Let k be a field, G a smooth k-group scheme of finite type, and X a quasi-compact quasi-separated locally Krull G-scheme. Assume that there is a k-scheme Z of finite type and a dominating k-morphism $Z \to X$. Let $\varphi : X \to Y$ be a G-invariant morphism such that $\mathcal{O}_Y \to (\varphi_* \mathcal{O}_X)^G$ is an isomorphism. If Cl(X) is finitely generated, then Cl(G, X) and Cl(Y) are also finitely generated.

Even if X is a normal k-variety, Y may not be locally Noetherian. Similar results for connected groups are proved by Magid and Waterhouse.

Thank you

This slide will soon be available at http://www.math.okayama-u.ac.jp/~hashimoto/

3

A > < > < >