

THE RING OF DIFFERENTIAL OPERATORS OF AN AFFINE SEMIGROUP ALGEBRA

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1. INTRODUCTION AND DEFINITIONS

The ring of differential operators was introduced by Grothendieck [2]. Although it may be ugly in general [1], the ring of differential operators of an affine semigroup algebra shares the computability with the other objects concerning a semigroup. The aim of this article is to demonstrate it by using simple examples. In particular, we exhibit a beautiful structure of the spectrum of its graded ring (with respect to the order filtration) when the semigroup is scored.

Let $A := (\mathbf{a}_1, \mathbf{a}_2, \dots, \mathbf{a}_n) = (a_{ij})$ be a $d \times n$ matrix with coefficients in \mathbb{Z} . We sometimes identify A with the set of its column vectors. We assume that $\mathbb{Z}A = \mathbb{Z}^d$, where $\mathbb{Z}A$ is the abelian group generated by A .

Let $\mathbb{N}A$ be the monoid generated by A , and R_A its semigroup algebra:

$$R_A = \mathbb{C}[\mathbb{N}A] = \bigoplus_{\mathbf{a} \in \mathbb{N}A} \mathbb{C}t^{\mathbf{a}} \subseteq \mathbb{C}[t_1^{\pm 1}, \dots, t_d^{\pm 1}].$$

Then the ring of differential operators of R_A can be given as a subalgebra of the ring of differential operators of the Laurent polynomial ring:

$$D(R_A) = \{P \in \mathbb{C}[t_1^{\pm 1}, \dots, t_d^{\pm 1}] \langle \partial_1, \dots, \partial_d \rangle : P(R_A) \subset R_A\}.$$

Let $D_k(R_A)$ be the subspace of differential operators of order less or equal to k in $D(R_A)$. Then the graded ring with respect to the order filtration $\{D_k(R_A)\}$ is commutative:

$$\text{Gr } D(R_A) = \bigoplus_{k=0}^{\infty} D_k(R_A)/D_{k-1}(R_A) \subseteq \mathbb{C}[t_1^{\pm}, \dots, t_d^{\pm}, \xi_1, \dots, \xi_d],$$

where ξ_i denotes the image of ∂_i .

2. FINITENESS

In general, the ring of differential operators on an affine variety may be neither left or right Noetherian nor finitely generated as an algebra [1]. In this section, we give some results on finiteness of $D(R_A)$.

Theorem 2.1 ([8]). *$D(R_A)$ is a finitely generated \mathbb{C} -algebra.*

Theorem 2.2 ([6]). (1) *$D(R_A)$ is right Noetherian.*

(2) *$D(R_A)$ is left Noetherian if $\mathbb{N}A$ is S_2 .*

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In [6], we also gave a necessary condition for $D(R_A)$ being left Noetherian.

Definition 2.3. A semigroup $\mathbb{N}A$ is S_2 if $\mathbb{N}A = \bigcap_{\sigma: \text{facet of } \mathbb{R}_{\geq 0}A} [\mathbb{N}A + \mathbb{Z}(A \cap \sigma)]$.

The following is an example of $\mathbb{N}A$ that does not satisfy the S_2 condition.

Example 1 (non- S_2).

$$A_1 = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3, \mathbf{a}_4) = \begin{pmatrix} 2 & 3 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}. \text{ Then}$$

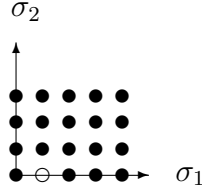


FIGURE 1. The semigroup $\mathbb{N}A_1$

In this case,

$$\mathbb{N}A_1 = \mathbb{N}^2 \setminus \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \text{whereas} \quad \bigcap_{\sigma: \text{facet of } \mathbb{R}_{\geq 0}A_1} [\mathbb{N}A_1 + \mathbb{Z}(A_1 \cap \sigma)] = \mathbb{N}^2.$$

Theorem 2.4 ([7]).

$$\text{Gr } D(R_A) \text{ is Noetherian} \quad \Leftrightarrow \quad \mathbb{N}A \text{ is scored.}$$

Let \mathcal{F} be the set of facets of $\mathbb{R}_{\geq 0}A$. For a facet $\sigma \in \mathcal{F}$, we define the **primitive integral support function** F_σ of σ as the linear form on \mathbb{R}^d uniquely determined by the conditions:

- (1) $F_\sigma(\mathbb{R}_{\geq 0}A) \geq 0$,
- (2) $F_\sigma(\sigma) = 0$,
- (3) $F_\sigma(\mathbb{Z}^d) = \mathbb{Z}$.

Definition 2.5. The semigroup $\mathbb{N}A$ is said to be **scored** if

$$\mathbb{N}A = \bigcap_{\sigma: \text{facet}} \{ \mathbf{a} \in \mathbb{Z}^d : F_\sigma(\mathbf{a}) \in F_\sigma(\mathbb{N}A) \}.$$

Remark 2.6.

$$\mathbb{N}A: \text{ scored} \quad \Rightarrow \quad \mathbb{N}A: S_2.$$

Proof. For each facet σ ,

$$\mathbb{N}A \subseteq \mathbb{N}A + \mathbb{Z}(A \cap \sigma) \subseteq \{ \mathbf{a} \in \mathbb{Z}^d : F_\sigma(\mathbf{a}) \in F_\sigma(\mathbb{N}A) \}.$$

Hence

$$\mathbb{N}A \subseteq \bigcap_{\sigma \in \mathcal{F}} (\mathbb{N}A + \mathbb{Z}(A \cap \sigma)) \subseteq \bigcap_{\sigma \in \mathcal{F}} \{ \mathbf{a} \in \mathbb{Z}^d : F_\sigma(\mathbf{a}) \in F_\sigma(\mathbb{N}A) \}.$$

□

Example 2 (Scored).

$$A_2 = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3) = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 2 & 3 \end{pmatrix}. \text{ Then}$$

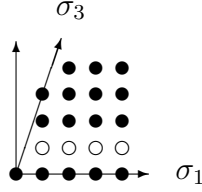


FIGURE 2. The semigroup $\mathbb{N}A_2$

$$\begin{aligned} \mathcal{F} &= \{ \sigma_1 = \mathbb{R}_{\geq 0} \mathbf{a}_1, \sigma_3 = \mathbb{R}_{\geq 0} \mathbf{a}_3 \}, \\ F_{\sigma_1}(s_1, s_2) &= s_2, F_{\sigma_3}(s_1, s_2) = 3s_1 - s_2. \\ F_{\sigma_1}(\mathbb{N}A_2) &= \mathbb{N} \setminus \{1\}, F_{\sigma_3}(\mathbb{N}A_2) = \mathbb{N}. \end{aligned}$$

We have

$$\mathbb{N}A_2 = \{ \mathbf{a} \in \mathbb{Z}^2 \mid F_{\sigma_1}(\mathbf{a}) \in \mathbb{N} \setminus \{1\}, F_{\sigma_3}(\mathbf{a}) \in \mathbb{N} \}.$$

Hence $\mathbb{N}A_2$ is scored.

Example 3 (S_2 but non-scored). $A_3 = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3) = \begin{pmatrix} 2 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix}$. Then

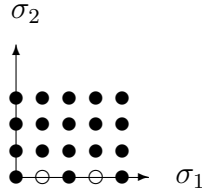


FIGURE 3. The semigroup $\mathbb{N}A_3$

$$\begin{aligned} \mathcal{F} &= \{ \sigma_1 = \mathbb{R}_{\geq 0} \mathbf{a}_1, \sigma_2 = \mathbb{R}_{\geq 0} \mathbf{a}_2 \}, \\ F_{\sigma_1}(s_1, s_2) &= s_2, F_{\sigma_2}(s_1, s_2) = s_1. \\ F_{\sigma_1}(\mathbb{N}A_3) &= \mathbb{N}, F_{\sigma_2}(\mathbb{N}A_3) = \mathbb{N}. \end{aligned}$$

We have

$$\mathbb{N}A_3 \subsetneq \{ \mathbf{a} \in \mathbb{Z}^2 \mid F_{\sigma_1}(\mathbf{a}) \in \mathbb{N}, F_{\sigma_2}(\mathbf{a}) \in \mathbb{N} \} = \mathbb{N}^2.$$

Hence $\mathbb{N}A_3$ is not scored.

Example 4 (scored).

$$d = 1, n = 2, A_4 = (2, 3).$$

This is the smallest non-trivial example; we use this as a running example.

We have the following:

- $\mathbb{N}A_4 = \{0, 2, 3, 4, \dots\} = \mathbb{N} \setminus \{1\}$. $\mathbb{R}_{\geq 0}A_4 = \mathbb{R}_{\geq 0}$.
- $\mathcal{F} = \{\{0\}\}$, $F_{\{0\}}(s) = s$; $\mathbb{N}A_4$ is scored.
- $R_{A_4} = \mathbb{C}[t^2, t^3]$.
- $D(R_{A_4}) = \{P \in \mathbb{C}[t^{\pm 1}] \langle \partial \rangle : P(\mathbb{C}[t^2, t^3]) \subseteq \mathbb{C}[t^2, t^3]\}$.

3. WEIGHT DECOMPOSITION

It is easy to see $s_i := t_i \partial_i \in D(R_A)$ ($i = 1, \dots, d$).

For $\mathbf{a} = {}^t(a_1, a_2, \dots, a_d) \in \mathbb{Z}^d$, set

$$D(R_A)_{\mathbf{a}} := \{P \in D(R_A) : [s_i, P] = a_i P \text{ for } i = 1, 2, \dots, d\}.$$

Then $t^{\mathbf{a}} \in D(R_A)_{\mathbf{a}}$ for $\mathbf{a} \in \mathbb{N}A$.

Lemma 3.1. (1) $D(R_A) = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} D(R_A)_{\mathbf{a}}$.

(2) $D_k(R_A) = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} D_k(R_A) \cap D(R_A)_{\mathbf{a}}$.

(3) $\text{Gr } D(R_A) = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \text{Gr } D(R_A)_{\mathbf{a}}$.

Theorem 3.2 (Musson [4]).

$$D(R_A)_{\mathbf{a}} = t^{\mathbf{a}} \mathbb{I}(\Omega(\mathbf{a})) \quad \text{for all } \mathbf{a} \in \mathbb{Z}^d,$$

where

$$\Omega(\mathbf{a}) := \{\mathbf{b} \in \mathbb{N}A : \mathbf{b} + \mathbf{a} \notin \mathbb{N}A\} = \mathbb{N}A \setminus (-\mathbf{a} + \mathbb{N}A),$$

$$\mathbb{I}(\Omega(\mathbf{a})) := \{f(s) \in \mathbb{C}[s] := \mathbb{C}[s_1, \dots, s_d] : f \text{ vanishes on } \Omega(\mathbf{a})\}.$$

In particular, $D(R_A)_{\mathbf{0}} = \mathbb{C}[s]$.

Example 1 Continued.

Put $D_{\mathbf{a}} := t^{\mathbf{a}} \prod_{a_i < 0} \prod_{k=0}^{-a_i-1} (s_i - k) \in D(\mathbb{C}[t_1^{\pm 1}, t_2^{\pm 1}, \partial_1, \partial_2])$, $E_{\mathbf{a}} := D_{\mathbf{a}}(s_1 + a_1 - 1)$, and $F_{\mathbf{a}} := D_{\mathbf{a}}(s_2 + a_2)$. Then

$$\begin{aligned} D(R_{A_1})_{\mathbf{a}} &= D_{\mathbf{a}} \mathbb{C}[s] && \text{if } \mathbf{a} \notin {}^t(1, 0) - \mathbb{N}A_1, \\ D(R_{A_1})_{\mathbf{a}} &= E_{\mathbf{a}} \mathbb{C}[s] + F_{\mathbf{a}} \mathbb{C}[s] && \text{if } \mathbf{a} \in {}^t(1, 0) - \mathbb{N}A_1. \end{aligned}$$

Let $a_1, b_1 < 0$, and $\mathbf{a} = {}^t(a_1, 0)$, $\mathbf{b} = {}^t(b_1, 0)$. Then

$$E_{\mathbf{a}} = \partial_1^{-a_1} (s_1 + a_1 - 1) = (s_1 - 1) \partial_1^{-a_1}, \quad F_{\mathbf{a}} = \partial_1^{-a_1} s_2 = s_2 \partial_1^{-a_1}.$$

We have

$$\begin{aligned} E_{\mathbf{a}} E_{\mathbf{b}} &= (s_1 - a_1 - 1) E_{\mathbf{a}+\mathbf{b}}, \\ F_{\mathbf{a}} E_{\mathbf{b}} &= (s_1 - a_1 - 1) F_{\mathbf{a}+\mathbf{b}}, \\ E_{\mathbf{a}} F_{\mathbf{b}} &= s_2 E_{\mathbf{a}+\mathbf{b}} = (s_1 - 1) F_{\mathbf{a}+\mathbf{b}}, \\ F_{\mathbf{a}} F_{\mathbf{b}} &= s_2 F_{\mathbf{a}+\mathbf{b}}. \end{aligned}$$

Then, for $\mathbf{a}' = {}^t(a'_1, 0)$, $\mathbf{b}' = {}^t(b'_1, 0)$ with $a'_1, b'_1 < 0$ and $\mathbf{a} + \mathbf{b} = \mathbf{a}' + \mathbf{b}'$, we have

$$F_{\mathbf{a}} E_{\mathbf{b}} - F_{\mathbf{a}'} E_{\mathbf{b}'} = (a'_1 - a_1) F_{\mathbf{a}+\mathbf{b}}.$$

In this way, the right ideal $\sum_{a_1 < 0} F_{t(a_1, 0)} D(R_{A_1})$ is finitely generated. However, since $E_{\mathbf{a}} F_{\mathbf{b}} - E_{\mathbf{a}'} F_{\mathbf{b}'} = 0$, the left ideal $\sum_{a_1 < 0} D(R_{A_1}) F_{t(a_1, 0)}$ is not finitely generated.

Example 4 Continued.

$A_4 = (2, 3)$, $\mathbb{N}A_4 = \mathbb{N} \setminus \{1\}$.

$a \in \mathbb{Z}$. $\Omega(a) = \mathbb{N}A_4 \setminus (-a + \mathbb{N}A_4)$. $D(R_{A_4})_{\mathbf{a}} = t^{\mathbf{a}} \mathbb{I}(\Omega(a))$.

- $\Omega(a) = \emptyset$ ($a \in \mathbb{N}A_4$), $D(R_{A_4})_{\mathbf{a}} = t^{\mathbf{a}} \mathbb{C}[s]$.
- $\Omega(1) = \{0\}$, $D(R_{A_4})_{\mathbf{1}} = ts \mathbb{C}[s] = t^2 \partial \mathbb{C}[s]$.
- $\Omega(-1) = \{0, 2\}$, $D(R_{A_4})_{-\mathbf{1}} = t^{-1} s(s-2) \mathbb{C}[s]$.
- $\Omega(-2) = \{0, 3\}$, $D(R_{A_4})_{-\mathbf{2}} = t^{-2} s(s-3) \mathbb{C}[s]$.

- $\Omega(-k) = \{0, 2, \dots, k-1\} \cup \{k+1\}$ ($k \geq 3$),
 $D(R_{A_4})_{-k} = t^{-k}s(s-2)\cdots(s-(k-1))(s-(k+1))\mathbb{C}[s]$.

Note that $|\Omega(-k)| = k$ if $k \in \mathbb{N}A_4$.

Example 3 Continued.

Since $\mathbb{N}A_3$ satisfies (S_2) , each $D(R_{A_3})_{\mathbf{a}}$ is singly generated. For $\mathbf{a} = {}^t(a_1, a_2)$, put

$$Q_{\mathbf{a}} := \begin{cases} t_1^{a_1}t_2^{a_2} & (a_1 \geq 0, a_2 \geq 1, \text{ or } a_1 \geq 0 \text{ even, } a_2 = 0) \\ t_1^{a_1}t_2\partial_2^{|a_2|+1} & (a_1 \geq 0, a_2 < 0, \text{ or } a_1 \geq 0 \text{ odd, } a_2 = 0) \\ t_2^{a_2}\partial_1^{|a_1|} & (a_1 < 0, a_2 \geq 1, \text{ or } a_1 < 0 \text{ even, } a_2 = 0) \\ t_2\partial_1^{|a_1|}\partial_2^{|a_2|+1} & (a_1, a_2 < 0, \text{ or } a_1 < 0 \text{ odd, } a_2 = 0). \end{cases}$$

By computing $\mathbb{I}(\Omega(\mathbf{a}))$, we see that $D(R_{A_3})_{\mathbf{a}}$ is generated by $Q_{\mathbf{a}}$. The following is the list of some $Q_{\mathbf{a}}$:

-3	-2	-1	0	1	2	3	$\ a_1/a_2$
$t_2^2\partial_1^3$	$t_2^2\partial_1^2$	$t_2^2\partial_1$	t_2^2	$t_1t_2^2$	$t_1^2t_2^2$	$t_1^3t_2^2$	2
$t_2\partial_1^3$	$t_2\partial_1^2$	$t_2\partial_1$	t_2	t_1t_2	$t_1^2t_2$	$t_1^3t_2$	1
$t_2\partial_1^3\partial_2$	∂_1^2	$t_2\partial_1\partial_2$	1	$t_1t_2\partial_2$	t_1^2	$t_1^3t_2\partial_2$	0
$t_2\partial_1^3\partial_2^2$	$t_2\partial_1^2\partial_2^2$	$t_2\partial_1\partial_2^2$	$t_2\partial_2^2$	$t_1t_2\partial_2^2$	$t_1^2t_2\partial_2^2$	$t_1^3t_2\partial_2^2$	-1
$t_2\partial_1^3\partial_2^3$	$t_2\partial_1^2\partial_2^3$	$t_2\partial_1\partial_2^3$	$t_2\partial_2^3$	$t_1t_2\partial_2^3$	$t_1^2t_2\partial_2^3$	$t_1^3t_2\partial_2^3$	-2

Then we have

$$\text{Gr}(D(R_{A_3})) / \langle \bigoplus_{a_1 \neq 0} \text{Gr}(D(R_{A_3}))_{\mathbf{a}}, s, t_2 \rangle = \mathbb{C}\langle t_2\xi_2^2, t_2\xi_2^3, \dots \rangle.$$

Since this is not a finitely generated algebra, neither is $\text{Gr}(D(R_{A_3}))$.

4. THE SPECTRUM

By Theorem 2.4, the spectrum of $\text{Gr } D(R_A)$ is in question, when $\mathbb{N}A$ is scored.

4.1. \mathbb{Z}^d -graded Prime Ideals. From now on, we assume that $\mathbb{N}A$ is scored, and set $G := \text{Gr } D(R_A)$. By Lemma 3.1, we work on \mathbb{Z}^d -graded prime ideals of G .

Corollary 4.1 (to Theorem 3.2).

$$G = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \overline{t^{\mathbf{a}}\mathbb{I}(\Omega(\mathbf{a}))} = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \overline{P_{\mathbf{a}}}\mathbb{C}[s],$$

where

$$\begin{aligned} p_{\mathbf{a}} &:= \prod_{\sigma} \prod_{m \in F_{\sigma}(\mathbb{N}A) \setminus (-F_{\sigma}(\mathbf{a}) + F_{\sigma}(\mathbb{N}A))} (F_{\sigma}(s) - m), \\ P_{\mathbf{a}} &:= t^{\mathbf{a}} \cdot p_{\mathbf{a}}(s), \\ \overline{P_{\mathbf{a}}} &= t^{\mathbf{a}} \cdot \prod_{\sigma} F_{\sigma}(s)^{\sharp(F_{\sigma}(\mathbb{N}A) \setminus (-F_{\sigma}(\mathbf{a}) + F_{\sigma}(\mathbb{N}A)))}. \end{aligned}$$

Since $G_0 = \mathbb{C}[s]$ is a subalgebra of G , the following lemma is immediate.

Lemma 4.2. *Let $\mathfrak{P} = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \mathfrak{P}_{\mathbf{a}}$ be a \mathbb{Z}^d -graded prime ideal of G . Then \mathfrak{P}_0 is a prime ideal of $G_0 = \mathbb{C}[s]$.*

Given a prime ideal \mathfrak{p} of $\mathbb{C}[s]$, we shall classify all \mathbb{Z}^d -graded prime ideals \mathfrak{P} of G with $\mathfrak{P}_0 = \mathfrak{p}$.

4.2. Degree and Expected Degree. For $\sigma \in \mathcal{F}$ and $\mathbf{a} \in \mathbb{Z}^d$, set

- $\deg_\sigma(\mathbf{a}) := \sharp(F_\sigma(\mathbb{N}A) \setminus (-F_\sigma(\mathbf{a}) + F_\sigma(\mathbb{N}A))),$
- $\text{expdeg}_\sigma(\mathbf{a}) := \begin{cases} 0 & \text{if } F_\sigma(\mathbf{a}) \geq 0 \\ |F_\sigma(\mathbf{a})| & \text{if } F_\sigma(\mathbf{a}) \leq 0. \end{cases}$

Then

$$\overline{P_{\mathbf{a}}} = t^{\mathbf{a}} \prod_{\sigma \in \mathcal{F}} F_\sigma^{\deg_\sigma(\mathbf{a})}.$$

Example 4 Continued.

$$A = (2, 3), \quad \mathbb{N}A = \mathbb{N} \setminus \{1\}.$$

$$F_{\{0\}}(s) = s.$$

a	\cdots	$-k$	\cdots	-3	-2	-1	0	1	2	3	\cdots
$\text{expdeg}_{\{0\}}(a)$	\cdots	k	\cdots	3	2	1	0	0	0	0	\cdots
$\deg_{\{0\}}(a)$	\cdots	k	\cdots	3	2	2	0	1	0	0	\cdots

$$G = \bigoplus_{a \in \mathbb{Z}} t^a s^{\deg_{\{0\}}(a)} \mathbb{C}[s] \subseteq \mathbb{C}[t^{\pm 1}, \xi], \quad s = t\xi.$$

For a fixed prime ideal \mathfrak{p} of $\mathbb{C}[s]$, we define

- $\mathcal{F}(\mathfrak{p}) := \{\sigma \in \mathcal{F} : F_\sigma \in \mathfrak{p}\},$
- $\Sigma(\mathfrak{p})$: the fan determined by the hyperplane arrangement $\{\mathbb{R}\sigma : \sigma \in \mathcal{F}(\mathfrak{p})\},$
- $S(\mathfrak{p}) := \{\mathbf{a} \in \mathbb{Z}^d : |F_\sigma(\mathbf{a})| \in F_\sigma(\mathbb{N}A) \text{ (for } \forall \sigma \in \mathcal{F}(\mathfrak{p}))\}.$

Example 4 Continued.

$$A = (2, 3), \quad \mathbb{N}A = \mathbb{N} \setminus \{1\}.$$

$$F_{\{0\}}(s) = s.$$

- $\mathfrak{p} = (s - \beta)$: a fixed prime ideal of $\mathbb{C}[s]$
- $\mathcal{F}((s - \beta)) = \{\sigma \in \mathcal{F} : F_\sigma \in (s - \beta)\} = \begin{cases} \{0\} & (\beta = 0) \\ \emptyset & (\text{otherwise}). \end{cases}$
- $\Sigma((s - \beta)) = \begin{cases} \{\mathbb{R}_{\geq 0}, \{0\}, \mathbb{R}_{\leq 0}\} & (\beta = 0) \\ \{\mathbb{R}\} & (\text{otherwise}). \end{cases}$
- $S((s - \beta)) = \begin{cases} \mathbb{Z} \setminus \{\pm 1\} & (\beta = 0) \\ \mathbb{Z} & (\text{otherwise}). \end{cases}$
- $\mathcal{F}((0)) = \emptyset, \quad \Sigma((0)) = \{\mathbb{R}\}, \quad S((0)) = \mathbb{Z}.$

For $\mathbf{a} \in \mathbb{Z}^d$, put

- $\deg_{\mathfrak{p}}(\mathbf{a}) := \sum_{\sigma \in \mathcal{F}(\mathfrak{p})} \deg_\sigma(\mathbf{a}).$
- $\text{expdeg}_{\mathfrak{p}}(\mathbf{a}) := \sum_{\sigma \in \mathcal{F}(\mathfrak{p})} \text{expdeg}_\sigma(\mathbf{a}).$

Then $\deg_{\mathbf{m}}(\mathbf{a}) = \deg(p_{\mathbf{a}})$, where $\mathbf{m} = (s_1, \dots, s_d).$

- Proposition 4.3.** (1) $\deg_{\mathfrak{p}}(\mathbf{a}) \geq \exp \deg_{\mathfrak{p}}(\mathbf{a})$.
(2) $\deg_{\mathfrak{p}}(\mathbf{a}) = \exp \deg_{\mathfrak{p}}(\mathbf{a})$ if and only if $\mathbf{a} \in S(\mathfrak{p})$.

4.3. Classification. For a cone $\tau \in \Sigma(\mathfrak{p})$, we define an ideal $\mathfrak{P}(\mathfrak{p}, \tau) = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \mathfrak{P}(\mathfrak{p}, \tau)_{\mathbf{a}}$ of G by

$$\mathfrak{P}(\mathfrak{p}, \tau)_{\mathbf{a}} := \begin{cases} G_{\mathbf{a}} \mathfrak{p} & (\mathbf{a} \in \tau \cap S(\mathfrak{p})) \\ G_{\mathbf{a}} & (\text{otherwise}). \end{cases}$$

Proposition 4.4. *The \mathbb{Z}^d -graded ideal $\mathfrak{P}(\mathfrak{p}, \tau)$ is prime.*

Theorem 4.5 ([5]). *Let \mathfrak{P} be a \mathbb{Z}^d -graded prime ideal with $\mathfrak{P}_0 = \mathfrak{p}$. Then there exists $\tau \in \Sigma(\mathfrak{p})$ such that $\mathfrak{P} = \mathfrak{P}(\mathfrak{p}, \tau)$.*

Proposition 4.6. $\mathfrak{P}(\mathfrak{p}, \tau) \subseteq \mathfrak{P}(\mathfrak{p}', \tau')$ if and only if $\mathfrak{p} \subseteq \mathfrak{p}'$ and $\tau \supseteq \tau'$.

Proposition 4.7. $\dim G/\mathfrak{P}(\mathfrak{p}, \tau) = \dim \mathbb{C}[s]/\mathfrak{p} + \dim \tau$.

Example 4 Continued.

$A = (2, 3)$, $\mathbb{N}A = \mathbb{N} \setminus \{1\}$. Let $a \in \mathbb{Z}$.

- $\mathfrak{P}((s), \mathbb{R}_{\geq 0})_{\mathbf{a}} = \begin{cases} G_{\mathbf{a}} s & (a \in \mathbb{N} \setminus \{1\}) \\ G_{\mathbf{a}} & (\text{otherwise}). \end{cases}$
- $\mathfrak{P}((s), \{0\})_{\mathbf{a}} = \begin{cases} G_{\mathbf{a}} s & (a = 0) \\ G_{\mathbf{a}} & (a \neq 0). \end{cases}$
- $\mathfrak{P}((s), \mathbb{R}_{\leq 0})_{\mathbf{a}} = \begin{cases} G_{\mathbf{a}} s & (-a \in \mathbb{N} \setminus \{1\}) \\ G_{\mathbf{a}} & (\text{otherwise}). \end{cases}$

$$\mathfrak{P}((s), \mathbb{R}_{\geq 0}) \subseteq \mathfrak{P}((s), \{0\}) \supseteq \mathfrak{P}((s), \mathbb{R}_{\leq 0}).$$

- $\mathfrak{P}((s - \beta), \mathbb{R})_{\mathbf{a}} = G_{\mathbf{a}}(s - \beta) \quad (\forall a \in \mathbb{Z}) \quad \text{for } \beta \neq 0.$
- $\mathfrak{P}((0), \mathbb{R})_{\mathbf{a}} = G_{\mathbf{a}}(0) = 0 \quad (\forall a \in \mathbb{Z})$, i.e., $\mathfrak{P}((0), \mathbb{R}) = 0.$

5. COHEN-MACAULAYNESS OF $\text{Gr } D(R_A)$

Theorem 5.1 (Musson [4]). *If $\mathbb{N}A$ is normal, then $\text{Gr } D(R_A)$ is Gorenstein.*

Proof. Let Σ be the fan determined by $F_{\sigma} = 0$ ($\sigma \in \mathcal{F}$). For a facet $\tau \in \Sigma$, Let A_{τ} be a generating set of the semigroup $\tau \cap \mathbb{Z}^d$. Put $A_{\Sigma} := \cup_{\tau} A_{\tau}$. Then

$$\text{Gr } D(R_A) = \mathbb{C}[s][\overline{P_{\mathbf{a}}} \mid \mathbf{a} \in A_{\Sigma}] = \mathbb{C}[\overline{F_{\sigma}}, \overline{P_{\mathbf{a}}} \mid \sigma \in \mathcal{F}; \mathbf{a} \in A_{\Sigma}].$$

Replace $\overline{F_{\sigma}}$ by an indeterminate z_{σ} , and put

$$\tilde{G} := \mathbb{C}[z_{\sigma}, \overline{P_{\mathbf{a}}} \mid \sigma \in \mathcal{F}; \mathbf{a} \in A_{\Sigma}].$$

Then \tilde{G} is a normal affine semigroup algebra, and $\prod_{\sigma \in \mathcal{F}} z_{\sigma}$ represents the unique minimal positive element. (Indeed, in $\mathbb{Z}^d \oplus \mathbb{Z}^{\sharp \mathcal{F}}$, the corresponding semigroup has the primitive integral support functions $F_{\sigma} + z_{\sigma}$, z_{σ} ($\sigma \in \mathcal{F}$). $\prod_{\sigma \in \mathcal{F}} z_{\sigma}$ corresponds to $(\mathbf{0}, 1, \dots, 1)$.)

Hence \tilde{G} is Gorenstein. The natural map

$$\pi : \tilde{G} \rightarrow \text{Gr } D(R_A)$$

defined by $\pi(z_\sigma) = \overline{F_\sigma}$ is surjective. Let $\{l_j\}$ be a basis of $\text{Ker}(\pi|_{\langle z_\sigma \rangle})$. Then $\{l_j\}$ is a regular sequence, and generates $\text{Ker}(\pi)$. Hence $\text{Gr } D(R_A)$ is Gorenstein. If we consider $\text{Gr } D(R_A)$ is $\mathbb{Z}^d \oplus \mathbb{Z}$ -graded (the last one corresponds to the degree in s), then the a -invariant is $(\mathbf{0}, -\#\mathcal{F})$. \square

However, if $\mathbb{N}A$ is not normal, then $\text{Gr } D(R_A)$ is never Cohen-Macaulay:

Proposition 5.2 (Hsiao [3] $d = 1$). *If $\mathbb{N}A$ is scored but not normal, then $\text{Gr } D(R_A)$ is not Cohen-Macaulay.*

Proof. Let $G := \text{Gr } D(R_A)$. Since $\mathbb{N}A$ satisfies (S_2) , each $G_{\mathbf{a}}$ is a free $\mathbb{C}[s]$ -module. Hence s_1, \dots, s_d is a regular sequence of G . Let $\overline{G} := G/\langle s_1, \dots, s_d \rangle$. Then $\dim \overline{G} = d$, and G is Cohen-Macaulay if and only if so is \overline{G} . We have

$$\overline{G} = \bigoplus_{\mathbf{a} \in \mathbb{Z}^d} \mathbb{C}\overline{P_{\mathbf{a}}},$$

and

$$(5.1) \quad \overline{P_{\mathbf{a}}} \cdot \overline{P_{\mathbf{b}}} \neq 0 \Leftrightarrow \begin{cases} F_\sigma(\mathbf{a})F_\sigma(\mathbf{b}) \geq 0 & (\forall \sigma \in \mathcal{F}) \\ \deg_\sigma(\mathbf{a}) - \text{expdeg}_\sigma(\mathbf{a}) > 0 \Rightarrow F_\sigma(\mathbf{b}) = 0 & (\sigma \in \mathcal{F}) \end{cases}$$

by [5, Theorem 3.6]. Let

$$l := \max\{\deg(\mathbf{a}) - \text{expdeg}(\mathbf{a}) \mid \mathbf{a} \in \mathbb{R}_{\geq 0}A \cap \mathbb{Z}^d\},$$

$\deg(\mathbf{b}) - \text{expdeg}(\mathbf{b}) = l$, and $\mathbf{b} \in \mathbb{R}_{\geq 0}A \cap \mathbb{Z}^d$. Put

$$\tau := \bigcap_{\deg_\sigma(\mathbf{b}) - \text{expdeg}_\sigma(\mathbf{b}) > 0} \sigma.$$

If $\mathbf{x} = \sum_{\mathbf{a} \neq \mathbf{0}} c_{\mathbf{a}} \overline{P_{\mathbf{a}}}$ is not a zero-divisor, then, by (5.1), there exists $\mathbf{0} \neq \mathbf{a} \in \tau \cap \mathbb{R}_{\geq 0}A$ such that $c_{\mathbf{a}} \neq 0$, and

$$(5.2) \quad \mathbf{x} \cdot \overline{P_{\mathbf{b}}} = \sum_{\mathbf{0} \neq \mathbf{a} \in \mathbb{R}_{\geq 0}A \cap \tau} c_{\mathbf{a}} \overline{P_{\mathbf{a}+\mathbf{b}}}.$$

Let \mathbf{b} be primitive in the sense that there exists no $\mathbf{b}' \in \mathbb{R}_{\geq 0}A \cap \mathbb{Z}^d$ and $\mathbf{0} \neq \mathbf{a} \in \mathbb{R}_{\geq 0}A \cap \tau$ such that $\mathbf{b} = \mathbf{b}' + \mathbf{a}$ and $\deg(\mathbf{b}') - \text{expdeg}(\mathbf{b}') = l$. Let $t := \dim \tau$. Suppose that $\mathbf{x}_1, \dots, \mathbf{x}_t$ forms a regular sequence of \overline{G} . Then, by the primitiveness and the equations (5.1), (5.2), we have $\overline{P_{\mathbf{b}}} \neq 0$ in $\overline{G}/(\mathbf{x}_1, \dots, \mathbf{x}_t)$. Since $t = \dim \tau$, for any $\overline{\mathbf{x}} \in \overline{G}/(\mathbf{x}_1, \dots, \mathbf{x}_t)$, we have $\overline{\mathbf{x}}^m \cdot \overline{P_{\mathbf{b}}} \in (\mathbf{x}_1, \dots, \mathbf{x}_t)$ for some m by (5.2). Hence the length of a regular sequence of \overline{G} cannot exceed t ; $\text{depth}(\overline{G}) \leq t \leq d - 1$. \square

Example 4 Continued.

$$A = (2, 3), \quad \mathbb{N}A = \mathbb{N} \setminus \{1\}.$$

Then $G = \text{Gr } D(R_A)$ is again an affine semigroup algebra:

$$G = \mathbb{C}[t^3, t^2, ts, s, t^{-1}s^2, t^{-2}s^2, t^{-3}s^3].$$

Clearly, this semigroup does not satisfy S_2 . Hence G is not Cohen-Macaulay.

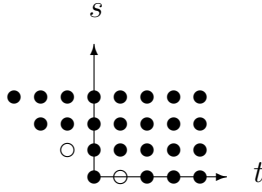


FIGURE 4. The semigroup for G

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